

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT

For New Members, Candidates, and New Employees

OFFICE OF THE CLERK
LEGISLATIVE RESOURCE CENTER

18 SEP 12 PM 3:58

Name: Kathryn Gail Ellis

Daytime Telephone:

FILER STATUS	New Member or Candidate for U.S. House of Representatives	Sate: <u>MISSOURI</u> 8 th District: <u>2</u>	<input type="checkbox"/> Check if Amendment	U.S. HOUSE OF REPRESENTATIVES <i>(Office Use Only)</i>
	Candidates – Date of Election: _____			
<input type="checkbox"/> New Officer or Employee	Staff Filer Type (If Applicable):	<input type="checkbox"/> Shared <input checked="" type="checkbox"/> Principal Assistant	Period Covered: January 1, 2018 to <u>5/15/18</u>	
<input type="checkbox"/> Employing Office: _____				

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>gr</u>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? <u>gr</u>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	<input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? <u>gr</u>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? <u>gr</u>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? <u>gr</u>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Kathryn Gail Ellis

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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		BLOCK A																																			
		BLOCK B																																			
		BLOCK C																																			
		BLOCK D																																			
Assets and/or Income Sources		Value of Asset												Type of Income												Amount of Income											
S2 Bmo Harris Bank (USA) 50 Rhainsdale Brook		\$1-\$1,000												I																							
		\$1,001-\$15,000												II																							
		\$15,001-\$50,000												III																							
		\$50,001-\$100,000												IV																							
		\$100,001-\$250,000												V																							
		\$250,001-\$500,000												VI																							
		\$500,001-\$1,000,000												VII																							
		\$1,000,001-\$5,000,000												VIII																							
		\$5,000,001-\$25,000,000												IX																							
		\$25,000,001-\$50,000,000												X																							
Over \$50,000,000												XI																									
Spouse/DC Asset over \$1,000,000*												XII																									
NONE												I																									
DIVIDENDS												II																									
RENT												III																									
INTEREST												IV																									
CAPITAL GAINS												V																									
EXCERPTED/BUND TRUST												VI																									
TAX-DEFERRED												VII																									
Other Type of Income (Specify: e.g., Partnership Income or Farm Income)												VIII																									
S2 Bmo Harris Bank (USA) 50 Rhainsdale Brook		None												Current Year																							
		\$1-\$200												I																							
		\$201-\$1,000												II																							
		\$1,001-\$2,500												III																							
		\$2,501-\$5,000												IV																							
		\$5,001-\$15,000												V																							
		\$15,001-\$50,000												VI																							
		\$50,001-\$100,000												VII																							
		\$100,001-\$1,000,000												VIII																							
		\$1,000,001-\$5,000,000												IX																							
Over \$5,000,000												X																									
Spouse/DC Income over \$1,000,000*												XI																									
None												Proceeding Year																									
S2 Bmo Harris Bank (USA) 50 Rhainsdale Brook		\$1-\$200												I																							
		\$201-\$1,000												II																							
		\$1,001-\$2,500												III																							
		\$2,501-\$5,000												IV																							
		\$5,001-\$15,000												V																							
		\$15,001-\$50,000												VI																							
		\$50,001-\$100,000												VII																							
		\$100,001-\$1,000,000												VIII																							
		\$1,000,001-\$5,000,000												IX																							
		Over \$5,000,000												X																							
Spouse/DC Income over \$1,000,000*												XI																									

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: Kathryn Gail Ellis

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

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INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,765. The 2018 income limit is \$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

Source (include date of receipt for honoraria)	Type	Current Year to Filing	Preceding Year
ABC Trade Association, Baltimore, MD (July 15)	Honorarium	\$50	\$500
State of Maryland	Salary	\$20,000	\$16,000
CIVV War Roundtable (Oct 2)	Spouse Speech	\$80	\$1,000
Ontario County Board of Education	Spouse Salary	N/A	N/A
Kathryn G. Ellis, BCD (Sale Proprietor)	Professional Fees	446,095.24	139,666
Ann M. Gettinger (Teacher)	Salary	72,242.20	65,440.20

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name _____

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period. **New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a **revolving charge account** (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. "Column K is for liabilities held solely by your spouse or dependent child.

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years.

Position	Name of Organization
Vice-Chair of the Contrac Board	Contrac, Inc. - uncompensated
Secretary of the ASP Board	"A Safe Place" Foundation - uncompensated

Use additional sheets if more space is required

SCHEDULE F – AGREEMENTS

Name: Kathryn Gail Ellis

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

Source (Name and City/State)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting Services

Use additional sheets if more space is required.

**FILER NOTES
(Optional)**

Name: Kathryn Gail Ellis

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Use additional sheets if more space is required.

September 5, 2018

Gettinger Current WFA Portfolio Holdings

Prepared for:
Ann Gettinger

Prepared by:
Kyle J. Gowen, MBA
Financial Advisor
Mahn Wealth Management Group of Wells Fargo Advisors

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Investing in small and mid-cap companies involve additional risks such as limited liquidity and greater volatility.

Fixed Income Securities (Bonds): Bonds are promissory notes of a United States corporation or federal government entity (taxable bonds) or a state or local government entity (tax-exempt or municipal bonds). Bonds usually make a series of interest payments followed by a return of principal at maturity. If sold prior to maturity, the price that can be obtained for a bond may be more or less than face value, depending on interest rates at the time the bond is sold and the remaining term of the bond.

Fixed income securities include Treasuries (i.e., public obligations of the U.S. Treasury that have remaining maturities of more than one year), government-related issues (i.e., agency sovereign, supranational, and local authority debt), and corporate bonds.

Investments in fixed-income securities are subject to market, interest rate, credit/default, inflation and other risks. Bond prices fluctuate inversely to changes in interest rates. Therefore, a general rise in interest rates can result in the decline in the bonds' price. Lower rated securities are speculative and involve greater risk of default.

Term: Short-term bonds have effective maturities of five years or less; intermediate bonds have effective maturities between five and ten years; and long-term bonds have maturities of ten years or longer.

Income from tax exempt bonds is generally free from federal and state taxes for residents of the issuing state. While the interest income is tax-free, capital gains if any are subject to taxes. Income of certain tax-exempt bonds may be subject to the Federal Alternative Minimum Tax (AMT).

Real Assets: Real Assets includes Public Real Estate, Private Real Estate and Commodities. Public Real Estate includes listed real-estate companies and equity and mortgage REITs. A REIT combines the capital of many investors to either acquire or provide financing for real estate. Private Real Estate is an investment that uses an active management strategy consisting of both direct and secondary ownership of equity and debt interests in various types of real property. Commodities are usually agricultural products such as corn, livestock, coffee and cocoa or metals such as gold, copper and silver, or energy products such as oil and natural gas. Commodities can either be sold on the spot market for immediate delivery or on the commodities exchanges for later delivery. Trade on commodities exchanges is usually in the form of future contracts.

There are special risks associated with an investment in real estate, including possible illiquidity of the underlying properties, credit risk, interest rate fluctuations and the impact of varied economic conditions. Mortgage REITs will be subject to interest rate fluctuations and to the spread between short-term and long-term bond rates. Privately offered real estate funds are speculative and involve a high degree of risk. Investments in real estate have special risks, including the possible illiquidity of the underlying properties, credit risk, interest rate fluctuations, and the impact of varied economic conditions. There can be no assurance a secondary market will exist and there may be restrictions on transferring interests. The commodities markets are considered speculative, carry substantial risks, and have experienced periods of extreme volatility.

Multi-Class: This category is primarily used to classify investments that include a substantial amount of both equity and fixed income investments, or some other combination of classes.

Cash Alternatives: Cash Alternatives include liquid, short term and interest bearing investments. Examples are Treasury bills and commercial paper. It is possible to lose money by investing in cash alternatives.

Wells Fargo Advisors is a trade name used by Wells Fargo Clearing Services, LLC and Wells Fargo Advisors Financial Network, LLC, separate registered broker-dealers and non-bank affiliates of Wells Fargo & Company. CAR 07-17-03307

Understanding Your Portfolio

General Information

Investing involves risk, including the possible loss of principal. This report is intended to provide you with a descriptive overview of your current portfolio. The report may encompass one account or multiple accounts as specified in the report. The material has been prepared or is distributed solely for information purposes and does not supersede the proper use of your Wells Fargo Advisors client statements and/or trade confirmations, which are considered to be the official and accurate records of your account activity. Any market prices are only indications of market values, are subject to change, and may not reflect the value at which the securities could be sold. Reported prices should not be considered actual bids. For these reports, in certain groupings, Corporate Bonds includes Certificates of Deposit. Additionally, the report is prepared as of trade date, rather than settlement date, and may be prepared on a different date than your statement. The information contained in this report may not reflect all holdings or transactions, their costs, or proceeds in your account.

The report may also include information you provided about assets held at other firms. Information on assets held away from Wells Fargo Advisors was provided by you and may not be covered by SIPC. We have relied solely on information from you regarding those assets. We do not verify or confirm those assets held with other firms or affiliates and you are responsible for notifying your Financial Advisor of any changes in your externally held investments including cost basis. Incomplete or inaccurate cost basis will affect your plan results because the tax assumptions are incorrect. Due to timing issues, if this report includes assets held at a Trust Company, positions and market data should be verified. Before making any decisions please validate your account information with your Financial Advisor. The financial data used to generate this report is provided to Wells Fargo Advisors by third party vendors. While this information is believed to be reliable, it has not been verified. Security ID will be ticker symbol, CUSIP number, Security Number or, if you have provided us with a market value of a generic asset classification type, we will assign a dash. This report is not complete unless all pages noted are included. For more detailed information, including current pricing, call your Financial Advisor.

To the extent that this report includes fixed income securities, you should be aware that the descriptions of fixed income securities are general in nature and do not reflect important information that you should consider, such as but not limited to, yield, call features, credit quality, and various tax implications. Before making any decisions regarding fixed income investments, contact your advisor to obtain more detailed information regarding specific fixed income securities.

Asset classification of holdings In external accounts where classification is not readily available may be assigned to a multi-asset class category or reassigned into additional asset classes by your Financial Advisor which may not be the most accurate asset class based on the holding's characteristics and risk profile. It is your responsibility to review the asset classification for external accounts and notify us of any changes.

Asset Classes for mutual funds, variable annuities and exchange-traded funds are derived from Morningstar Categories. Underlying holdings classification provided by Morningstar. ©2018 Morningstar, Inc. All Rights Reserved. The information contained herein: (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warrantied to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information.

External Accounts Included In Your Report

As a service, we may have included your assets and/or your liabilities held at other financial institutions. We assume no responsibility for the accuracy or completeness of the information you provided either to your Financial Advisor or through any third party aggregation service regarding your assets or liabilities held at other firms. We may update the pricing of these securities; however, there may be cases when updating prices is not possible. In addition, any transactions, values or changes in your external accounts will not be reflected unless you provide updated information to your Financial Advisor. In instances where you use a third party aggregation service, we rely on you to take action when notified by the third party service that updates are needed. The accuracy and completeness of the information you provide may materially affect the results and any recommendations contained in this report.

If we have included or if you have provided us with information on accounts managed by you or an affiliate of Wells Fargo Advisors, including self-directed Wells Trade accounts at Wells Fargo Advisors, and fiduciary accounts at Wells Fargo Bank, N.A., you should understand that Wells Fargo Advisors has no authority to manage, direct or influence the accounts. With respect to such accounts, the Strategic Allocation listed in this report is for informational purposes only and should not be considered a recommendation from Wells Fargo Advisors or your Financial Advisor. The views, opinions, asset allocation models and forecasts may differ from our affiliates.

By providing you this report, neither the firm nor your Financial Advisor is acting as a fiduciary for purposes of ERISA or section 4975 of the Code with respect to any external ERISA-covered employee benefit plan or any external individual retirement account in either the planning, execution or provision of this analysis, unless separately contracted to act as a fiduciary with respect to such an account. Any asset allocation information presented in this report for external 401(k), 403(b), Government 457(b), Defined Benefit Plan, Trustee Defined Profit Sharing Plan or individual retirement accounts is for general asset allocation education and informational purposes only and should not be viewed as fiduciary investment advice.

Asset Class Descriptions

The asset class descriptions below are included solely to provide insight into how individual securities are tied to specific asset classes. We use our best efforts to correctly classify investments. However, no warranty of accuracy is made.

Equity Investments: Equity investments refer to buying stocks of United States companies. The asset class descriptions below are included solely to provide insight into how individual securities are tied to specific asset classes. We use our best efforts to correctly classify investments. However, no warranty of accuracy is made.

Capitalization: Market capitalization definitions differ but one example of capitalization methodology is that of Morningstar, which defines "large-capitalization" stocks as those stocks that form the top 70% of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index (a diversified broad market index that represents approximately 97% of the market capitalization of publicly traded U.S. Stocks). The Morningstar index methodology defines "mid-capitalization" stocks as those stocks that form the 20% of market capitalization between the 70th and 90th percentile of the market capitalization and "small-capitalization" stocks as those stocks that form the 7% of market capitalization between the 90th and 97th percentile of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index.

Total Portfolio Value as of 09/04/18: \$ 707,511

This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.

MMLX	MFS MUNICIPAL HIGH CL I	566	8.19	4,640	0.7%
MEIX	MFS VALUE I	116	40.97	4,736	0.7%
NUVBX	NUVEEN MUN TRUST CLASS I	337	9.15	3,087	0.4%
OBYX	OPENHMR INTL BOND Y	415	5.36	2,223	0.3%
PEGZX	PGM JENNISON MID-CAP Z	57	41.28	2,346	0.3%
PGRX	PIMCO COMM REAL RETURN	242	6.35	1,536	0.2%
PRFSX	T ROWE PR T/F SHRT INTR	557	5.53	3,081	0.4%
TRBCX	T ROWE PRICE BLE CHP	41	113.99	4,693	0.7%
PRINX	T ROWE PRICE MUN INCM FD	328	11.72	3,848	0.5%
PRSMX	T ROWE PRICE SUMMIT MUN	924	11.67	10,783	1.5%
EMGNX	WELLS FARGO EMKT INSTL	121	23.87	2,892	0.4%
GETTINGER ANN M (XXXX1094)					
ABEMX	ABERDEEN EMRGNG INSTL I	45	14.11	14,172	2.0%
WMFFX	AMER FDS WASH MUTUAL F2	43	46.50	629	0.1%
EIBIX	EV INCOME FD OF BOSTON I	72	5.60	2,007	0.3%
FTIXX	GOLDMAN FINL SQ TREAS MM	214	1.00	403	0.1%
JFLEX	JANUS FLEXIBLE BD FD I	152	10.03	214	0.0%
VSEIX	JPMORGAN S/C EQUITY FD I	19	64.19	1,528	0.2%
MWITX	METROPOLITAN WEST FDS I	228	10.38	1,227	0.2%
MEDIX	MFS EMRG MKTS DEBT I	88	13.70	2,368	0.3%
POSIX	PRINCIP GLBL R/E SEC IN	73	9.73	1,210	0.2%
TROSX	T ROWE PR INT'L OVERSEAS	59	11.00	709	0.1%
TRBCX	T ROWE PRICE BLE CHP	16	113.99	652	0.1%
GRW	TOUCHSTONE MID CAP INST	37	36.20	1,870	0.3%
Cash Alternative					
Ann's Trust - Managed DSIP (XXXX9760)					
Cash Alternative					
- Ann's Trust - Asset Advisor (XXXX9259)		7,538	1.00	7,538	1.1%
- Ann's Trust - Inherited (XXXX9854)	Cash Alternative	0	1.00	0	0.0%
- Ann's Bene IRA (XXXX9926)	Cash Alternative	11,147	1.00	11,147	1.6%
- Ann's Bene IRA (XXXX9926)	Cash Alternative	7	1.00	7	0.0%
Portfolio Summary Total					
		707,511	100.0%		

Fund	Ann's Trust - Asset Advisor (XXXXX9259)	301,748	42.6%
		195,632	27.7%
AEPFX	AMER FDS EUROPACIFIC F2	153	52.71
ANWFX	AMER FDS NEW PERSPECT	195	46.46
CSRFX	F2 COHEN & STEERS INSTL	194	44.01
FMKFX	FIDELITY ADV EMRG MKTS I	971	12.54
FGTDX	GLDMN SACH FNL SQ GOVT I	3,649	1.00
HFMFX	HARTFORD MIDCAP I	278	35.13
LAHYX	LORD ABBETT HIGH YIELD I	1,755	7.51
ODVYX	OPPENHMR DEVELOPNG MKTS	491	40.77
PRMTEX	T ROWE COMMUNICATIONS	93	108.78
RPMGX	T ROWE PRICE MID CAP GRW	268	98.19
VSMOX	VICTORY SYCAMORE SMALL I	908	51.62
HIEMX	VIRTUS VONTobel EMG I	2,098	10.82
AES3X	VOYA SMALL CO FD CL I	286	18.15
<i>Ann's Bene IRA (XXXX926)</i>			
AEP3X	AMER FDS EUROPACIFIC A	32	52.82
AGTHX	AMER FDS GRWTH FD AMRA	84	56.04
AVSX	AMER FDS INVST CO AMR A	104	41.48
SMCWX	AMER FDS SMALLCAP WLD A	33	60.71
ANEFX	NEW ECONOMY FD SBI CL A	36	49.30
<i>Ann's Trust - FundSource (XXXX8147)</i>			
AEPFX	AMER FDS EUROPACIFIC F2	85	52.71
BLRYX	BROOKFIELD GLOBAL R/E Y	175	13.01
BAFSX	BROWN ADVSR S/SCAP INSTL	82	43.83
CSRSX	COHEN & STEERS RLTY SHS	24	65.31
DHLRX	DIAMOND HILL LARGE CAP I	203	27.49
FTIXX	GOLDMAN FINL SQ TREAS MM	772	1.00
JGYIX	JOHN HANCOCK GLB SH I	203	11.30
SEEGX	JPMORGAN LGE CAP GRWTH	52	46.56
LVCYX	LORD ABBETT VALUE OPPS I	304	22.34
MEDIX	MFS EMRG MKTS DEBT I	274	13.70

EMR	EMERSON ELECTRIC CO	54	76.40	4,126	0.6%
ES	EVERSOURCE ENERGY	58	62.42	3,620	0.5%
XOM	EXXON MOBIL CORP	36	80.29	2,890	0.4%
FDS	FACTSET RESEARCH SYS INC	16	232.16	3,715	0.5%
GD	GENL DYNAMICS CORP COM	29	192.61	5,586	0.8%
GIS	GENL MILLS INC	50	45.92	2,296	0.3%
HRS	HARRIS CORP DEL	33	162.37	5,358	0.8%
ITW	ILL TOOL WORKS INC	37	138.94	5,141	0.7%
IBM	INTL BUSINESS MACH CORP	18	145.68	2,622	0.4%
JNJ	JOHNSON & JOHNSON	39	133.72	5,215	0.7%
JPM	JPMORGAN CHASE & CO	30	115.15	3,455	0.5%
LOW	LOWES COMPANIES INC	67	109.93	7,365	1.0%
MCD	MCDONALDS CORP	22	161.72	3,558	0.5%
MDT	MEDTRONIC PLC	63	95.56	6,020	0.9%
MSFT	MICROSOFT CORP	99	111.71	11,059	1.6%
NEE	NEXTERA ENERGY INC	34	170.03	5,781	0.8%
NKE	NIKE INC CLASS B	37	79.60	2,945	0.4%
NSC	NORFOLK SOUTHERN CORP	32	174.36	5,580	0.8%
NVS	NOVARTIS AG	34	82.56	2,807	0.4%
PAYX	PAYCHEX INC	64	73.08	4,677	0.7%
PEP	PEPSICO INCORPORATED	30	111.00	3,330	0.5%
PSX	PHILLIPS 66	39	118.96	4,639	0.7%
PII	POLARIS IND'S INC	25	108.70	2,718	0.4%
PX	PRAXAIR INC	24	156.18	3,748	0.5%
PG	PROCTER & GAMBLE CO	31	82.70	2,564	0.4%
QCOM	QUALCOMM INC	45	69.98	3,149	0.4%
SYY	SYSCO CORPORATION	68	75.35	5,124	0.7%
TGT	TARGET CORP	37	88.95	3,291	0.5%
UTX	UNITED TECHNOLOGIES CORP	33	131.90	4,353	0.6%
USB	US BANCORP NEW	67	54.24	3,634	0.5%
VFC	VF CORPORATION	60	91.88	5,513	0.8%
WMT	WALMART INC	44	95.36	4,196	0.6%
WEC	WE&G ENERGY GROUP INC	58	68.26	3,959	0.6%
AEE	Ann's Trust - Inherited (XXXX9854)	310	63.75	19,763	2.8%
Bond				147,323	20.8%
	Ann's Trust - Inherited (XXXX9854)			147,323	20.8%
16645PEQ0	CHESTERFIELD MO 4.4%12/01/18	10,000	100.67	10,067	1.4%
485116QR1	KANSAS 4.5% 12/1/2022	10,000	109.72	10,972	1.6%
606296FS5	MO ST 4.0%10/01/20	20,000	103.11	20,622	2.9%

Portfolio Summary - Security Detail

By: Security Sub Type

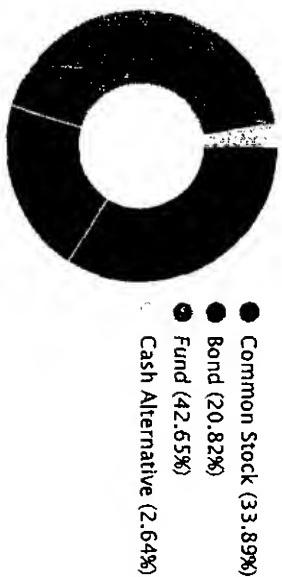


		Value		Percentage	
		Value	Value	Total	
Common Stock					
<i>Ann's Trust - Managed DSIP (XXXXX9760)</i>					
MMM	3M CO	22	208.60	239.749	33.9%
ABT	ABBOTT LABORATORIES	77	67.11	219,986	31.1%
ACN	ACCENTURE PLC IRELAND	28	169.50		0.6%
AFL	AFLAC INC	116	46.57		0.7%
APD	AIR PRODUCTS &	23	164.34		0.7%
AMGN	AMGEN INC	26	196.73		0.7%
ADI	ANALOG DEVICES INC	55	98.11		0.8%
T	AT & T INC	85	31.86		0.8%
ADP	AUTOMATIC DATA	38	145.81		0.5%
PROCESIN				5,541	0.4%
BDX	BECTON DICKINSON & CO	25	261.93		0.9%
BLK	BLACKROCK INC	8	475.78		0.5%
CVX	CHEVRON CORPORATION	30	118.87		0.5%
CB	CHUBB LTD	34	136.20		0.5%
CSCO	CISCO SYS NC	120	47.73		0.8%
CLX	CLOROX COMPANY	30	146.12		0.6%
CL	COLGATE PALMOLIVE CO	43	65.23		0.4%
EV	EATON VANCE CORP NON	39	52.32		0.3%
	VTG			2,040	

This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.

Portfolio Summary

By: Security Sub Type



	Value	Percentage
Common Stock		
Ann's Trust - Managed DSIP (XXXX9760)	239,749	33.9%
Ann's Trust - Inherited (XXXX9854)	219,986	31.1%
	19,763	2.8%
Bond		
Ann's Trust - Inherited (XXXX9854)	147,323	20.8%
	147,323	20.8%
Fund		
Ann's Trust - Asset Advisor (XXXX9259)	301,748	42.6%
Ann's Bene IRA (XXXX926)	195,632	27.7%
Ann's Trust - FundSource (XXXX8147)	14,515	2.1%
GETTINGER ANN M (XXXX1094)	77,430	10.9%
	14,172	2.0%
Cash Alternative		
Ann's Trust - Managed DSIP (XXXX9760)	18,692	2.6%
Ann's Trust - Asset Advisor (XXXX9259)	7,538	1.1%
Ann's Trust - Inherited (XXXX9854)	0	0.0%
Ann's Bene IRA (XXXX9926)	11,147	1.6%
	7	0.0%
Portfolio Summary Total		707,511
Portfolio Summary Total		100.0%

Total Portfolio Value as of 09/04/18: \$ 707,511

Accounts Included in the Report

Account Number	Account Name	Description	Type	Internal/External	Last Statement Date
XXXX9760	GETTINGER ANN M	Ann's Trust - Managed DSIP	Taxable	Internal	9/4/2018
XXXX9259	GETTINGER ANN M	Ann's Trust - Asset Advisor	Taxable	Internal	9/4/2018
XXXX9854	GETTINGER ANN LIV TR GETTINGER ANN M	Ann's Trust - Inherited	Taxable	Internal	9/4/2018
XXXX9926	GETTINGER ANN M	Ann's Bene IRA	Deferred	Internal	9/4/2018
XXXX8147	GETTINGER ANN M	Ann's Trust - FundSource	Taxable	Internal	9/4/2018
XXXX1094	GETTINGER ANN M	Exempt	Internal	9/4/2018	

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

1149-9760

ANN M GETTINGER LIV/TR

ANN M GETTINGER TTEE

U/JA DTD 06/28/2006

208 BARRINGTON PL

FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Realized Profit	% Gain or Loss	Term
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1	3M CO	MMM	8/22/2011 ^c	77.15 ^N	77.1490	2/2/2017	173.95	173.9500	96.80	125.47	LONG
6		MMM	8/22/2011 ^c	462.90 ^N	77.1490	3/2/2017	1,138.42	189.7400	675.52	145.93	LONG
4		MMM	8/22/2011 ^c	308.60 ^N	77.1490	1/19/2018	988.01	247.2580	680.41	220.48	LONG
				848.65			2,301.38		1,452.73		
3	ABBOTT LABORATORIES	ABT	7/17/2015 ^c	149.33 ^N	49.7761	2/2/2017	127.40	42.4650	-21.83	-14.69	LONG
20		ABT	7/17/2015 ^c	995.53 ^N	49.7761	3/2/2017	909.58	45.4800	-85.95	-8.63	LONG
13		ABT	7/17/2015 ^c	647.09 ^N	49.7761	1/19/2018	771.85	59.3745	124.76	19.28	LONG
				1,791.95			1,808.83		16.88		
4	ACCENTURE PLC IRELAND ACN		11/1/2017 ^c	569.87 ^N	142.4917	1/19/2018	642.59	160.6498	72.62	12.74	SHORT
2	AFLAC INC	AFL	8/22/2011 ^c	69.50 ^N	34.7473	2/2/2017	135.03	67.5134	65.53	94.29	LONG
14		AFL	8/22/2011 ^c	486.46 ^N	34.7473	3/2/2017	1,012.37	72.3133	525.91	108.11	LONG
10		AFL	8/22/2011 ^c	347.47 ^N	34.7473	1/19/2018	869.04	86.9060	521.57	150.11	LONG
				903.43			2,016.44		1,113.01		
1	AIR PRODUCTS & CHEMICALS	APD	8/22/2011 ^c	69.22 ^N	69.2180	2/2/2017	138.79	138.7901	69.57	100.51	LONG
5		APD	8/22/2011 ^c	346.09 ^N	69.2180	3/2/2017	706.83	141.3701	360.74	104.23	LONG
4		APD	8/22/2011 ^c	276.87 ^N	69.2180	1/19/2018	667.63	166.9128	390.76	141.13	LONG
				692.18			1,513.25		821.07		
7	AMGEN INC	AMGN	11/23/2015 ^c	1,137.42 ^N	162.4876	3/2/2017	1,244.73	177.8232	107.31	9.43	LONG
4		AMGN	11/23/2015 ^c	649.95 ^N	162.4876	1/19/2018	752.74	188.1910	102.79	15.82	LONG
				1,787.37			1,997.47		210.10		
16	ANALOG DEVICES INC	ADI	8/22/2011 ^c	497.91 ^N	31.1191	3/2/2017	1,339.23	83.7035	841.32	168.97	LONG
9		ADI	8/22/2011 ^c	280.07 ^N	31.1191	1/19/2018	869.90	96.6580	589.83	210.60	LONG
				777.98			2,209.13		1,431.15		
25	ATT&T INC	T	8/22/2011 ^c	711.91 ^N	28.4765	3/2/2017	1,047.84	41.9145	335.93	47.19	LONG
14		T	8/22/2011 ^c	398.67 ^N	28.4765	1/19/2018	517.33	36.9528	118.66	29.76	LONG
				1,110.58			1,585.17		454.59		
2	AUTOMATIC DATA PROCESSIN	ADP	8/22/2011 ^c	81.92 ^N	40.9588	2/2/2017	192.23	96.1150	110.31	134.66	LONG
9		ADP	8/22/2011 ^c	368.63 ^N	40.9588	3/2/2017	935.21	103.9148	566.58	153.70	LONG
7		ADP	8/22/2011 ^c	286.77 ^N	40.9588	1/19/2018	843.91	120.5620	557.20	194.34	LONG
				737.26			1,971.35		1,234.09		
7	BECTON DICKINSON & CO	BDX	8/22/2011 ^c	538.77 ^N	76.9668	3/2/2017	1,281.96	184.5700	753.19	139.80	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

1149-9760

ANN M GETTINGER LIV/TR

ANN M GETTINGER TTEE

U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Realized Gain or Loss	% Gain or Loss	Tax	
5		BDX	8/22/2011 ^c	384.83 ⁿ	76.9668	1/19/2018	1,169.47	233.900	784.64	203.89	LONG
2	BLACKROCK INC	BLK	11/2/2015 ^c	708.60 ⁿ	354.2977	3/2/2017	784.84	392.4301	76.24	10.76	LONG
2		BLK	11/2/2015 ^c	708.60 ⁿ	354.2977	1/19/2018	1,163.65	581.8410	455.05	64.22	LONG
1	CHEVRON CORPORATION	CVX	8/22/2011 ^c	93.56 ⁿ	93.5527	2/2/2017	111.63	111.6300	18.07	19.31	LONG
7		CVX	8/22/2011 ^c	654.85 ⁿ	93.5527	3/2/2017	797.35	113.9100	142.50	21.76	LONG
2		CVX	8/22/2011 ^c	187.10 ⁿ	93.5527	1/19/2018	261.30	130.6548	74.20	39.66	LONG
3		CVX	7/16/2012 ^c	320.85 ⁿ	106.9491	1/19/2018	391.95	130.6548	71.10	22.16	LONG
				1,256.36			1,562.23		305.87		
2	CHUBB LTD	CB	1/15/2016 ^c	222.04 ⁿ	111.9200	2/2/2017	261.03	130.5192	38.99	17.56	LONG
8		CB	1/15/2016 ^c	888.16 ⁿ	111.9200	3/2/2017	1,111.58	138.9501	223.42	25.16	LONG
5		CB	1/15/2016 ^c	555.10 ⁿ	111.9200	1/19/2018	737.41	147.4867	182.31	32.84	LONG
				1,665.30			2,110.02		444.72		
20	CISCO SYS INC	CSCO	8/30/2017 ^c	637.88 ⁿ	31.9937	1/19/2018	825.47	41.2745	187.59	29.41	SHORT
1	CLOROX COMPANY	CLX	8/22/2011 ^c	64.03 ⁿ	64.0242	2/2/2017	120.25	120.2500	56.22	87.80	LONG
8		CLX	8/22/2011 ^c	512.19 ⁿ	64.0242	3/2/2017	1,098.70	137.3401	586.51	114.51	LONG
5		CLX	8/22/2011 ^c	320.12 ⁿ	64.0242	1/19/2018	719.71	143.9456	399.59	124.83	LONG
				896.34			1,938.66		1,042.32		
4	COLGATE PALMOLIVE CO	CL	8/22/2011 ^c	170.62 ⁿ	42.6536	2/2/2017	262.95	65.7400	92.33	54.11	LONG
10		CL	8/22/2011 ^c	426.54 ⁿ	42.6536	3/2/2017	736.93	73.6950	310.39	72.77	LONG
8		CL	8/22/2011 ^c	341.23 ⁿ	42.6536	1/19/2018	619.38	77.4240	278.15	81.51	LONG
				938.39			1,619.26		680.87		
12	EATON VANCE CORP NON EV	VTG	8/22/2011 ^c	256.83 ⁿ	21.4025	3/2/2017	570.47	47.5400	313.64	122.12	LONG
6		EV	8/22/2011 ^c	128.42 ⁿ	21.4025	1/19/2018	361.68	60.2820	233.26	181.64	LONG
				385.25			932.15		546.90		
14	EMERSON ELECTRIC CO	EMR	8/22/2011 ^c	599.83 ⁿ	42.8451	3/2/2017	842.69	60.1935	242.86	40.49	LONG
9		EMR	8/22/2011 ^c	385.61 ⁿ	42.8451	1/19/2018	656.38	72.9334	270.77	70.22	LONG
				985.44			1,499.07		513.63		
4	EVERSOURCE ENERGY	ES	8/22/2011 ^c	128.87 ⁿ	32.2169	2/2/2017	220.31	55.0770	91.44	70.96	LONG
14		ES	8/22/2011 ^c	451.04 ⁿ	32.2169	3/2/2017	820.17	58.5850	369.13	81.84	LONG
10		ES	8/22/2011 ^c	322.17 ⁿ	32.2169	1/19/2018	608.43	60.8437	286.26	88.85	LONG
				902.08			1,648.91		746.83		

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

1149-9760

ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
UJA DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Realized Price	% Gain or Loss	Term	
2	EXXON MOBIL CORP	XOM	3/15/2011 ^c	161.84 ^N	80.9200	2/2/2017	166.34	83.1700	4.50	2.78	LONG
9		XOM	3/15/2011 ^c	728.28 ^N	80.9200	3/2/2017	753.02	83.6710	24.74	3.40	LONG
4		XOM	3/15/2011 ^c	323.68 ^N	80.9200	1/19/2018	347.44	86.8628	23.76	7.34	LONG
2		XOM	4/11/2012 ^c	165.35 ^N	82.6721	1/19/2018	173.73	86.8628	8.38	5.07	LONG
				<u>1,379.15</u>			<u>1,440.53</u>		<u>61.38</u>		
4	FACTSET RESEARCH SYS FDS INC	FDS	8/22/2011 ^c	320.04 ^N	80.0099	3/2/2017	714.34	178.5900	394.30	123.20	LONG
3		FDS	8/22/2011 ^c	<u>240.03^N</u>	<u>80.0099</u>	<u>1/19/2018</u>	<u>599.09</u>	<u>199.7000</u>	<u>359.06</u>	<u>149.59</u>	<u>LONG</u>
				<u>560.07</u>			<u>1,313.43</u>		<u>753.36</u>		
1	GENL DYNAMICS CORP COM	GD	8/22/2011 ^c	58.28 ^N	58.2898	2/2/2017	180.04	180.0400	121.75	208.87	LONG
7		GD	8/22/2011 ^c	408.03 ^N	58.2898	3/2/2017	1,335.36	190.7700	927.33	227.27	LONG
6		GD	8/22/2011 ^c	349.74 ^N	58.2898	1/19/2018	1,248.95	206.1634	899.21	257.11	LONG
				<u>816.06</u>			<u>2,764.35</u>		<u>1,948.29</u>		
3	GENL MILLS INC	GIS	8/22/2011 ^c	108.83 ^N	36.2770	2/2/2017	185.53	61.8434	76.70	70.48	LONG
13		GIS	8/22/2011 ^c	471.60 ^N	36.2770	3/2/2017	787.07	60.5450	315.47	66.89	LONG
9		GIS	8/22/2011 ^c	326.49 ^N	36.2770	1/19/2018	533.83	59.3150	207.34	63.51	LONG
				<u>906.92</u>			<u>1,506.43</u>		<u>599.51</u>		
1	GRAINGER W W INC	GWW	8/22/2011 ^c	132.94 ^N	132.9385	2/2/2017	251.38	251.3901	118.44	89.09	LONG
2		GWW	8/22/2011 ^c	265.87 ^N	132.9385	3/2/2017	497.69	248.8500	231.82	87.19	LONG
2		GWW	8/22/2011 ^c	265.87 ^N	132.9385	1/8/2018	475.20	237.6061	209.33	78.73	LONG
4		GWW	7/16/2012 ^c	756.44 ^N	189.1100	1/8/2018	950.40	237.6061	193.96	25.64	LONG
2		GWW	10/10/2013 ^c	510.86 ^N	255.4280	1/8/2018	475.20	237.6061	-35.66	-6.98	LONG
1		GWW	7/12/2016 ^c	230.28 ^N	230.2773	1/8/2018	237.60	237.6061	7.32	3.18	LONG
				<u>2,162.26</u>			<u>2,887.47</u>		<u>725.21</u>		
3	HARRIS CORP DEL	HRS	8/22/2011 ^c	105.44 ^N	35.1459	2/2/2017	313.07	104.3592	207.63	196.92	LONG
7		HRS	8/22/2011 ^c	246.02 ^N	35.1459	3/2/2017	771.59	110.2306	525.57	213.63	LONG
5		HRS	8/22/2011 ^c	175.73 ^N	35.1459	1/19/2018	729.28	145.8600	553.55	315.00	LONG
				<u>527.19</u>			<u>1,813.94</u>		<u>1,286.75</u>		
1	INT'L TOOL WORKS INC	ITW	8/22/2011 ^c	42.39 ^N	42.3829	2/2/2017	126.65	126.6501	84.26	198.77	LONG
10		ITW	8/22/2011 ^c	423.83 ^N	42.3829	3/2/2017	1,341.71	134.1737	917.88	216.57	LONG
6		ITW	8/22/2011 ^c	254.30 ^N	42.3829	1/19/2018	1,028.37	171.5650	775.07	304.79	LONG
				<u>720.52</u>			<u>2,497.73</u>		<u>1,777.21</u>		
1	INT'L BUSINESS MACH CORP	IBM	8/22/2011 ^c	159.24 ^N	159.2381	2/2/2017	174.45	174.4501	15.21	9.55	LONG
4		IBM	8/22/2011 ^c	636.96 ^N	159.2381	3/2/2017	722.90	180.7301	85.94	13.49	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

1149-9760

ANN M GETTINGER LIV TR

ANN M GETTINGER TTEE

UIA DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain or Loss	% Gain or Loss	Term
2	IBM		8/22/2011 ^c	318.48 ⁿ	159.2381	1/19/2018	325.58	162.7930	7.10	2.23	LONG
				<u>1,114.68</u>			<u>1,222.93</u>		<u>108.25</u>		
1	JM SMUCKER CO	SJM	8/22/2011 ^c	68.16 ⁿ	68.1531	2/2/2017	137.35	137.3500	69.19	101.51	LONG
7		SJM	8/22/2011 ^c	477.07 ⁿ	68.1531	3/2/2017	987.33	141.0501	510.26	106.96	LONG
14		SJM	8/22/2011 ^c	954.12 ⁿ	68.1531	9/28/2017	1,471.60	105.1238	517.57	54.25	LONG
10		SJM	7/16/2012 ^c	765.38 ⁿ	76.5382	9/28/2017	1,051.21	105.1238	285.83	37.34	LONG
7		SJM	10/10/2013 ^c	740.91 ⁿ	105.8440	9/28/2017	735.86	105.1238	-5.05	-0.68	LONG
				<u>3,005.64</u>			<u>4,383.44</u>		<u>1,377.80</u>		
1	JOHNSON & JOHNSON	JNJ	8/22/2011 ^c	63.45 ⁿ	63.3445	2/2/2017	112.95	112.9533	49.50	78.01	LONG
10		JNJ	8/22/2011 ^c	634.45 ⁿ	63.4455	3/2/2017	1,240.07	124.0100	605.62	95.46	LONG
6		JNJ	8/22/2011 ^c	380.66 ⁿ	63.4455	1/19/2018	881.74	146.9600	501.08	131.63	LONG
				<u>1,078.56</u>			<u>2,234.76</u>		<u>1,156.20</u>		
5	JPMORGAN CHASE & CO	JPM	10/26/2017 ^c	509.91 ⁿ	101.9804	1/19/2018	567.20	113.4420	57.29	11.24	SHORT
3	KELLOGG COMPANY	K	3/5/2012 ^c	156.36 ⁿ	52.1203	2/2/2017	219.15	73.0492	62.79	40.16	LONG
9		K	3/5/2012 ^c	469.08 ⁿ	52.1203	3/2/2017	674.77	74.9750	205.69	43.85	LONG
23		K	3/5/2012 ^c	1,198.76 ⁿ	52.1203	9/29/2017	1,434.78	62.3833	236.02	19.69	LONG
17		K	7/16/2012 ^c	822.23 ⁿ	48.3663	9/29/2017	1,060.49	62.3833	238.26	28.98	LONG
5		K	10/10/2013 ^c	301.70 ⁿ	60.3399	9/29/2017	311.92	62.3833	10.22	3.39	LONG
				<u>2,948.13</u>			<u>3,701.11</u>		<u>752.98</u>		
3	LOWES COMPANIES INC	LOW	2/6/2013 ^c	115.23 ⁿ	38.4095	2/2/2017	216.28	72.0946	101.05	87.69	LONG
17		LOW	2/6/2013 ^c	652.96 ⁿ	38.4095	3/2/2017	1,367.13	80.4210	714.17	109.37	LONG
14		LOW	2/6/2013 ^c	537.73 ⁿ	38.4095	1/19/2018	1,460.88	104.3510	923.15	171.68	LONG
				<u>1,305.92</u>			<u>3,044.29</u>		<u>1,738.37</u>		
1	MCDONALDS CORP	MCD	8/22/2011 ^c	87.64 ⁿ	87.5387	2/2/2017	123.50	123.5001	35.86	40.92	LONG
5		MCD	8/22/2011 ^c	438.19 ⁿ	87.5387	3/2/2017	642.49	128.5001	204.30	46.62	LONG
4		MCD	8/22/2011 ^c	350.56 ⁿ	87.6387	1/19/2018	700.62	175.1604	350.06	99.86	LONG
				<u>876.39</u>			<u>1,466.61</u>		<u>590.22</u>		
18	MEDTRONIC PLC	MDT	1/27/2015 ^c	1,362.09 ⁿ	76.3500	3/2/2017	1,478.33	82.1310	116.24	8.39	LONG
10		MDT	1/27/2015 ^c	756.72 ⁿ	76.3500	1/19/2018	865.12	86.5140	108.40	14.09	LONG
				<u>2,118.81</u>			<u>2,343.45</u>		<u>224.64</u>		
26	MICROSOFT CORP	MSFT	2/13/2012 ^c	795.58 ⁿ	30.5994	3/2/2017	1,564.09	64.0050	868.51	109.17	LONG
12		MSFT	2/13/2012 ^c	367.19 ⁿ	30.5994	1/19/2018	1,078.80	89.9030	711.61	193.80	LONG
6		MSFT	4/11/2012 ^c	182.30 ⁿ	30.3831	1/19/2018	539.41	89.9030	357.11	195.89	LONG
				<u>1,345.07</u>			<u>3,282.30</u>		<u>1,937.23</u>		

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

1149-9760

ANN M GETTINGER LIV/TR

ANN M GETTINGER TTEE

UA DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Closed Price	Realized Gain or Loss	% Gain or Loss	Term
1	NEXTERA ENERGY INC	NEE	8/22/2011 ^c	54.15 ⁿ	54.1453	2/2/2017	123.11	123.1100	68.96	127.35	LONG
9		NEE	8/22/2011 ^c	487.31 ⁿ	54.1453	3/2/2017	1,177.00	130.7815	689.69	141.53	LONG
5		NEE	8/22/2011 ^c	270.73 ⁿ	54.1453	1/19/2018	752.90	150.5846	482.17	178.10	LONG
				<u>812.19</u>			<u>2,053.01</u>		<u>1,240.82</u>		
10	NIKE INC CLASS B	NKE	1/20/2016 ^c	582.16 ⁿ	58.2159	3/2/2017	579.54	57.9545	-2.62	-0.45	LONG
7		NKE	1/20/2016 ^c	407.51 ⁿ	58.2159	1/19/2018	464.51	66.3600	57.00	13.99	LONG
				<u>989.67</u>			<u>1,044.05</u>		<u>54.38</u>		
8	NORFOLK SOUTHERN CORP	NSC	8/22/2011 ^c	503.93 ⁿ	62.9934	3/2/2017	977.58	122.2000	473.63	93.98	LONG
6		NSC	8/22/2011 ^c	377.96 ⁿ	62.9934	1/19/2018	916.14	152.6938	538.18	142.39	LONG
				<u>881.91</u>			<u>1,083.72</u>		<u>1,011.81</u>		
10	NOVARTIS AG	NVS	8/22/2011 ^c	556.47 ⁿ	55.6472	3/2/2017	751.32	75.1344	194.85	35.02	LONG
6		NVS	8/22/2011 ^c	333.88 ⁿ	55.6472	1/19/2018	518.44	86.4085	184.56	55.28	LONG
				<u>890.35</u>			<u>1,269.76</u>		<u>379.41</u>		
3	PAYCHEX INC	PAYX	8/22/2011 ^c	76.07 ⁿ	25.5539	2/2/2017	175.54	58.5133	89.47	130.76	LONG
16		PAYX	8/22/2011 ^c	405.66 ⁿ	25.3539	3/2/2017	995.82	62.2400	590.16	145.48	LONG
12		PAYX	8/22/2011 ^c	304.25 ⁿ	25.3539	1/19/2018	830.66	69.2234	526.41	173.02	LONG
				<u>785.98</u>			<u>2,002.02</u>		<u>1,216.04</u>		
1	PEPSICO INCORPORATED	PEP	3/15/2011 ^c	63.17 ⁿ	63.1700	2/2/2017	104.21	104.2101	41.04	64.97	LONG
8		PEP	3/15/2011 ^c	505.36 ⁿ	63.1700	3/2/2017	880.34	110.0450	374.98	74.20	LONG
5		PEP	3/15/2011 ^c	315.85 ⁿ	63.1700	1/19/2018	599.92	119.9856	284.07	89.94	LONG
				<u>884.38</u>			<u>1,584.47</u>		<u>700.09</u>		
3	PHILLIPS 66	PSX	7/16/2012 ^c	107.26 ⁿ	35.555	3/2/2017	236.67	78.8933	129.41	120.65	LONG
7		PSX	8/3/2012 ^c	276.71 ⁿ	39.5300	3/2/2017	552.24	78.8933	275.53	99.57	LONG
6		PSX	8/3/2012 ^c	237.18 ⁿ	39.5300	1/19/2018	631.35	105.2262	394.17	166.19	LONG
				<u>621.15</u>			<u>1,420.28</u>		<u>799.11</u>		
8	POLARIS IND'S INC	PII	8/22/2011 ^c	375.77 ⁿ	46.9710	3/2/2017	697.10	87.1401	321.33	85.51	LONG
4		PII	8/22/2011 ^c	187.88 ⁿ	46.9710	1/19/2018	536.03	134.0100	348.15	185.30	LONG
				<u>563.65</u>			<u>1,233.13</u>		<u>669.48</u>		
7	PRAXAIR INC	PX	8/22/2011 ^c	628.85 ⁿ	89.3364	3/2/2017	835.15	119.3101	206.30	32.81	LONG
4		PX	8/22/2011 ^c	359.34 ⁿ	89.3364	1/19/2018	646.44	161.6123	287.10	79.90	LONG
				<u>988.19</u>			<u>1,481.59</u>		<u>493.40</u>		
2	PROCTER & GAMBLE CO	PG	3/15/2011 ^c	121.17 ⁿ	60.5800	2/2/2017	176.23	88.1146	55.06	45.44	LONG
8		PG	3/15/2011 ^c	484.68 ⁿ	60.5800	3/2/2017	731.74	91.4700	247.06	50.97	LONG

Closed Holdings

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 Wednesday, September 05, 2018
 A5VK MAHN/GOWEN

Prepared For:

1149-9760

ANN M GETTINGER LIV TR
 ANN M GETTINGER TTEE
 U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Realized Price	% Gain or Loss	Term
5	PG		3/15/2011 ^c	302.92 ⁿ	60.5800	1/19/2018	452.03	90.4077	149.11	49.22
				<u>908.77</u>			<u>1,360.00</u>		<u>451.23</u>	
13	QUALCOMM INC	QCOM	9/23/2014 ^c	983.52 ⁿ	76.1852	3/2/2017	734.42	56.4950	-249.10	-25.15
8		QCOM	9/23/2014 ^c	<u>591.56ⁿ</u>		1/19/2018	<u>544.93</u>	<u>68.1180</u>	<u>-46.63</u>	<u>-7.65</u>
				<u>1,575.08</u>			<u>1,279.35</u>		<u>-295.73</u>	
2	SCANA CORP COM	SCG	8/22/2011 ^c	77.12 ⁿ	38.5581	2/2/2017	137.96	68.9801	60.84	78.89
5		SCG	8/22/2011 ^c	<u>192.79ⁿ</u>		3/2/2017	<u>348.34</u>	<u>69.6700</u>	<u>155.55</u>	<u>80.68</u>
12			8/22/2011 ^c	<u>462.69ⁿ</u>		8/21/2017	<u>724.03</u>	<u>60.3375</u>	<u>261.34</u>	<u>56.48</u>
6	SCG		7/16/2012 ^c	290.65 ⁿ	48.4420	8/21/2017	362.02	60.3375	71.37	24.56
7		SCG	10/10/2013 ^c	<u>320.49ⁿ</u>		8/21/2017	<u>422.36</u>	<u>60.3375</u>	<u>101.87</u>	<u>31.79</u>
				<u>1,343.74</u>			<u>1,994.71</u>		<u>650.97</u>	
15	SOUTHERN COMPANY/THE SO		8/22/2011 ^c	599.46 ⁿ	39.3640	3/2/2017	754.68	50.3135	155.22	25.89
20	SO		8/22/2011 ^c	<u>799.27ⁿ</u>		8/17/2017	<u>962.77</u>	<u>48.1400</u>	<u>163.50</u>	<u>20.46</u>
13		SO	7/16/2012 ^c	616.78 ⁿ	47.4455	8/17/2017	625.80	48.1400	9.01	1.46
21		SO	10/10/2013 ^c	<u>867.72ⁿ</u>		8/17/2017	<u>1,010.93</u>	<u>48.1400</u>	<u>143.21</u>	<u>16.50</u>
				<u>2,883.24</u>			<u>3,354.18</u>		<u>470.94</u>	
5	SYSKO CORPORATION	SYY	8/22/2011 ^c	134.61 ⁿ	26.9207	2/2/2017	262.59	52.5200	127.98	95.07
17		SYY	8/22/2011 ^c	<u>457.65ⁿ</u>		3/2/2017	<u>896.99</u>	<u>52.7650</u>	<u>439.34</u>	<u>96.00</u>
12		SYY	8/22/2011 ^c	<u>323.04ⁿ</u>		8/22/2017	<u>757.59</u>	<u>63.1344</u>	<u>434.55</u>	<u>134.52</u>
				<u>915.30</u>			<u>1,917.17</u>		<u>1,001.87</u>	
2	TARGET CORP	TGT	8/22/2011 ^c	99.98 ⁿ	49.9891	2/2/2017	127.70	63.8500	27.72	27.73
10		TGT	8/22/2011 ^c	<u>499.89ⁿ</u>		3/2/2017	<u>579.83</u>	<u>57.9839</u>	<u>79.94</u>	<u>15.99</u>
5		TGT	8/22/2011 ^c	<u>249.95ⁿ</u>		1/19/2018	<u>386.62</u>	<u>77.3262</u>	<u>136.67</u>	<u>54.68</u>
				<u>849.82</u>			<u>1,094.15</u>		<u>244.33</u>	
1	UNITED TECHNOLOGIES CORP	UTX	8/22/2011 ^c	67.69 ⁿ	67.6850	2/2/2017	108.28	108.2801	40.59	59.96
9		UTX	8/22/2011 ^c	<u>609.17ⁿ</u>		3/2/2017	<u>1,018.87</u>	<u>113.2101</u>	<u>409.70</u>	<u>67.26</u>
5		UTX	8/22/2011 ^c	<u>338.42ⁿ</u>		1/19/2018	<u>675.69</u>	<u>135.1423</u>	<u>337.27</u>	<u>99.66</u>
				<u>1,015.28</u>			<u>1,802.84</u>		<u>787.56</u>	
18	US BANCORP NEW	USB	1/30/2017 ^c	948.28 ⁿ	52.6820	3/2/2017	1,003.00	55.7231	54.72	5.77
12		USB	1/30/2017 ^c	<u>632.19ⁿ</u>		1/19/2018	<u>673.59</u>	<u>56.1340</u>	<u>41.40</u>	<u>6.55</u>
				<u>1,580.47</u>			<u>1,676.59</u>		<u>96.12</u>	
17	VF CORPORATION	VFC	8/22/2011 ^c	448.46 ⁿ	26.3803	3/2/2017	901.91	53.0545	453.45	101.11
10		VFC	8/22/2011 ^c	<u>263.80ⁿ</u>		1/19/2018	<u>789.38</u>	<u>78.9400</u>	<u>525.58</u>	<u>199.23</u>
				<u>1,702.27</u>			<u>1,771.29</u>		<u>76.92</u>	

Closed Holdings

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

ANN M GETTINGER LIV TR
ANN M GETTINGER TEE
WIA DTN 06/28/2006

01/01/2017 - 09/05/2018

ארכיאולוגיה של ירושלים

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	% Gain or Loss	Term
2	WAL-MART STORES INC	WMT	3/15/2011 ^c	103.73 ⁿ	51.8600	2/2/2017	133.49	66.7446	29.76	28.69
12		WMT	3/15/2011 ^c	622.38 ⁿ	51.8600	3/2/2017	846.26	70.5234	223.88	35.97
7		WMT	3/15/2011 ^c	363.05 ⁿ	51.8600	1/19/2018	728.63	104.0931	365.58	100.70
				1,089.16			1,708.38		619.22	
9	WEC ENERGY GROUP INC	WEC	6/25/2012 ^c	347.83 ⁿ	38.6475	3/2/2017	542.51	60.2800	194.68	55.97
9		WEC	6/25/2012 ^c	347.83 ⁿ	38.6475	1/19/2018	572.33	63.5934	224.50	64.54
				695.66			1,114.84		419.18	

Closed Holdings

01/01/2017 - 09/05/2018
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1149-9760

ANN M GETTINGER LIV TR
 ANN M GETTINGER TTEE
 U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
				61,588.74		102,016.78			40,428.04		

Realized Gains or Losses	
Short Term	Long Term
413.62	
	40,014.42

Legend

(*) Security Held Away	Cost Amount
Open Date	E - Pre Effective
C - Covered Tax Lot	O - Post Effective
G - Noncovered Tax Lot	N - Not Average Cost
N - Net Row Contains Both Covered and Noncovered Tax Lots	M - Net Row Contains Tax Lots with Multiple Elections
W - Open Date Reflects Wash Sale Date	

Covered Tax Lot - Cost information for this tax lot is covered by IRS reporting requirements.

Noncovered Tax Lot - Cost information for this tax lot is not covered by IRS reporting requirements.

Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.

CSI-Cash Standing Instructions indicates the disposition of stock and money on cash accounts

MMF Code -The MMF Code in the report header indicates how money market account balances are treated.

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Investments in securities and insurance products are:

NOT FDIC - INSURED NOT BANK GUARANTEED MAY LOSE VALUE.

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Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

1149-9760

ANN M GETTINGER LIV TR

ANN M GETTINGER TTEE

U/A DTD 06/28/2006

208 BARRINGTON PL

FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Short											
4	ACCENTURE PLC IRELAND	ACN	1/1/2017 ^c	569.97 ⁿ	142.4917	11/9/2018	642.59	160.6498	72.62	12.74	
20	CISCO SYS INC	CSCO	8/30/2017 ^c	637.86 ⁿ	31.8937	1/19/2018	825.47	41.2745	187.59	29.41	
5	JPMORGAN CHASE & CO	JPM	10/26/2017 ^c	509.91 ⁿ	101.9804	1/19/2018	567.20	113.4420	57.29	11.24	
18	US BANCORP NEW	USB	1/30/2017 ^c	948.28 ⁿ	52.6820	3/2/2017	1,003.00	55.7231	54.72	5.77	
12	US BANCORP NEW	USB	1/30/2017 ^c	632.19 ⁿ	52.6820	1/19/2018	673.59	56.1340	41.40	6.55	
Long											
2	AFLAC INC	AFL	8/22/2017 ^c	69.50 ⁿ	34.7473	2/2/2017	135.03	67.5134	65.53	94.29	
14	AFLAC INC	AFL	8/22/2017 ^c	486.46 ⁿ	34.7473	3/2/2017	1,012.37	72.3133	525.91	108.11	
10	AFLAC INC	AFL	8/22/2017 ^c	347.47 ⁿ	34.7473	1/19/2018	869.04	86.9060	521.57	150.11	
25	AT & T INC	T	8/22/2017 ^c	711.91 ⁿ	28.4765	3/2/2017	1,047.84	41.9145	335.93	47.19	
14	AT & T INC	T	8/22/2017 ^c	398.67 ⁿ	28.4765	1/19/2018	577.33	36.9528	118.66	29.76	
3	ABBOTT LABORATORIES	ABT	7/17/2017 ^c	149.32 ⁿ	49.7761	2/2/2017	127.40	42.4650	-21.93	-14.69	
20	ABBOTT LABORATORIES	ABT	7/17/2017 ^c	995.52 ⁿ	49.7761	3/2/2017	909.58	45.4800	-83.95	-8.63	
13	ABBOTT LABORATORIES	ABT	7/17/2017 ^c	647.09 ⁿ	49.7761	1/19/2018	771.85	59.3745	124.76	19.28	
1	AIR PRODUCTS & CHEMICALS	APD	8/22/2017 ^c	69.22 ⁿ	69.2180	2/2/2017	138.79	138.7901	69.57	100.51	
5	AIR PRODUCTS & CHEMICALS	APD	8/22/2017 ^c	346.05 ⁿ	69.2180	3/2/2017	706.83	141.3701	360.74	104.23	
4	AIR PRODUCTS & CHEMICALS	APD	8/22/2017 ^c	276.87 ⁿ	69.2180	1/19/2018	667.63	166.9128	390.76	141.13	
7	AMGEN INC	AMGN	1/13/2017 ^c	1,137.42 ⁿ	162.4876	3/2/2017	1,244.73	177.8232	107.31	9.43	
4	AMGEN INC	AMGN	1/13/2017 ^c	640.95 ⁿ	162.4876	1/19/2018	752.74	188.1910	102.79	15.82	
16	ANALOG DEVICES INC	ADI	8/22/2017 ^c	497.91 ⁿ	31.1191	3/2/2017	1,339.23	83.7035	841.32	168.97	
9	ANALOG DEVICES INC	ADI	8/22/2017 ^c	280.07 ⁿ	31.1191	1/19/2018	869.90	96.6580	589.83	210.60	
2	AUTOMATIC DATA PROCESSIN	ADP	8/22/2017 ^c	81.92 ⁿ	40.9588	2/2/2017	192.23	96.1150	110.31	134.66	
9	AUTOMATIC DATA PROCESSIN	ADP	8/22/2017 ^c	368.62 ⁿ	40.9588	3/2/2017	935.21	103.9148	566.58	153.70	
7	AUTOMATIC DATA PROCESSIN	ADP	8/22/2017 ^c	286.71 ⁿ	40.9588	1/19/2018	843.91	120.5620	557.20	194.34	
7	BECTON DICKINSON & CO	BDX	8/22/2017 ^c	538.77 ⁿ	76.9668	3/2/2017	1,291.96	184.5700	753.19	139.80	
5	BECTON DICKINSON & CO	BDX	8/22/2017 ^c	384.83 ⁿ	76.9668	1/19/2018	1,169.47	233.9000	784.64	203.89	
2	BLACKROCK INC	BLK	1/12/2017 ^c	708.60 ⁿ	354.2977	3/2/2017	784.84	392.4301	76.24	10.76	
2	BLACKROCK INC	BLK	1/12/2017 ^c	708.50 ⁿ	354.2977	1/19/2018	1,163.65	581.8410	455.05	64.22	
1	CHEVRON CORPORATION	CVX	8/22/2017 ^c	93.56 ⁿ	93.5527	2/2/2017	111.63	111.6300	18.07	19.31	
7	CHEVRON CORPORATION	CVX	8/22/2017 ^c	654.85 ⁿ	93.5527	3/2/2017	797.35	113.9100	142.50	21.76	
2	CHEVRON CORPORATION	CVX	8/22/2017 ^c	187.10 ⁿ	93.5527	1/19/2018	261.30	130.6548	74.20	39.66	
3	CHEVRON CORPORATION	CVX	7/16/2017 ^c	320.85 ⁿ	106.9491	1/19/2018	391.95	130.6548	71.10	22.16	

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

1149-9760

ANN M GETTINGER LIV TR

ANN M GETTINGER TTEE

UIA DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Cost Adjusted	Trade Price	Close Date	Close Amt.	Close Price	% Gain or Loss
Long - Continued										
2	CHUBBLTD	CB	1/15/2016 ^c	222.04 ⁿ	111.0200	2/2/2017	261.03	130.5192	38.99	17.58
8	CHUBBLTD	CB	1/15/2016 ^c	888.16 ⁿ	111.0200	3/2/2017	1,111.58	138.9501	223.42	25.16
5	CHUBBLTD	CB	1/15/2016 ^c	555.10 ⁿ	111.0200	1/19/2018	737.41	147.4867	182.31	32.84
1	CLOROX COMPANY	CLX	8/22/2011 ^c	64.03 ⁿ	64.0242	2/2/2017	120.25	120.2500	56.22	87.80
8	CLOROX COMPANY	CLX	8/22/2011 ^c	512.19 ⁿ	64.0242	3/2/2017	1,098.70	137.3401	586.51	114.51
5	CLOROX COMPANY	CLX	8/22/2011 ^c	320.12 ⁿ	64.0242	1/19/2018	719.71	143.9456	399.59	124.83
4	COLGATE PALMOLIVE CO	CL	8/22/2011 ^c	170.62 ⁿ	42.6536	2/2/2017	262.95	65.7400	92.33	54.11
10	COLGATE PALMOLIVE CO	CL	8/22/2011 ^c	426.59 ⁿ	42.6536	3/2/2017	736.93	73.6950	310.39	72.77
8	COLGATE PALMOLIVE CO	CL	8/22/2011 ^c	341.23 ⁿ	42.6536	1/19/2018	619.38	77.4240	278.15	81.51
12	EATON VANCE CORP NON VTG	EV	8/22/2011 ^c	256.83 ⁿ	21.4025	3/2/2017	570.47	47.5400	313.64	122.12
6	EATON VANCE CORP NON VTG	EV	8/22/2011 ^c	128.42 ⁿ	21.4025	1/19/2018	361.68	60.2820	233.26	181.64
14	EMERSON ELECTRIC CO	EMR	8/22/2011 ^c	599.83 ⁿ	42.8451	3/2/2017	842.69	60.1935	242.86	40.49
9	EMERSON ELECTRIC CO	EMR	8/22/2011 ^c	385.61 ⁿ	42.8451	1/19/2018	656.38	72.9334	270.77	70.22
4	EVERSOURCE ENERGY	ES	8/22/2011 ^c	128.87 ⁿ	32.2169	2/2/2017	220.31	55.0770	91.44	70.96
14	EVERSOURCE ENERGY	ES	8/22/2011 ^c	451.04 ⁿ	32.2169	3/2/2017	820.17	58.5650	369.13	81.84
10	EVERSOURCE ENERGY	ES	8/22/2011 ^c	322.17 ⁿ	32.2169	1/19/2018	608.43	60.8437	286.26	88.85
2	EXXON MOBIL CORP	XOM	3/15/2011 ^c	161.84 ⁿ	80.9200	2/2/2017	166.34	83.1700	4.50	2.78
9	EXXON MOBIL CORP	XOM	3/15/2011 ^c	728.28 ⁿ	80.9200	3/2/2017	753.02	83.6710	24.74	3.40
4	EXXON MOBIL CORP	XOM	3/15/2011 ^c	323.68 ⁿ	80.9200	1/19/2018	347.44	86.8628	23.76	7.34
2	EXXON MOBIL CORP	XOM	4/11/2012 ^c	165.35 ⁿ	82.6721	1/19/2018	173.73	86.8628	8.38	5.07
4	FACTSET RESEARCH SYS INC	FDS	8/22/2011 ^c	320.04 ⁿ	80.0099	3/2/2017	714.34	178.5900	394.30	123.20
3	FACTSET RESEARCH SYS INC	FDS	8/22/2011 ^c	240.03 ⁿ	80.0099	1/19/2018	599.09	199.7000	359.06	149.59
1	GENL DYNAMICS CORP COM	GD	8/22/2011 ^c	58.29 ⁿ	58.2898	2/2/2017	180.04	180.0400	121.75	208.87
7	GENL DYNAMICS CORP COM	GD	8/22/2011 ^c	408.03 ⁿ	58.2898	3/2/2017	1,335.36	190.7700	927.33	227.27
6	GENL DYNAMICS CORP COM	GD	8/22/2011 ^c	349.74 ⁿ	58.2898	1/19/2018	1,248.95	208.1634	898.21	257.11
3	GENL MILLS INC	GIS	8/22/2011 ^c	108.83 ⁿ	36.2770	2/2/2017	185.53	61.8434	76.70	70.48
13	GENL MILLS INC	GIS	8/22/2011 ^c	471.60 ⁿ	36.2770	3/2/2017	787.07	60.5450	315.47	66.89
9	GENL MILLS INC	GIS	8/22/2011 ^c	328.49 ⁿ	36.2770	1/19/2018	533.83	59.3150	207.34	63.51
1	GRAINGER W W INC	GWW	8/22/2011 ^c	132.94 ⁿ	132.9385	2/2/2017	251.38	251.3901	118.44	89.09
2	GRAINGER W W INC	GWW	8/22/2011 ^c	265.87 ⁿ	132.9385	3/2/2017	497.69	248.8500	231.82	87.19
2	GRAINGER W W INC	GWW	8/22/2011 ^c	265.87 ⁿ	132.9385	1/8/2018	475.20	237.6661	209.33	78.73
4	GRAINGER W W INC	GWW	7/16/2012 ^c	756.44 ⁿ	189.1100	1/8/2018	950.40	237.6661	193.96	25.64
2	GRAINGER W W INC	GWW	10/10/2013 ^c	510.86 ⁿ	255.4280	1/8/2018	475.20	237.6661	-35.66	-6.98
1	GRAINGER W W INC	GWW	7/12/2016 ^c	230.28 ⁿ	230.2773	1/8/2018	237.6061	237.6061	7.32	3.18
3	HARRIS CORP DEL	HRS	8/22/2011 ^c	105.44 ⁿ	35.1459	2/2/2017	313.07	104.3392	207.63	196.92

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

1149-9760
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	% Gain or Loss	
Long - Continued											
7	HARRIS CORP DEL	HRS	8/22/2011 ^c	246.02 ⁿ	35.1459	3/2/2017	771.59	110.2306	525.57	213.63	
5	HARRIS CORP DEL	HRS	8/22/2011 ^c	175.73 ⁿ	35.1459	1/19/2018	729.28	145.8900	553.55	315.00	
1	ILL TOOL WORKS INC	ITW	8/22/2011 ^c	42.36 ⁿ	42.3829	2/2/2017	126.65	126.6501	84.26	198.77	
10	ILL TOOL WORKS INC	ITW	8/22/2011 ^c	423.83 ⁿ	42.3829	3/2/2017	1,341.71	134.1737	917.88	216.57	
6	ILL TOOL WORKS INC	ITW	8/22/2011 ^c	254.30 ⁿ	42.3829	1/19/2018	1,029.37	171.5650	775.07	304.79	
1	INTL BUSINESS MACH CORP	IBM	8/22/2011 ^c	159.24 ⁿ	159.2381	2/2/2017	174.45	174.4501	15.21	9.55	
4	INTL BUSINESS MACH CORP	IBM	8/22/2011 ^c	636.96 ⁿ	159.2381	3/2/2017	722.90	180.7301	85.94	13.49	
2	INTL BUSINESS MACH CORP	IBM	8/22/2011 ^c	318.48 ⁿ	159.2381	1/19/2018	325.58	162.7930	7.10	2.23	
1	JM SMUCKER CO	SJM	8/22/2011 ^c	68.16 ⁿ	68.1531	2/2/2017	137.35	137.3500	69.19	101.51	
7	JM SMUCKER CO	SJM	8/22/2011 ^c	477.07 ⁿ	68.1531	3/2/2017	987.33	141.0501	510.26	106.96	
14	JM SMUCKER CO	SJM	8/22/2011 ^c	954.12 ⁿ	68.1531	9/28/2017	1,471.69	105.1238	517.57	54.25	
10	JM SMUCKER CO	SJM	7/16/2012 ^c	765.38 ⁿ	76.5382	9/28/2017	1,051.21	105.1238	285.83	37.34	
7	JM SMUCKER CO	SJM	10/10/2013 ^c	740.91 ⁿ	105.8440	9/28/2017	735.86	105.1238	-5.05	-0.68	
1	JOHNSON & JOHNSON	JNJ	8/22/2011 ^c	63.45 ⁿ	63.4455	2/2/2017	112.95	112.9533	49.50	78.01	
10	JOHNSON & JOHNSON	JNJ	8/22/2011 ^c	634.45 ⁿ	63.4455	3/2/2017	1,240.07	124.0100	605.62	95.46	
6	JOHNSON & JOHNSON	JNJ	8/22/2011 ^c	380.66 ⁿ	63.4455	1/19/2018	881.74	146.9600	501.08	131.63	
3	KELLOGG COMPANY	K	3/5/2012 ^c	156.36 ⁿ	52.1203	2/2/2017	219.15	73.0492	62.79	40.16	
9	KELLOGG COMPANY	K	3/5/2012 ^c	469.08 ⁿ	52.1203	3/2/2017	674.77	74.9750	205.69	43.85	
23	KELLOGG COMPANY	K	3/5/2012 ^c	1,198.76 ⁿ	52.1203	9/29/2017	1,434.78	62.3833	236.02	19.69	
17	KELLOGG COMPANY	K	7/16/2012 ^c	822.23 ⁿ	48.3663	9/29/2017	1,060.49	62.3833	238.26	28.98	
5	KELLOGG COMPANY	K	10/10/2013 ^c	301.70 ⁿ	60.3399	9/29/2017	311.92	62.3833	10.22	3.39	
3	LOWES COMPANIES INC	LOW	2/6/2013 ^c	115.23 ⁿ	38.4095	2/2/2017	216.28	72.0346	101.05	87.69	
17	LOWES COMPANIES INC	LOW	2/6/2013 ^c	652.96 ⁿ	38.4095	3/2/2017	1,367.13	80.4210	714.17	109.37	
14	LOWES COMPANIES INC	LOW	2/6/2013 ^c	537.73 ⁿ	38.4095	1/19/2018	1,460.88	104.3510	923.15	171.68	
1	MCDONALDS CORP	MCD	8/22/2011 ^c	87.64 ⁿ	87.6387	2/2/2017	123.50	123.5001	35.86	40.92	
5	MCDONALDS CORP	MCD	8/22/2011 ^c	438.19 ⁿ	87.6387	3/2/2017	642.49	128.5001	204.30	46.62	
4	MCDONALDS CORP	MCD	8/22/2011 ^c	350.56 ⁿ	87.6387	1/19/2018	700.62	175.1604	350.06	99.86	
18	MEDTRONIC PLC	MDT	1/27/2015 ^c	1,385.10 ⁿ	1,362.09	76.9500	3/2/2017	1,478.33	82.1310	116.24	8.39
10	MEDTRONIC PLC	MDT	1/27/2015 ^c	769.50 ⁿ	756.72	76.9500	1/19/2018	865.12	86.5140	108.40	14.09
26	MICROSOFT CORP	MSFT	2/13/2012 ^c	795.58 ⁿ	30.5994	3/2/2017	1,664.09	64.0050	868.51	109.17	
12	MICROSOFT CORP	MSFT	2/13/2012 ^c	367.19 ⁿ	30.5994	1/19/2018	1,078.80	89.9930	711.61	193.80	
6	MICROSOFT CORP	MSFT	4/11/2012 ^c	182.30 ⁿ	30.3831	1/19/2018	539.41	89.9030	357.11	195.89	
1	NEXTERA ENERGY INC	NEE	8/22/2011 ^c	54.15 ⁿ	54.1453	2/2/2017	123.11	123.1100	68.96	127.35	
9	NEXTERA ENERGY INC	NEE	8/22/2011 ^c	487.31 ⁿ	54.1453	3/2/2017	1,177.00	130.7815	689.69	141.53	
5	NEXTERA ENERGY INC	NEE	8/22/2011 ^c	54.1453	54.1453	1/19/2018	752.90	150.5846	482.17	178.10	

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

1149-9760

ANN M GETTINGER LIV TR

ANN M GETTINGER TTEE

UIA DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	% Gain or Loss
Long - Continued										
10	NIKE INC CLASS B	NKE	1/20/2016 ^c	582.16 ⁿ	58.2159	3/2/2017	57.9545	-2.62	-0.45	
7	NIKE INC CLASS B	NKE	1/20/2016 ^c	407.51 ⁿ	58.2159	1/19/2018	464.51	66.3800	57.00	13.99
8	NORFOLK SOUTHERN CORP	NSC	8/22/2011 ^c	503.95 ⁿ	62.9934	3/2/2017	977.58	122.2000	473.63	93.98
6	NORFOLK SOUTHERN CORP	NSC	8/22/2011 ^c	377.96 ⁿ	62.9934	1/19/2018	916.14	152.6938	538.18	142.39
10	NOVARTIS AG	NVS	8/22/2011 ^c	556.47 ⁿ	55.6472	3/2/2017	751.32	75.1344	194.85	35.02
6	NOVARTIS AG	NVS	8/22/2011 ^c	333.88 ⁿ	55.6472	1/19/2018	518.44	86.4085	184.56	55.28
3	PAYCHEX INC	PYX	8/22/2011 ^c	76.07 ⁿ	25.3539	2/2/2017	175.54	58.5133	99.47	130.76
16	PAYCHEX INC	PYX	8/22/2011 ^c	405.66 ⁿ	25.3539	3/2/2017	995.82	62.2400	590.16	145.48
12	PAYCHEX INC	PYX	8/22/2011 ^c	304.25 ⁿ	25.3539	1/19/2018	830.66	69.2234	526.41	173.02
1	PEPSICO INCORPORATED	PEP	3/15/2011 ^c	63.17 ⁿ	63.1700	2/2/2017	104.21	104.2101	41.04	64.97
8	PEPSICO INCORPORATED	PEP	3/15/2011 ^c	505.36 ⁿ	63.1700	3/2/2017	880.34	110.0450	374.98	74.20
5	PEPSICO INCORPORATED	PEP	3/15/2011 ^c	315.85 ⁿ	63.1700	1/19/2018	599.92	119.9856	284.07	89.94
3	PHILLIPS 66	PSX	7/16/2012 ^c	107.26 ⁿ	35.7555	3/2/2017	236.67	78.8933	129.41	120.65
7	PHILLIPS 66	PSX	8/3/2012 ^c	276.71 ⁿ	39.5300	3/2/2017	552.24	78.8933	275.53	99.57
6	PHILLIPS 66	PSX	8/3/2012 ^c	237.18 ⁿ	39.5300	1/19/2018	631.35	105.2262	394.17	166.19
8	POLARIS IND'S INC	PII	8/22/2011 ^c	375.77 ⁿ	46.9710	3/2/2017	697.10	87.1401	321.33	85.51
4	POLARIS IND'S INC	PII	8/22/2011 ^c	187.38 ⁿ	46.9710	1/19/2018	536.03	134.0100	348.15	185.30
7	PRAXAIR INC	PX	8/22/2011 ^c	628.85 ⁿ	89.8364	3/2/2017	835.15	119.3101	206.30	32.81
4	PRAXAIR INC	PX	8/22/2011 ^c	359.34 ⁿ	89.8364	1/19/2018	646.44	161.6123	287.10	79.90
2	PROCTER & GAMBLE CO	PG	3/15/2011 ^c	121.17 ⁿ	60.5800	2/2/2017	176.23	88.1146	55.06	45.44
8	PROCTER & GAMBLE CO	PG	3/15/2011 ^c	484.68 ⁿ	60.5800	3/2/2017	731.74	91.4700	247.06	50.97
5	PROCTER & GAMBLE CO	PG	3/15/2011 ^c	302.92 ⁿ	60.5800	1/19/2018	452.03	90.4077	149.11	49.22
13	QUALCOMM INC	QCOM	9/23/2014 ^c	990.41 ⁿ	983.52	7/2/2017	734.42	56.4950	-249.10	-25.15
8	QUALCOMM INC	QCOM	9/23/2014 ^c	609.48 ⁿ	591.56	7/6/2018	544.93	68.1180	-46.63	-7.65
2	SCANA CORP COM	SCG	8/22/2011 ^c	77.12 ⁿ	38.5581	2/2/2017	137.96	68.9901	60.84	78.89
5	SCANA CORP COM	SCG	8/22/2011 ^c	192.79 ⁿ	38.5581	3/2/2017	348.34	69.6700	155.55	80.68
12	SCANA CORP COM	SCG	8/22/2011 ^c	462.69 ⁿ	38.5581	8/21/2017	724.03	60.3375	261.34	56.48
6	SCANA CORP COM	SCG	7/16/2012 ^c	290.85 ⁿ	48.4420	8/21/2017	362.02	60.3375	71.37	24.56
7	SCANA CORP COM	SCG	10/10/2013 ^c	320.49 ⁿ	45.7849	8/21/2017	422.36	60.3375	101.87	31.79
15	SOUTHERN COMPANY/THE	SO	8/22/2011 ^c	599.46 ⁿ	39.9640	3/2/2017	754.68	50.3135	155.22	25.89
20	SOUTHERN COMPANY/THE	SO	8/22/2011 ^c	799.27 ⁿ	39.9640	8/17/2017	962.77	48.1400	163.50	20.46
13	SOUTHERN COMPANY/THE	SO	7/16/2012 ^c	616.79 ⁿ	47.4455	8/17/2017	625.80	48.1400	9.01	1.46
21	SOUTHERN COMPANY/THE	SO	10/10/2013 ^c	867.72 ⁿ	41.3199	8/17/2017	1,010.93	48.1400	143.21	16.50
5	SYSCO CORPORATION	SY	8/22/2011 ^c	134.61 ⁿ	26.9207	2/2/2017	262.59	52.5200	127.98	95.07
17	SYSCO CORPORATION	SY	8/22/2011 ^c	457.65 ⁿ	896.99	3/2/2017	52.7650	439.34	96.00	

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

1149-9760
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
UIA DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	Realized Gain or Loss
Long - Continued										
12	SYSCO CORPORATION	SYY	8/22/2011 ^c	323.04 ⁿ	26.9207	1/19/2018	757.59	63.1344	434.55	134.52
2	TARGET CORP	TGT	8/22/2011 ^c	99.98 ⁿ	49.9891	2/2/2017	127.70	63.6500	27.72	27.73
10	TARGET CORP	TGT	8/22/2011 ^c	499.89 ⁿ	49.9891	3/2/2017	579.83	57.5839	79.94	15.99
5	TARGET CORP	TGT	8/22/2011 ^c	249.95 ⁿ	49.9891	1/19/2018	386.62	77.3262	136.67	54.68
1	3M CO	MMM	8/22/2011 ^c	77.15 ⁿ	77.1490	2/2/2017	173.95	173.9500	96.80	125.47
6	3M CO	MMM	8/22/2011 ^c	462.90 ⁿ	77.1490	3/2/2017	1,138.42	189.7400	675.52	145.93
4	3M CO	MMM	8/22/2011 ^c	308.60 ⁿ	77.1490	1/19/2018	989.01	247.2580	680.41	220.48
1	UNITED TECHNOLOGIES CORP	UTX	8/22/2011 ^c	67.69 ⁿ	67.6850	2/2/2017	108.28	108.2801	40.59	59.96
9	UNITED TECHNOLOGIES CORP	UTX	8/22/2011 ^c	609.17 ⁿ	67.6850	3/2/2017	1,018.87	113.2101	409.70	67.26
5	UNITED TECHNOLOGIES CORP	UTX	8/22/2011 ^c	338.42 ⁿ	67.6850	1/19/2018	675.69	135.1423	337.27	99.68
17	VF CORPORATION	VFC	8/22/2011 ^c	448.46 ⁿ	26.3803	3/2/2017	901.91	53.0545	453.45	101.11
10	VF CORPORATION	VFC	8/22/2011 ^c	263.80 ⁿ	26.3803	1/19/2018	789.38	78.9400	525.58	199.23
2	WAL-MART STORES INC	WMT	3/15/2011 ^c	103.73 ⁿ	51.8600	2/2/2017	133.49	66.7446	29.76	28.69
12	WAL-MART STORES INC	WMT	3/15/2011 ^c	622.38 ⁿ	51.8600	3/2/2017	846.26	70.5234	223.88	35.97
7	WAL-MART STORES INC	WMT	3/15/2011 ^c	363.05 ⁿ	51.8600	1/19/2018	728.63	104.9931	365.58	100.70
9	WEC ENERGY GROUP INC	WEC	6/25/2012 ^c	347.83 ⁿ	38.6475	3/2/2017	542.51	60.2800	194.68	55.97
9	WEC ENERGY GROUP INC	WEC	6/25/2012 ^c	347.83 ⁿ	38.6475	1/19/2018	572.33	63.5934	224.50	64.54
				58,351.11	3,693.89			93,304.93		40,014.42

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

1149-9760

ANN M GETTINGER LIV TR

ANN M GETTINGER TTEE

UJA DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Am.	Close Price	Realized % Gain or Loss
				61,649.34	3,683.49			102,016.78	40,426.04	

Report Summary

Realized Gains or Losses	
Short Term	413.62
Long Term	40,014.42

Legend

(*) Security Held Away	Cost Amount
Open Date	E - Pre Effective
C - Covered Tax Lot	O - Post Effective
N - Noncovered Tax Lot	N - Net Average Cost
M - Net Row Contains Both Covered and Noncovered Tax Lots	M - Net Row Contains Tax Lots with Multiple Elections
W - Open Date Reflects Wash Sale Date	

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.

Noncovered Tax Lot-Cost information for this tax lot is not covered by IRS reporting requirements.

Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.

CSI-Cash Standing Instructions indicates the disposition of stock and money on cash accounts

MMF Code-The MMF Code in the report header indicates how money market account balances are treated.

This report is not the official record of your account. However, it has been prepared to assist you with your investment planning and is for informational purposes only. Your Wells Fargo Advisors Client Statement is the official record of your account. Therefore, if there are any discrepancies between this report and your Client Statement, you should rely on the Client Statement and call your local Branch Manager with any questions. Cost data and acquisition dates provided by you are not verified by Wells Fargo Advisors. Transactions requiring tax consideration should be reviewed carefully with your accountant or tax advisor. Unless otherwise indicated, market prices/values are the most recent closing prices available at the time of this report, and are subject to change. Prices may not reflect the value at which securities could be sold. Past performance does not guarantee future results.

Investments in securities and insurance products are:

NOT FDIC – INSURED/NOT BANK GUARANTEED/MAY LOSE VALUE.
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Prepared For:

2863-9259

ANN M GETTINGER LIV TR

ANN M GETTINGER TTEE

U/A DTD 06/28/2006

208 BARRINGTON PL

FESTUS MO 63028-5475

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Realized Price	% Gain or Loss	Term
742.3900	DELAWARE INTL VAL EQTY I	DEQIX	8/22/2011*	8,299.92*	11.1800	3/2/2017	10,000.00	13.4700	1,700.08	20.48 LONG
559.2920		DEQIX	8/22/2011*	6,700.08*	11.1800	3/20/2017	8,270.22	13.8000	1,570.14	23.43 LONG
29.9600		DEQIX	12/23/2011*	308.59*	10.3000	3/20/2017	413.44	13.8000	104.85	33.98 LONG
21.2640		DEQIX	12/24/2012*	249.64*	11.7400	3/20/2017	293.44	13.8000	43.80	17.55 LONG
17.7860		DEQIX	12/23/2013*	247.94*	13.9400	3/20/2017	245.45	13.8000	-2.49	-1.00 LONG
32.9740		DEQIX	12/23/2014*	426.03*	12.9200	3/20/2017	455.04	13.8000	29.01	6.81 LONG
22.5540		DEQIX	12/23/2015*	284.40*	12.6100	3/20/2017	311.25	13.8000	26.85	9.44 LONG
33.8620		DEQIX	12/23/2016*	439.87*	12.9900	3/20/2017	467.31	13.8000	27.44	6.24 SHORT
				16,956.47			20,456.15		3,499.68	
507.6140	EV INCOME FD OF BOSTON I	EBIX	6/23/2015*	2,972.90*	5.9100	5/12/2018	2,852.79	5.6200	-120.11	-4.00 LONG
0.5230		EBIX	7/1/2015*	3.06*	5.8700	5/11/2018	2.93	5.6200	-0.13	-4.23 LONG
2.7560		EBIX	8/3/2015*	16.06*	5.8300	5/11/2018	15.48	5.6200	-0.58	-3.61 LONG
2.8090		EBIX	9/1/2015*	16.04*	5.7200	5/11/2018	15.78	5.6200	-0.26	-1.62 LONG
2.8110		EBIX	10/1/2015*	15.57*	5.5500	5/11/2018	15.79	5.6200	0.22	1.41 LONG
2.8570		EBIX	11/2/2015*	16.17*	5.6600	5/11/2018	16.05	5.6200	-0.12	-0.74 LONG
2.7740		EBIX	12/1/2015*	15.34*	5.5300	5/11/2018	15.59	5.6200	0.25	1.63 LONG
2.9260		EBIX	1/4/2016*	15.75*	5.4000	5/11/2018	16.44	5.6200	0.69	4.37 LONG
2.9660		EBIX	2/1/2016*	15.75*	5.3300	5/11/2018	16.66	5.6200	0.91	5.76 LONG
2.7720		EBIX	3/1/2016*	14.76*	5.3500	5/11/2018	15.57	5.6200	0.81	5.46 LONG
2.9270		EBIX	4/1/2016*	16.00*	5.4900	5/11/2018	16.44	5.6200	0.44	2.74 LONG
2.7960		EBIX	5/2/2016*	15.51*	5.5800	5/11/2018	15.71	5.6200	0.20	1.28 LONG
2.8890		EBIX	6/1/2016*	16.03*	5.5800	5/11/2018	16.23	5.6200	0.20	1.24 LONG
2.8030		EBIX	7/1/2016*	15.54*	5.5800	5/11/2018	15.75	5.6200	0.21	1.34 LONG
2.8940		EBIX	8/1/2016*	16.28*	5.6600	5/11/2018	16.26	5.6200	-0.02	-0.12 LONG
2.7520		EBIX	9/1/2016*	15.66*	5.7300	5/11/2018	15.46	5.6200	-0.20	-1.27 LONG
2.6700		EBIX	10/3/2016*	15.18*	5.7300	5/11/2018	15.00	5.6200	-0.18	-1.18 LONG
2.7810		EBIX	11/1/2016*	15.85*	5.7000	5/11/2018	15.62	5.6200	-0.23	-1.45 LONG
2.7120		EBIX	12/1/2016*	15.35*	5.6600	5/11/2018	15.24	5.6200	-0.11	-0.72 LONG
2.7470		EBIX	1/3/2017*	15.97*	5.7300	5/11/2018	15.76	5.6200	-0.21	-1.31 LONG
2.7970		EBIX	2/1/2017*	16.02*	5.7600	5/11/2018	15.71	5.6200	-0.31	-1.92 LONG
2.4620		EBIX	3/1/2017*	14.18*	5.8000	5/11/2018	13.83	5.6200	-0.35	-2.45 LONG
2.7470		EBIX	4/3/2017*	15.75*	5.7700	5/11/2018	15.43	5.6200	-0.32	-2.02 LONG
2.6420		EBIX	5/1/2017*	15.24*	5.8100	5/11/2018	14.84	5.6200	-0.40	-2.61 LONG
2.7580		EBIX	6/1/2017*	15.97*	5.8300	5/11/2018	15.60	5.6200	-0.47	-2.92 SHORT

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
2863-9259

ANN M GETTINGER LIV/TR

ANN M GETTINGER TTEE

U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Closed Price	Realized Gain or Loss	% Gain or Loss	Term
2.6850		EIBIX	7/3/2017 ^c	15.48 ^N	5.8100	5/11/2018	15.09	5.6200	-0.39	-2.50	SHORT
2.7720		EIBIX	8/1/2017 ^c	16.03 ^N	5.8300	5/11/2018	15.57	5.6200	-0.46	-2.85	SHORT
2.7860		EIBIX	9/1/2017 ^c	16.16 ^N	5.8000	5/11/2018	15.65	5.6200	-0.51	-3.16	SHORT
784.0220		EIBIX	9/21/2017 ^c	4,553.10 ^N	5.8100	5/11/2018	4,406.35	5.6200	-146.75	-3.22	SHORT
3.4570		EIBIX	10/2/2017 ^c	19.98 ^N	5.8200	5/11/2018	19.42	5.6200	-0.56	-2.78	SHORT
6.6230		EIBIX	11/1/2017 ^c	38.48 ^N	5.8100	5/11/2018	37.22	5.6200	-1.26	-3.27	SHORT
6.4990		EIBIX	12/1/2017 ^c	37.50 ^N	5.7700	5/11/2018	36.52	5.6200	-0.98	-2.61	SHORT
6.7500		EIBIX	1/2/2018 ^c	38.81 ^N	5.7500	5/11/2018	37.94	5.6200	-0.87	-2.24	SHORT
6.7910		EIBIX	2/1/2018 ^c	39.05 ^N	5.7500	5/11/2018	38.17	5.6200	-0.88	-2.25	SHORT
6.2540		EIBIX	3/1/2018 ^c	35.52 ^N	5.6800	5/11/2018	35.15	5.6200	-0.37	-1.04	SHORT
7.0140		EIBIX	4/2/2018 ^c	39.42 ^N	5.6200	5/11/2018	39.42	5.6200	0.02	0.05	SHORT
6.8310		EIBIX	5/1/2018 ^c	38.39 ^N	5.6200	5/11/2018	38.41	5.6200	0.02	0.05	SHORT
				8,223.85			7,950.77		-273.08		
367.7800	GLDMN SACH FNL SQ GOVT ¹	FGTXX	3/20/2017 ⁿ	367.78 ^N	1.0000	7/13/2018	367.78	1.0000			Miscellaneous
220.2140	HARBOR INTERNATIONAL HANX		8/22/2011 ⁿ	11,900.37 ^N	54.0400	6/20/2017	14,961.33	67.9400	3,060.96	25.72	LONG
11.5330	HAINX		12/20/2011 ⁿ	584.28 ^N	50.6600	6/20/2017	783.55	67.9400	199.27	34.11	LONG
4,6310	HAINX		12/20/2016 ^c	269.53 ^N	58.2000	6/20/2017	314.64	67.9400	45.11	16.74	SHORT
113.3350	HAINX		9/21/2017 ^c	7,927.78 ^N	69.9500	9/29/2017	7,961.78	70.2500	34.00	0.43	SHORT
				20,681.98			24,021.30		3,339.34		
2,8090	HARTFORD MIDCAP I	HFMIX	3/20/2017 ^c	77.93 ^N	27.7400	9/28/2017	84.34	30.0200	6.41	8.23	SHORT
342.7000	MFS EMRG MKTS DEBT I	MEDIX	6/23/2015 ^c	5,000.00 ^N	14,5900	9/29/2017	5,171.34	15,0900	171.34	3.43	LONG
181.5180		MEDIX	9/21/2017 ^c	2,742.73 ^N	15.1100	9/29/2017	2,739.11	15.0900	-3.62	-0.13	SHORT
				7,742.73			7,910.45		167.72		
312.0120	OAK RIDGE SM CAP GRWTH ¹	ORIYX	8/22/2011 ⁿ	8,000.00 ^N	25.6400	3/2/2017	11,038.98	35.3800	3,038.98	37.99	LONG
16.4780	ORIYX		11/29/2011 ⁿ	449.02 ^N	27.2500	3/2/2017	582.99	35.3800	133.97	29.84	LONG
10.0690	ORIYX		11/28/2012 ^c	294.72 ^N	29.2700	3/2/2017	356.24	35.3800	61.52	20.87	LONG
74.8500	ORIYX		3/28/2013 ^c	2,500.00 ^N	33.4000	3/2/2017	2,648.19	35.3800	148.19	5.93	LONG
17.5800	ORIYX		10/2/2013 ^c	704.07 ^N	40.0500	3/2/2017	621.98	35.3800	-82.09	-11.66	LONG
26.8160	ORIYX		12/13/2016 ^c	924.87 ^N	34.4900	3/2/2017	948.76	35.3800	23.89	2.58	SHORT
				12,872.68			16,197.14		3,324.46		
226.0110	OPPENHMR DEVELOPNG	ODVYX	8/22/2011 ⁿ	6,940.80 ^N	30.7100	6/20/2017	8,540.98	37.7900	1,600.18	23.05	LONG
4,9110	MKT'S Y	ODVYX	12/10/2012 ^c	166.08 ^N	33.8200	6/20/2017	185.58	37.7900	19.50	11.74	LONG
85.8610	ODVYX		3/28/2013 ^c	3,000.00 ^N	34.9400	6/20/2017	3,244.68	37.7900	244.68	8.16	LONG
3,6590	ODVYX		12/29/2013 ^c	135.65 ^N	37.0700	6/20/2017	138.27	37.7900	2.62	1.93	LONG

Closed Holdings
01/01/2017 - 09/05/2018

Prepared For:
2863-9259

ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Realized Price	% Gain or Loss	Term	
3.3380	ODVYX	12/9/2013 ^c	123.75 ⁿ	37.0700	6/20/2017	126.14	37.7900	2.39	1.93	LONG	
12.5250	ODVYX	12/8/2014 ^c	456.54 ⁿ	36.4500	6/20/2017	473.31	37.7900	16.77	3.67	LONG	
4.6850	ODVYX	12/8/2014 ^c	170.78 ⁿ	36.4500	6/20/2017	177.04	37.7900	6.26	3.67	LONG	
5.6630	ODVYX	12/3/2015 ^c	174.99 ⁿ	30.9000	6/20/2017	214.00	37.7900	39.01	22.29	LONG	
			<u>11,168.59</u>			<u>13,100.00</u>		<u>1,931.41</u>			
87.5140	T ROWE PRICE MID CAP	RPMGX	8/22/2011 ⁿ	4,518.35 ⁿ	51.6300	9/21/2017	7,787.02	88.9800	3,268.67	72.34	LONG
0.9570	GRW	RPMGX	8/22/2011 ⁿ	49.47 ⁿ	51.6300	9/29/2017	86.23	90.1100	36.82	74.52	LONG
			<u>4,567.76</u>		<u>7,873.25</u>		<u>3,305.49</u>				
249.4650	T ROWE PRICE REAL ESTATE	TRREX	3/20/2017 ^c	7,000.00 ⁿ	28.0600	6/20/2017	7,124.71	28.5600	124.71	1.78	SHORT
1.3470	TRREX	TRREX	3/30/2017 ^c	37.42 ⁿ	27.7800	6/20/2017	38.48	28.5600	1.06	2.83	SHORT
279.0490	TRREX	TRREX	9/21/2017 ^c	7,927.78 ⁿ	28.4100	9/29/2017	7,902.67	28.3200	-25.11	-0.32	SHORT
1.6860	TRREX	TRREX	9/28/2017 ^c	47.44 ⁿ	28.1400	9/29/2017	47.75	28.3200	0.31	0.65	SHORT
			<u>15,012.64</u>		<u>15,113.61</u>		<u>100.97</u>				
258.7460	VICTORY SYCAMORE SMALL	VSOIX	7/10/2012 ^c	8,241.06 ⁿ	31.8500	9/21/2017	12,036.85	46.5200	3,795.79	46.06	LONG
31.4750	VSOIX	VSOIX	7/10/2012 ^c	1,002.48 ⁿ	31.8500	9/29/2017	1,516.78	48.1900	514.30	51.30	LONG
			<u>9,243.54</u>		<u>13,553.63</u>		<u>4,310.09</u>				
948.6910	VIRTUS VONTobel EMG I HIEMX		8/22/2011 ⁿ	8,462.33 ⁿ	8,9200	9/21/2017	11,080.71	11,9800	2,618.38	30.94	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
2863-9259

ANN M GETTINGER LIV/TR
ANN M GETTINGER TTEE
WUA DTD 06/28/2006

Quantity	Name	Security	Open Data	Cost Amount	Trade Price	Close Date	Close Amount	Realized	% Gain	Term
				115,378.26		137,709.13		22,330.87		

Realized Gains or Losses	
Short Term	80.72
Long Term	22,250.15

Legend

(!) Security Held Away	
Open Date	
C - Covered Tax Lot	Cost Amount
N - Noncovered Tax Lot	E - Pre Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	O - Post Effective
W - Open Date Reflects Wash Sale Date	N - Not Average Cost
	M - Net Row Contains Tax Lots with Multiple Elections

Covered Tax Lot-Cost information for this tax lot is covered by IRS reporting requirements.

Noncovered Tax Lot-Cost information for this tax lot is not covered by IRS reporting requirements.

Wash Sale Date-The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.

CSI-Cash Standing Instructions indicates the disposition of stock and money on cash accounts

MMF Code-The MMF Code in the report header indicates how money market account balances are treated.

This report is not the official record of your account. However, it has been prepared to assist you with your investment planning and is for informational purposes only. Your Wells Fargo Advisors Client Statement is the official record of your account. Therefore, if there are any discrepancies between this report and your Client Statement, you should rely on the Client Statement and call your local Branch Manager with any questions. Cost data and acquisition dates provided by you are not verified by Wells Fargo Advisors. Transactions requiring tax consideration should be reviewed carefully with your accountant or tax advisor. Unless otherwise indicated, market prices/values are the most recent closing prices available at the time of this report, and are subject to change. Prices may not reflect the value at which securities could be sold. Past performance does not guarantee future results.

Investments in securities and insurance products are:

NOT FDIC - INSURED/NOT BANK GUARANTEED/MAY LOSE VALUE.

Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliate of Wells Fargo & Company.

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

2863-9259
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
UJA DTD 06/28/2006
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Cost Amount	Adjusted Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Short

38,8620	DELAWARE INTL VAL EQTYI	DEQIX	12/23/2016 ^c	439.87 ⁿ	12.9900	3/20/2017	467.31	13.8000	27.44	6.24	
2,7580	EV INCOME FD OF BOSTON I	EIBIX	6/1/2017 ^c	16.06 ⁿ	15.97	5/1/2018	15.50	5.6200	-0.47	-2.92	
2,6650	EV INCOME FD OF BOSTON I	EIBIX	7/3/2017 ^c	15.66 ⁿ	15.48	5.8100	15.09	5.6200	-0.39	-2.50	
2,7720	EV INCOME FD OF BOSTON I	EIBIX	8/1/2017 ^c	16.16 ⁿ	16.03	5.8300	15.57	5.6200	-0.46	-2.85	
2,7860	EV INCOME FD OF BOSTON I	EIBIX	9/1/2017 ^c	16.16 ⁿ	5.8000	5/1/2018	15.65	5.6200	-0.51	-3.16	
784,0220	EV INCOME FD OF BOSTON I	EIBIX	9/21/2017 ^c	4,555.17 ⁿ	4,553.10	5.8100	5/1/2018	4,406.35	5.6200	-146.75	-3.22
3,4570	EV INCOME FD OF BOSTON I	EIBIX	10/2/2017 ^c	20.12 ⁿ	19.98	5.8200	5/1/2018	19.42	5.6200	-0.56	-2.78
6,6230	EV INCOME FD OF BOSTON I	EIBIX	11/1/2017 ^c	38.46 ⁿ	5.8100	5/1/2018	37.22	5.6200	-1.26	-3.27	
6,4990	EV INCOME FD OF BOSTON I	EIBIX	12/1/2017 ^c	37.50 ⁿ	5.7700	5/1/2018	36.52	5.6200	-0.98	-2.61	
6,7500	EV INCOME FD OF BOSTON I	EIBIX	1/2/2018 ^c	38.81 ⁿ	5.7500	5/1/2018	37.94	5.6200	-0.87	-2.24	
6,7910	EV INCOME FD OF BOSTON I	EIBIX	2/1/2018 ^c	39.05 ⁿ	5.7500	5/1/2018	38.17	5.6200	-0.88	-2.25	
6,2540	EV INCOME FD OF BOSTON I	EIBIX	3/1/2018 ^c	35.52 ⁿ	5.6800	5/1/2018	35.15	5.6200	-0.37	-1.04	
6,8310	EV INCOME FD OF BOSTON I	EIBIX	5/1/2018 ^c	38.39 ⁿ	5.6200	5/1/2018	38.41	5.6200	0.02	0.05	
4,6310	HARBOR INTERNATIONAL I	HAINX	12/20/2016 ^c	269.53 ⁿ	58.2000	6/20/2017	314.64	67.9400	45.11	16.74	
113,3350	HARBOR INTERNATIONAL I	HAINX	9/21/2017 ^c	7,927.78 ⁿ	69.9500	9/29/2017	7,961.78	70.2500	34.00	0.43	
2,8090	HARTFORD MIDCAP I	HFMX	3/20/2017 ^c	77.93 ⁿ	27.7400	9/29/2017	84.34	30.0200	6.41	8.23	
18,5180	MFS EMRG MKTS DEBT I	MEDIX	9/21/2017 ^c	2,742.73 ⁿ	15.1100	9/29/2017	2,739.11	15.0900	-3.62	-0.13	
26,8160	OAK RIDGE SM CAP GRWTH I	ORIX	12/13/2016 ^c	924.87 ⁿ	34.4900	3/2/2017	948.76	35.3800	23.89	2.58	
246,4650	T ROWE PRICE REAL ESTATE	TRREX	3/20/2017 ^c	7,000.00 ⁿ	28.0600	6/20/2017	7,124.71	28.5600	124.71	1.78	
1,3470	T ROWE PRICE REAL ESTATE	TRREX	3/30/2017 ^c	37.42 ⁿ	27.7800	6/20/2017	38.48	28.5600	1.06	2.83	
278,0490	T ROWE PRICE REAL ESTATE	TRREX	9/21/2017 ^c	7,927.78 ⁿ	28.4100	9/29/2017	7,902.67	28.3200	-25.11	-0.32	
1,6860	T ROWE PRICE REAL ESTATE	TRREX	9/28/2017 ^c	47.44 ⁿ	28.1400	9/29/2017	47.75	28.3200	0.31	0.65	
Long				32,262.39	4,620.56		32,340.54		80.72		
742,3900	DELAWARE INTL VAL EQTYI	DEQIX	8/22/2017 ⁿ	8,299.92 ⁿ	11.1800	3/2/2017	10,000.00	13.4700	1,700.08	20.48	
598,2920	DELAWARE INTL VAL EQTYI	DEQIX	8/22/2017 ⁿ	6,700.08 ⁿ	11.1800	3/20/2017	8,270.22	13.3800	1,570.14	23.43	
28,9600	DELAWARE INTL VAL EQTYI	DEQIX	12/23/2017 ⁿ	308.59 ⁿ	10.3000	3/20/2017	413.44	13.3800	104.85	33.98	
21,2640	DELAWARE INTL VAL EQTYI	DEQIX	12/24/2017 ⁿ	249.64 ⁿ	11.7400	3/20/2017	293.44	13.3800	43.80	17.55	
17,7860	DELAWARE INTL VAL EQTYI	DEQIX	12/23/2017 ⁿ	247.94 ⁿ	13.9400	3/20/2017	245.45	13.3800	-2.49	-1.00	
32,9740	DELAWARE INTL VAL EQTYI	DEQIX	12/23/2017 ⁿ	426.03 ⁿ	12.9200	3/20/2017	455.04	13.3800	29.01	6.81	
22,5540	DELAWARE INTL VAL EQTYI	DEQIX	12/23/2017 ⁿ	284.40 ⁿ	12.6100	3/20/2017	311.25	13.3800	26.85	9.44	
507,6140	EV INCOME FD OF BOSTON I	EIBIX	6/23/2017 ⁿ	3,000.00 ⁿ	2,972.90	5.9100	5/1/2018	2,852.79	5.6200	-120.11	-4.00
0,5230	EV INCOME FD OF BOSTON I	EIBIX	7/1/2017 ⁿ	3.07 ⁿ	3.06	5.8700	5/1/2018	2.93	5.6200	-0.13	-4.23

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

2863-9259

ANN M GETTINGER LIV/TR

ANN M GETTINGER TTEE

UIA DTD 06/28/2006

01/01/2017 - 09/05/2018

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amnt	Close Price	Realized	% Gain or Loss
Long - Continued											
2,7560	EV INCOME FD OF BOSTON I	EIBIX	8/3/2015	16.07 ⁿ	16.06	5.8300	5/1/2018	15.48	5.6200	-0.58	-3.61
2,8090	EV INCOME FD OF BOSTON I	EIBIX	9/1/2015	16.07 ⁿ	16.04	5.7200	5/1/2018	15.78	5.6200	-0.26	-1.62
2,8110	EV INCOME FD OF BOSTON I	EIBIX	10/1/2015	15.60 ⁿ	15.57	5.5500	5/1/2018	15.79	5.6200	0.22	1.41
2,8570	EV INCOME FD OF BOSTON I	EIBIX	11/2/2015	16.17 ⁿ	5.6600	5/1/2018	16.05	5.6200	-0.12	-0.74	
2,7740	EV INCOME FD OF BOSTON I	EIBIX	12/1/2015	15.34 ⁿ	5.5300	5/1/2018	15.59	5.6200	0.25	1.63	
2,9260	EV INCOME FD OF BOSTON I	EIBIX	1/4/2016	15.80 ⁿ	5.4000	5/1/2018	16.44	5.6200	0.69	4.37	
2,9660	EV INCOME FD OF BOSTON I	EIBIX	2/1/2016	15.81 ⁿ	5.3300	5/1/2018	16.66	5.6200	0.91	5.76	
2,7720	EV INCOME FD OF BOSTON I	EIBIX	3/1/2016	14.83 ⁿ	14.76	5.3500	5/1/2018	15.57	5.6200	0.81	5.46
2,9270	EV INCOME FD OF BOSTON I	EIBIX	4/1/2016	16.07 ⁿ	5.4900	5/1/2018	16.44	5.6200	0.44	2.74	
2,7960	EV INCOME FD OF BOSTON I	EIBIX	5/2/2016	15.60 ⁿ	5.5800	5/1/2018	15.71	5.6200	0.20	1.28	
2,8890	EV INCOME FD OF BOSTON I	EIBIX	6/1/2016	16.12 ⁿ	16.03	5.5800	5/1/2018	16.23	5.6200	0.20	1.24
2,8030	EV INCOME FD OF BOSTON I	EIBIX	7/1/2016	15.64 ⁿ	15.54	5.5800	5/1/2018	15.75	5.6200	0.21	1.34
2,8940	EV INCOME FD OF BOSTON I	EIBIX	8/1/2016	16.38 ⁿ	16.28	5.6600	5/1/2018	16.26	5.6200	-0.02	-0.12
2,7520	EV INCOME FD OF BOSTON I	EIBIX	9/1/2016	15.77 ⁿ	15.66	5.7300	5/1/2018	15.46	5.6200	-0.20	-1.27
2,8050	EV INCOME FD OF BOSTON I	EIBIX	10/3/2016	15.30 ⁿ	15.18	5.7300	5/1/2018	15.00	5.6200	-0.18	-1.18
2,6700	EV INCOME FD OF BOSTON I	EIBIX	11/1/2016	15.85 ⁿ	5.7000	5/1/2018	15.62	5.6200	-0.23	-1.45	
2,7810	EV INCOME FD OF BOSTON I	EIBIX	12/1/2016	15.35 ⁿ	5.6600	5/1/2018	15.24	5.6200	-0.11	-0.72	
2,7120	EV INCOME FD OF BOSTON I	EIBIX	1/3/2017 ^c	16.07 ⁿ	15.97	5.7300	5/1/2018	15.76	5.6200	-0.21	-1.31
2,7970	EV INCOME FD OF BOSTON I	EIBIX	2/1/2017 ^c	16.11 ⁿ	16.02	5.7600	5/1/2018	15.71	5.6200	-0.31	-1.92
2,4620	EV INCOME FD OF BOSTON I	EIBIX	3/1/2017 ^c	14.28 ⁿ	14.18	5.8000	5/1/2018	13.83	5.6200	-0.35	-2.45
2,7470	EV INCOME FD OF BOSTON I	EIBIX	4/3/2017 ^c	15.85 ⁿ	15.75	5.7700	5/1/2018	15.43	5.6200	-0.32	-2.02
2,6420	EV INCOME FD OF BOSTON I	EIBIX	5/1/2017 ^c	15.35 ⁿ	15.24	5.8100	5/1/2018	14.84	5.6200	-0.40	-2.61
220,2140	HARBOR INTERNATIONAL I	HAINX	8/22/2011 ⁿ	11,900.37 ⁿ	54,0400	6/20/2017	14,981.33	67,3400	3,060.96	25.72	
11,5330	HARBOR INTERNATIONAL I	HAINX	12/20/2011 ⁿ	584.28 ⁿ	50,6600	6/20/2017	783.55	67,9400	199.27	34.11	
342,7,000	MFS EMRG MKTS DEBT I	MEDIX	6/23/2015	5,000.00 ⁿ	14,5900	9/29/2017	5,171.34	15,9900	171.34	3.43	
312,0,120	OAK RIDGE SM CAP GRWTH I	ORIYX	8/22/2014 ⁿ	8,000.00 ⁿ	25,6400	3/2/2017	11,038.98	35,3800	3,038.98	37.99	
16,4780	OAK RIDGE SM CAP GRWTH I	ORIYX	11/29/2014 ⁿ	449.02 ⁿ	27,2500	3/2/2017	582.99	35,3800	133.97	29.84	
10,0690	OAK RIDGE SM CAP GRWTH I	ORIYX	11/28/2012	294.72 ⁿ	29,2700	3/2/2017	356.24	35,3800	61.52	20.87	
74,8500	OAK RIDGE SM CAP GRWTH I	ORIYX	3/28/2013 ^c	2,500.00 ⁿ	33,4000	3/2/2017	2,648.19	35,3800	148.19	5.93	
17,5800	OAK RIDGE SM CAP GRWTH I	ORIYX	10/22/2013 ^c	704.07 ⁿ	40,0500	3/2/2017	621.98	35,3800	-82.09	-11.66	
226,0,110	OPPENHMR DEVELOPNG MKTS Y	ODVYX	8/22/2014 ⁿ	6,940.80 ⁿ	30,7100	6/20/2017	8,540.98	37,7900	1,600.18	23.05	
4,9110	OPPENHMR DEVELOPNG MKTS Y	ODVYX	12/10/2012	166.08 ⁿ	33,8200	6/20/2017	185.58	37,7900	19.50	11.74	
85,8610	OPPENHMR DEVELOPNG MKTS Y	ODVYX	3/28/2013 ^c	3,000.00 ⁿ	34,9400	6/20/2017	3,244.68	37,7900	244.68	8.16	
3,6590	OPPENHMR DEVELOPNG MKTS Y	ODVYX	12/9/2013 ^c	135.65 ⁿ	37,0700	6/20/2017	138.27	37,7900	2.62	1.93	
3,3380	OPPENHMR DEVELOPNG MKTS Y	ODVYX	12/9/2013 ^c	37.0700	6/20/2017	126.14	37,7900	2.39	1.93		

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

2863-9259
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss
Long - Continued									
12.5250	OPPENHMR DEVELPNG MKTS Y	ODVYX	12/8/2014 ^C	456.54N	36.4500	6/20/2017	473.31	37.7900	16.77 3.67
4.6850	OPPENHMR DEVELPNG MKTS Y	ODVYX	12/8/2014 ^C	170.78N	36.4500	6/20/2017	177.04	37.7900	6.26 3.67
5.6630	OPPENHMR DEVELPNG MKTS Y	ODVYX	12/3/2015 ^E	174.99N	30.9000	6/20/2017	214.00	37.7900	39.01 22.29
87.5140	T ROWE PRICE MID CAP GRW	RPMGX	8/2/2017 ^H	4,518.35N	51.6300	9/21/2017	7,787.02	88.9800	3,288.67 72.34
0.9570	T ROWE PRICE MID CAP GRW	RPMGX	8/2/2017 ^H	49.41N	51.6300	9/29/2017	86.23	90.1100	36.82 74.52
948.6910	VIRTUS VONTobel EMG I	HJEMX	8/2/2017 ^H	8,462.33N	8.9200	9/21/2017	11,080.71	11.6800	2,618.38 30.94
258.7460	VICTORY SYCAMORE SMALL I	VSOIX	7/10/2012 ^C	8,241.06N	31.8500	9/21/2017	12,036.85	46.5200	3,795.79 46.06
31.4750	VICTORY SYCAMORE SMALL I	VSOIX	7/10/2012 ^C	1,002.48N	31.8500	9/29/2017	1,516.78	48.1900	514.30 51.30
				82,739.78	3,257.25		104,961.39	22,250.15	
Misc									
7,0140	EV INCOME FD OF BOSTON I	EIBIX	4/2/2018 ^C	39.42N	5.6200	5/11/2018	39.42	5.6200	
367.7800	GLDMN SACH FNL SQ GOVT I	FGTXX	3/20/2017 ^N	367.78N	1.0000	7/13/2018	367.78	1.0000	
					407.20				

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

2863-3259

ANN GETTINGER LIVRE

ANN M GEISINGER LIB

U/A D/D 06/28/2006

THE JOURNAL OF

Report Summary

Realized Gains or Losses	115,409.37	7,877.31
Unrealized Gains or Losses	137,081.13	22,330.87

Realized Gains or Losses	
Short Term	80.72
Long Term	22,250.15

Legend

- WASH SALE**
C - Covered Tax Lot
N - Noncovered Tax Lot
M - Net Row Contains Both Covered and Noncovered Tax Lots
W - Open Date Reflects Wash Sale Date

Covered Tax - Cost Information for this tax item is covered by IRS reporting requirements.

Noncovered Tax ~~Get-Cost~~ information for this tax lot is not covered by IRS reporting requirements.

Wash Sale Date: The cost for this tax lot has been adjusted due to wash sale activity as defined.

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Investments in securities and insurance products are:

NOT FDIC - INSURED/NOT BANK GUARANTEED/MAY LOSE VALUE.

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
5316-8147

ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
UJA DTD 06/28/2006
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term	
9.4770	AMER FDS EUROPACIFIC	AEPFX	7/14/2010 ⁿ	347.19 ⁿ	36.6345	7/16/2018	512.51	54.0800	165.32	47.62	LONG	
11.3340	BROWN ADV/SR SICAP	BAFSX	8/29/2017 ^c	400.66 ⁿ	35.3500	7/16/2018	473.43	41.7700	72.77	18.16	SHORT	
233.9090	CREDIT SUIS COMM RET ¹	CRSOX	4/15/2015 ^c	1,363.68 ⁿ	5.8300	8/29/2017	1,141.47	4.8800	-222.22	-16.30	LONG	
19.3610	CRSOX	8/14/2015 ^c	100.29 ⁿ	5.1800	8/29/2017	94.48	4.8800	-5.81	-5.79	LONG		
20.8900	CRSOX	8/24/2016 ^c	102.57 ⁿ	4.9100	8/29/2017	101.95	4.8800	-0.62	-0.60	LONG		
				<u>1,566.55</u>			<u>1,337.90</u>		<u>-228.65</u>			
24.7210	DIAMOND HILL LARGE CAP DHLRX		7/1/2013 ^c	489.25 ⁿ	19.7900	7/16/2018	657.08	26.5800	167.85	34.31	LONG	
208.7000	GOLDMAN FINL SQ TREAS MM	FTIXX	8/24/2016 ⁿ	208.70 ⁿ	1.0000	1/13/2017	208.70	1.0000				
139.7500	FTIXX	8/24/2016 ⁿ	139.75 ⁿ	1.0000	4/13/2017	139.75	1.0000				Miscellaneous	
77.5400	FTIXX	8/25/2016 ⁿ	77.54 ⁿ	1.0000	4/13/2017	77.54	1.0000				Miscellaneous	
27.2100	FTIXX	8/25/2016 ⁿ	27.21 ⁿ	1.0000	7/14/2017	27.21	1.0000				Miscellaneous	
0.0100	FTIXX	1/3/2017 ⁿ	0.01 ⁿ	1.0000	7/14/2017	0.01	1.0000				Miscellaneous	
219.7000	FTIXX	8/30/2017 ⁿ	219.70 ⁿ	1.0000	10/13/2017	219.70	1.0000				Miscellaneous	
0.0100	FTIXX	9/1/2017 ⁿ	0.01 ⁿ	1.0000	10/13/2017	0.01	1.0000				Miscellaneous	
0.3000	FTIXX	10/2/2017 ⁿ	0.30 ⁿ	1.0000	10/13/2017	0.30	1.0000				Miscellaneous	
236.8700	FTIXX	10/9/2017 ⁿ	236.87 ⁿ	1.0000	1/12/2018	236.87	1.0000				Miscellaneous	
235.1100	FTIXX	10/19/2017 ⁿ	235.11 ⁿ	1.0000	4/13/2018	235.11	1.0000				Miscellaneous	
236.7400	FTIXX	10/19/2017 ⁿ	236.74 ⁿ	1.0000	7/13/2018	236.74	1.0000				Miscellaneous	
			<u>1,381.94</u>			<u>1,381.94</u>						
22.2660	JPMORGAN LGE CAP	SEEGX	7/1/2013 ^c	584.48 ⁿ	26.2500	7/16/2018	992.38	44.5700	407.90	69.79	LONG	
18.8970	GRWTH ¹	LORD ABBETT VALUE	LYVYX	4/15/2015 ^c	401.50 ⁿ	21.2464	7/16/2018	404.78	21.4200	3.28	0.82	LONG
6.9250	MFS VALUE ¹	MEIX	4/14/2015 ^c	246.60 ⁿ	35.6100	7/16/2018	277.08	40.0100	30.48	12.36	LONG	
272.6410	NUVEEN MUNI TRUST CLASS ¹	NUVBY	7/1/2013 ^c	2,456.50 ⁿ	9.0100	10/19/2017	2,535.56	9.3000	79.06	3.22	LONG	
46.4400	OAK RIDGE SM CAP	ORIYX	7/1/2013 ^c	1,624.93 ⁿ	34.9900	8/29/2017	1,649.55	35.5200	24.62	1.52	LONG	
2.6130	GRWTH ¹	ORIYX	11/26/2013 ^c	101.63 ⁿ	38.9000	8/29/2017	92.81	35.5200	-8.82	-8.68	LONG	
1.9510	ORIYX	8/25/2014 ^c	78.86 ⁿ	40.4300	8/29/2017	69.29	35.5200	-9.57	-12.14	LONG		
3.6340	ORIYX	12/16/2014 ^c	136.52 ⁿ	37.5700	8/29/2017	129.08	35.5200	-7.44	-5.45	LONG		
0.2990	ORIYX	12/16/2014 ^c	11.23 ⁿ	37.5700	8/29/2017	10.62	35.5200	-0.61	-5.43	LONG		
0.7180	ORIYX	8/14/2015 ^c	29.75 ⁿ	41.4300	8/29/2017	25.50	35.5200	-4.25	-14.29	LONG		
3.5970	ORIYX	12/11/2015 ^c	128.05 ⁿ	35.6000	8/29/2017	127.76	35.5200	-0.29	-0.23	LONG		

Closed Holdings

01/01/2017 - 09/05/2018

Prepared For:
5316-8147

ANN M GETTINGER LIV/TR
ANN M GETTINGER TTEE
UA DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized Gain or Loss	% Gain or Loss	Term
23.9600	ORIYX	ORIYX	8/24/2016 ^c	874.79 ^N	36.5100	8/29/2017	851.07	35.5200	-23.72	-2.71	LONG
5.1770	ORIYX	ORIYX	12/13/2016	<u>178.57^N</u>	34.4900	8/29/2017	183.90	35.5200	<u>5.33</u>	2.98	SHORT
				<u>3,164.33</u>			<u>3,139.58</u>		<u>-24.75</u>		
8.7730	PGIM JENNISON MID-CAP Z PEGZX		7/1/2013 ^c	314.69 ^N	35.8700	7/16/2018	353.63	40.3100	38.94	12.37	LONG
2.2000	TR WELLS FARGO EMKT	TRBCX	7/14/2010 ⁿ	70.32 ^N	31.9600	7/14/2017	196.71	89.4300	126.39	179.74	LONG
0.1080	GRW	TRBCX	7/14/2010 ⁿ	3.46 ^N	31.9600	10/13/2017	10.26	95.1700	6.80	196.53	LONG
14.4720		TRBCX	7/14/2010 ⁿ	<u>462.51^N</u>	31.9600	7/16/2018	1,619.73	1,111.9200	<u>1,157.22</u>	250.20	LONG
				<u>536.29</u>			<u>1,826.70</u>		<u>1,290.41</u>		
8.8870	WELLS FARGO EMKT	EMGNX	7/1/2013 ^c	186.45 ^N	20.9800	7/16/2018	224.66	25.2800	38.21	20.49	LONG
	INSTL										

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
5316-8147

ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Date	Close Amount	Realized Gain	% Gain or Loss	Term
				Price		Price			
				12,076.41		14,117.23		2,040.82	

Realized Gains or Losses	
Short Term	78.10
Long Term	1,962.72

<u>Legend</u>	
(*) Security Held Away	
Open Date	
C - Covered Tax Lot	Cost Amount
N - Noncovered Tax Lot	E - Pre Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	O - Post Effective
W - Open Date Reflects Wash Sale Date	N - Not Average Cost
	M - Net Row Contains Tax Lots with Multiple Elections

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Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliates of Wells Fargo & Company.

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

5316-8147

ANN M GETTINGER LIV TR

ANN M GETTINGER TTEE

U/A DTD 06/28/2006

208 BARRINGTON PL

FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Trade Price	Close Date	Close Amt.	Close Price	Realized % Gain or Loss
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Short

11,334.00 BROWN ADVSR SCAP INSTL	BAFSX	8/29/2017 ^c	400.66 ⁿ	35,3500	7/16/2018	473.43	41,7700	72.77	18.16
5,177.00 OAK RIDGE SM CAP GRWTHI	ORIX	12/13/2016 ^c	178.57 ⁿ	34,4900	8/29/2017	183.90	35,5200	5.33	2.98

Long

233,909.00 CREDIT SUIS COMM RETI	CRSOX	4/15/2015 ^f	1,363.69 ⁿ	5,8300	8/29/2017	1,141.47	4,9800	-222.22	-16.30
19,361.00 CREDIT SUIS COMM RETI	CRSOX	8/14/2015 ^f	100.29 ⁿ	5,1800	8/29/2017	94.48	4,9800	-5.81	-5.79
20,890.00 CREDIT SUIS COMM RETI	CRSOX	8/24/2016 ^f	102.57 ⁿ	4,9100	8/29/2017	101.95	4,9800	-0.62	-0.60
24,721.00 DIAMOND HILL LARGE CAP	DHLRX	7/11/2013 ^f	489.23 ⁿ	19,7900	7/16/2018	657.08	26,5800	167.85	34.31
18,897.00 LORD ABBETT VALUE OPPS	LVOYX	4/15/2015 ^f	401.50 ⁿ	21,2464	7/16/2018	404.78	21,4200	3.28	0.82
6,925.00 MFS VALUE I	MEIX	4/14/2015 ^f	246.60 ⁿ	35,6100	7/16/2018	277.08	40,0100	30.48	12.36
272,641.00 NUVEEN MUN TRUST CLASS I	NUVBX	7/11/2013 ^f	9,0100	10/19/2017	2,535.56	9,3000	79.06	3.22	
8,887.00 WELLS FARGO E/MKT INSTL	EMGNX	7/11/2013 ^c	186.45 ⁿ	20,9800	7/16/2018	224.66	25,2800	38.21	20.49
9,477.00 AMER FD'S EUROPACIFIC F2	AEPFX	7/14/2010 ^c	347.19 ⁿ	36,6345	7/16/2018	512.51	54,9800	165.32	47.62
46,440.00 OAK RIDGE SM CAP GRWTHI	ORIX	7/11/2013 ^c	1,624.93 ⁿ	34,9900	8/29/2017	1,649.55	35,5200	24.62	1.52
2,613.00 OAK RIDGE SM CAP GRWTHI	ORIX	1/12/2013 ^c	101.63 ⁿ	38,9000	8/29/2017	92.81	35,5200	-8.82	-8.68
1,951.00 OAK RIDGE SM CAP GRWTHI	ORIX	8/25/2014 ^c	78.86 ⁿ	40,4300	8/29/2017	69.29	35,5200	-9.57	-12.14
3,634.00 OAK RIDGE SM CAP GRWTHI	ORIX	12/16/2014 ^c	136.52 ⁿ	37,5700	8/29/2017	129.08	35,5200	-7.44	-5.45
0,299.00 OAK RIDGE SM CAP GRWTHI	ORIX	12/16/2014 ^c	11.23 ⁿ	37,5700	8/29/2017	10.62	35,5200	-0.61	-5.43
0,718.00 OAK RIDGE SM CAP GRWTHI	ORIX	8/14/2015 ^c	29.75 ⁿ	41,4300	8/29/2017	25.50	35,5200	-4.25	-14.28
3,597.00 OAK RIDGE SM CAP GRWTHI	ORIX	12/11/2015 ^c	128.05 ⁿ	35,6000	8/29/2017	127.76	35,5200	-0.29	-0.23
23,960.00 OAK RIDGE SM CAP GRWTHI	ORIX	8/24/2016 ^c	874.79 ⁿ	36,5100	8/29/2017	851.07	35,5200	-23.72	-2.71
22,266.00 JPMORGAN LGE CAP GRWTHI	SEEGX	7/11/2013 ^c	584.48 ⁿ	26,2500	7/16/2018	992.38	44,5700	407.90	69.79
8,773.00 PGIM JENNISON MID-CAP Z	PEGZX	7/11/2013 ^c	314.69 ⁿ	35,8700	7/16/2018	353.63	40,3100	38.94	12.37
2,200.00 T ROWE PRICE BLE CHIP GRW	TRBCX	7/14/2010 ^c	70.32 ⁿ	31,9600	7/14/2017	196.71	89,4300	126.39	178.74
0,108.00 T ROWE PRICE BLE CHIP GRW	TRBCX	7/14/2010 ^c	3.46 ⁿ	31,9600	10/13/2017	10.26	95,1700	6.80	196.53
14,472.00 T ROWE PRICE BLE CHIP GRW	TRBCX	7/14/2010 ^c	482.51 ⁿ	31,9600	7/16/2018	1,619.73	111,9200	1,157.22	250.20

10,115.24

12,077.96

1,962.72

Misc									
206,700.00 GOLDMAN FINL SQ TREAS MM	FTIXX	8/24/2016 ^c	208.70 ⁿ	1,0000	1/13/2017	208.70	1,0000		
139,750.00 GOLDMAN FINL SQ TREAS MM	FTIXX	8/24/2016 ^c	139.75 ⁿ	1,0000	4/13/2017	139.75	1,0000		
77,540.00 GOLDMAN FINL SQ TREAS MM	FTIXX	8/25/2016 ^c	77.54 ⁿ	1,0000	4/13/2017	77.54	1,0000		
27,210.00 GOLDMAN FINL SQ TREAS MM	FTIXX	8/25/2016 ^c	27.21 ⁿ	1,0000	7/14/2017	27.21	1,0000		

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

5316-8147

ANN M GETTINGER LIV TR

ANN GERTINGER III

U/A/D 06/28/2008

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

5316-8147

ANN M GETTINGER LIV TR

ANN M GETTINGER TTEE

U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	% Gain or Loss
Report Summary										

Realized Gains or Losses	
Short Term	78.10
Long Term	1,962.72

Legend

(*) Security Held Away	Cost Amount
Open Date	E - Pre Effective
C - Covered Tax Lot	O - Post Effective
N - Noncovered Tax Lot	N - Not Average Cost
M - Net Row Contains Both Covered and Noncovered Tax Lots	M - Net Row Contains Tax Lots with Multiple Elections
W - Open Date Reflects Wash Sale Date	

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Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
8707-1094
ANN M GETTINGER (ROTH IRA)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS
MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain/Loss	% Gain/Loss	Term
3.3000	GOLDMAN FINL SQ TREAS MM	FTIXX	6/22/2017*	3.30*	1.0000	6/27/2017	3.30	1.0000			Miscellaneous
31.3500		FTIXX	6/22/2017*	31.35*	1.0000	7/14/2017	31.35	1.0000			Miscellaneous
33.3800		FTIXX	6/22/2017*	33.38*	1.0000	10/13/2017	33.38	1.0000			Miscellaneous
34.4000		FTIXX	6/22/2017*	34.40*	1.0000	1/12/2018	34.40	1.0000			Miscellaneous
34.2000		FTIXX	6/22/2017*	34.20*	1.0000	4/13/2018	34.20	1.0000			Miscellaneous
34.6000		FTIXX	6/22/2017*	34.60*	1.0000	7/13/2018	34.60	1.0000			Miscellaneous
				<u>171.23</u>			<u>171.23</u>				
65.6380	JANUS FLEXIBLE BD FD I	JFLEX	6/22/2017*	685.92*	10.4500	1/17/2018	675.42	10.2900	-10.50	-1.53	SHORT
15.3680	PRINCIPL GBL R&E SEC IN POSIX		6/22/2017*	142.00*	9.2400	6/22/2018	148.15	9.6400	6.15	4.33	SHORT
16.6310	T ROWE PR INTL OVERSEAS	TROSX	6/22/2017*	174.13*	10.4700	1/17/2018	198.07	11.9100	23.94	13.75	SHORT
13.7080	T ROWE PRICE REAL ESTATE	TRREX	6/22/2017*	390.00*	28.4500	6/22/2018	389.44	28.4100	-0.56	-0.14	SHORT
0.0820		TRREX	6/29/2017*	2.33*	28.5200	6/22/2018	2.32	28.4100	-0.01	-0.43	SHORT
0.0830		TRREX	9/28/2017*	2.34*	28.1400	6/22/2018	2.35	28.4100	0.01	0.43	SHORT
0.1400		TRREX	12/14/2017*	4.02*	28.8000	6/22/2018	3.97	28.4100	-0.05	-1.24	SHORT
0.1250		TRREX	12/14/2017*	3.61*	28.8000	6/22/2018	3.55	28.4100	-0.06	-1.66	SHORT
1.2140		TRREX	1/17/2018*	33.42*	27.5200	6/22/2018	34.51	28.4100	1.09	3.26	SHORT
0.0950		TRREX	3/28/2018*	2.46*	25.8500	6/22/2018	2.71	28.4100	0.25	10.16	SHORT
				<u>438.18</u>			<u>438.85</u>		<u>0.67</u>		
3.5480	TOUCHSTONE MID CAP INST	TMCPX	6/22/2017*	102.40*	28.8600	1/17/2018	120.28	33.9000	17.88	17.46	SHORT

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

8707-1094

ANN M GETTINGER (ROTH IRA)

WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized	% Gain or Loss	Term
Report Summary											
				1,713.86			1,762.00		38.14		

Realized Gains or Losses	Short Term
	38.14

Legend

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Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

8707-1094

ANN M GETTINGER (ROTH IRA)

WFCS AS CUSTODIAN

208 BARRINGTON PL

FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	% Gain or Loss
Short										
65,6380	JANUS FLEXIBLE BD FD I	JFLEX	6/22/2017 ^c	685.92 ⁿ		10.4500	1/17/2018	675.42	10.2900	-10.50 -1.53
15,3680	PRINCIPAL GBL RE SEC IN	POSIX	6/22/2017 ^c	142.00 ⁿ	9.2400	6/22/2018	148.15	9.6400	6.15 4.33	
13,7080	T ROWE PRICE REAL ESTATE	TRREX	6/22/2017 ^c	390.00 ⁿ	28.4500	6/22/2018	389.44	28.4100	-0.56 -0.14	
0.0820	T ROWE PRICE REAL ESTATE	TRREX	6/29/2017 ^c	2.33 ⁿ	28.5200	6/22/2018	2.32	28.4100	-0.01 -0.43	
0.0830	T ROWE PRICE REAL ESTATE	TRREX	9/28/2017 ^c	2.34 ⁿ	28.1400	6/22/2018	2.35	28.4100	0.01 0.43	
0.1400	T ROWE PRICE REAL ESTATE	TRREX	12/14/2017 ^c	4.02 ⁿ	28.8000	6/22/2018	3.97	28.4100	-0.05 -1.24	
0.1250	T ROWE PRICE REAL ESTATE	TRREX	12/14/2017 ^c	3.61 ⁿ	28.8000	6/22/2018	3.55	28.4100	-0.06 -1.66	
1.2140	T ROWE PRICE REAL ESTATE	TRREX	11/17/2018 ^c	33.42 ⁿ	27.5200	6/22/2018	34.51	28.4100	1.09 3.26	
0.0950	T ROWE PRICE REAL ESTATE	TRREX	3/28/2018 ^c	2.46 ⁿ	25.8500	6/22/2018	2.71	28.4100	0.25 10.16	
16,6310	T ROWE PR INTL OVERSEAS	TROSX	6/22/2017 ^c	174.13 ⁿ	10.4700	1/17/2018	198.07	11.9100	23.94 13.75	
3,5480	TOUCHSTONE MID CAP INST	TMCPX	6/22/2017 ^c	102.40 ⁿ	28.8600	1/17/2018	120.28	33.9000	17.88 17.46	
				1,542.63		1,580.77		38.14		
Misc										
3,3000	GOLDMAN FINL SQ TREAS MM	FTIXX	6/22/2017 ^c	3.30 ⁿ	1.0000	6/27/2017	3.30	1.0000		
31,3500	GOLDMAN FINL SQ TREAS MM	FTIXX	6/22/2017 ^c	31.35 ⁿ	1.0000	7/14/2017	31.35	1.0000		
33,3800	GOLDMAN FINL SQ TREAS MM	FTIXX	6/22/2017 ^c	33.38 ⁿ	1.0000	10/13/2017	33.38	1.0000		
34,4000	GOLDMAN FINL SQ TREAS MM	FTIXX	6/22/2017 ^c	34.40 ⁿ	1.0000	1/12/2018	34.40	1.0000		
34,2000	GOLDMAN FINL SQ TREAS MM	FTIXX	6/22/2017 ^c	34.20 ⁿ	1.0000	4/13/2018	34.20	1.0000		
34,6000	GOLDMAN FINL SQ TREAS MM	FTIXX	6/22/2017 ^c	34.60 ⁿ	1.0000	7/13/2018	34.60	1.0000		
				171.23		171.23				

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5v/K MAHN/GOWEN

Prepared For:

8707-1094
ANN M GETTINGER (ROTH IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Am.	Close Price	% Gain or Loss	Realized

Report Summary											

Realized Gains or Losses	
Short Term	38.14

Legend

(t) Security Held Away	Cost/Amount
Open Date	E - Pre Effective
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Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
2981-9854
ANN M GETTINGER LIV/TR
ANN M GETTINGER TTEE
UIA DTD 06/28/2006
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Realized Price	% Gain or Loss	Term
0.3480*	AMERICAN INTL GROUP	026874-15-8	8/1/2011*			3/3/2017				
5.000	COLUMBIA MO	198050-AP-1	8/16/2011*	5,000.00*	111.7980	10/2/2017	5,000.00	100.0000	Miscellaneous	
5.000	SOUTHEAS	841438-GY-4	6/19/2015*	5,100.00*	103.7090	4/3/2017	5,100.00	102.0000	Miscellaneous	
10.000	ST LOUIS MO	3.0%03/01/20 79148P-BY-6	8/15/2011*	10,000.00*	101.1850	3/2/2018	10,000.00	100.0000	Miscellaneous	
10.000	WENTZVI	95073B-AJ-6	8/15/2011*	10,000.00*	101.9340	4/2/2018	10,000.00	100.0000	Miscellaneous	
				30,00.00			30,100.00	0.00		

Report Summary										
Legend										
(1) Security Held Away										

Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
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Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared Form

2981-9854

ANN M GETTINGER LIV TR

ANN M GETTINGER TEE

U/A DTD 06/28/2006

208 BARRINGTON PL

FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain or Loss	% G/L
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<u>Legend</u>	(*) Security Held Away
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Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
2981-9926

ANN M GETTINGER BENE IRA

ALAIN M GETTINGER DECD

WFCS AS CUSTODIAN

208 BARRINGTON PL

PESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Realized Price	% Gain or Loss
11.7290	AMER FDS INVEST CO AMR	AIVSX	7/23/2007*	457.20 ^N	38.9800	1/22/2018	493.00	42.6300	35.80 7.83 LONG
8.0190	AMER FDS SMALLCAP	SMCWX	7/23/2007*	407.53 ^N	50.8200	3/2/2017	388.00	49.2600	-19.53 -4.79 LONG

Report Summary

864.73
861.00
16.27

Realized Gains or Losses

Long Term 16.27

Legend

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Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

2981-9926

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ALAINNE M GETTINGER DECD

WFCS AS CUSTODIAN

208 BARRINGTON PL

FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Am.	Close Price	% Gain or Loss
Long	117290 AMER FD\$ INVST CO AMRA	AMRX	7/23/2007*	457.20*	38.9800	1/22/2018	493.00	42.6300	35.80	7.83

8.0190 AMER FD\$ SMALLCAP WLD A	SMCWX	7/23/2007*	407.53*	50.8200	3/2/2017	388.00	49.2600	-19.53	-4.79
				864.73			881.00		16.27

Report Summary	
864.73	16.27

Realized Gains or Losses

Long Term	16.27
-----------	-------

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September 5, 2018

Portfolio Report

Prepared for:
Ann Gettinger

Prepared by:
Kyle J. Gowen, MBA
Financial Advisor
Mahn Wealth Management Group of Wells Fargo Advisors

1311 Veterans Blvd
PO Box 727
Festus, MO 63028

Investment and Insurance Products:
► NOT FDIC-Insured ► NO Bank Guarantee ► MAY Lose Value

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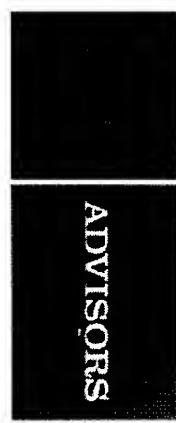


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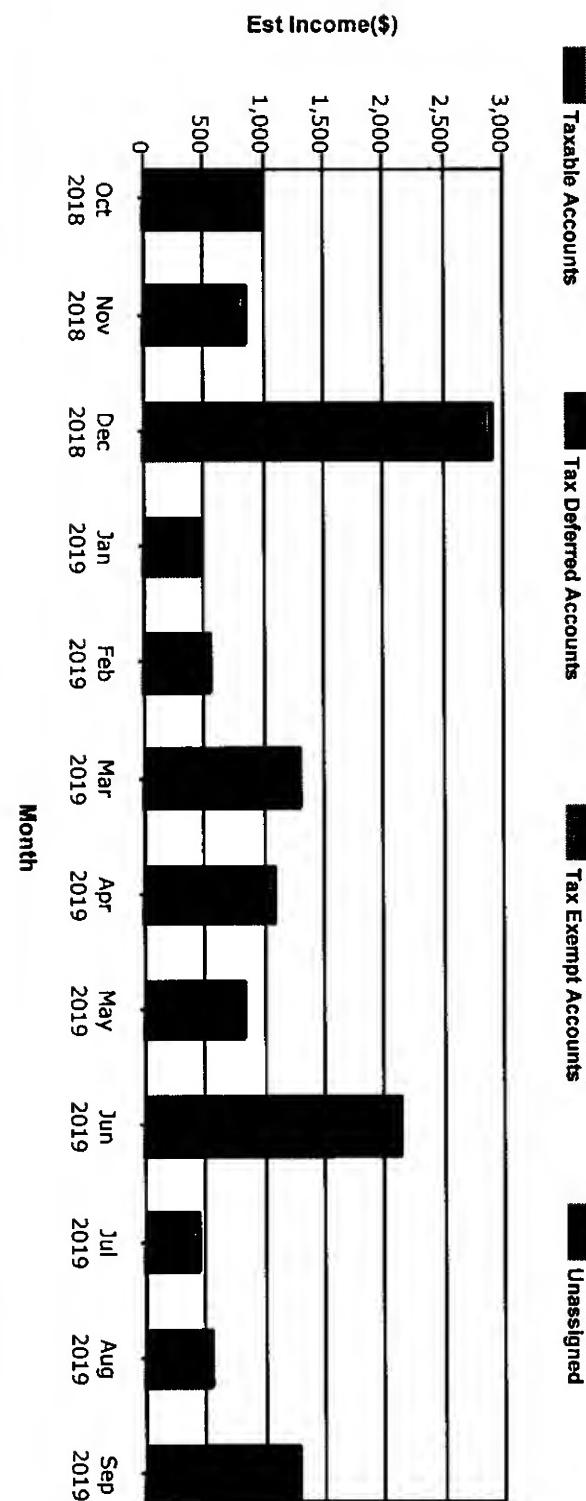
Cover Page	1
Accounts Included In the Report	3
Portfolio Estimated Income	4
Understanding Your Portfolio	7

Accounts Included in the Report

XXXX9760	GETTINGER ANN M	Ann's Trust - Managed DSIP	Taxable	Internal	9/4/2018
XXXX9259	GETTINGER ANN M	Ann's Trust - Asset Advisor	Taxable	Internal	9/4/2018
XXXX9854	GETTINGER ANN LIV TR GETTINGER	Ann's Trust - Inherited	Taxable	Internal	9/4/2018
ANN M					
XXXX9926	GETTINGER ANN M	Ann's Bene IRA	Deferred	Internal	9/4/2018
XXXX8147	GETTINGER ANN M	Ann's Trust - FundSource	Taxable	Internal	9/4/2018
XXXX1094	GETTINGER ANN M		Exempt	Internal	9/4/2018

Portfolio Estimated Income

By: Account Tax Status,Tax Type,Security Sub Type
Based on current income rates



Taxable Accounts														
Dividend	92	993	832	2,791	442	529	1,244	1,077	816	2,087	430	529	1,244	13,015
Common Stock	50	228	347	880	228	310	880	228	347	880	228	310	880	5,744
Market Linked	50	228	347	880	228	310	880	228	347	880	228	310	880	5,744
Equity Fund	30	143	175	957	154	160	304	227	160	472	143	160	304	3,359
Bond Fund	1	1	16	788	12	141	141	141	141	141	141	141	141	85
Commodity Fund	21	141	141	27	141	141	303	135	141	141	141	141	141	0
	4	141	141	27										1,389
	1													1,696
														107

Money Market Fund		2	1	18	1	1	18	1	1	18	1	1	18	1
Non-Federal		12	622	310	955	60	60	60	622	310	735	60	60	60
Municipal Bond		7	563	250	895				563	250	675			3,912
Bond Fund		5	60	60	60	60	60	60	60	60	60	60	60	3,195
Tax Deferred Accounts		5		48			18			24		18		107
Taxable		5		48			18			24		18		107
Equity Fund		5		48			18			24		18		107
Tax Exempt Accounts		12		16		60	16		30	16	16	30		279
Taxable		12		16		16	60	16	16	30	16	16	30	279
Equity Fund		7				44			14		14		14	87
Bond Fund		4		16		16	16	16	16	16	16	16	16	188
Money Market Fund		1		0		0	0	0	0	0	0	0	0	4
Unassigned		4		2		2	2		2	2	2	2	2	28
Not Available		4		2		2	2	2	2	2	2	2	2	28
Cash Alternative		4		2		2	2	2	2	2	2	2	2	28
Portfolio Estimated Income Total		113	1,011	850	2,901	461	547	1,295	1,096	835	2,143	449	548	1,294
														13,429

Total Portfolio Value as of 09/04/18: \$ 707,511

This report is not a substitute for official tax reporting. This report separates the holdings, first based on the taxability at the account level. The secondary aggregation is Tax Type which is used to aggregate securities based on their assumed taxability of income (interest and dividends) at the time of this report. Classifications are made by data sources we deem reliable; however, we do not guarantee the accuracy of these classifications. Most securities are subject to capital gains taxes and some may be considered in calculations for Alternative Minimum Tax (AMT) that are not so designated here. This report does not attempt to portray phantom income (income accrued or accrued but not paid) on discount or zero coupon securities. You should consult with your tax advisor regarding the taxability of your holdings. The following tax type classifications may be included in this report: AMT - subject to Alternative Minimum Tax; Dividend - taxable as dividend income; Federal - income taxable by federal government (state and local income taxes may not apply); Non-Federal - income non-taxable by federal government but may be subject to state and local taxes; Taxable - income taxable by federal, state and local taxes; and Not Available - taxability undetermined.

Estimated Income Annualized (EIA) takes your current income, based on the current dividends or interest paid by your securities and multiplies it to create an annualized hypothetical figure. It does not reflect actual or future performance and should not be relied upon when making financial decisions. All annualizations are based upon current positions using a simple mathematical calculation and assumes all figures remain constant for a year; any subsequent position changes will affect these calculations. Calculations for certain types of securities could include a return of principal or capital gain, in which case the figures would be overstated. Past performance is not a guarantee of future results. Dividend payments are made solely at the discretion of the issuer and are subject to be changed or eliminated.

at any time. *Estimated Income* is an estimate and the actual income might be lower or higher than the estimated amount. *Estimated Income* reflects only the income generated by an investment. It does not reflect changes in price, which may fluctuate. This report is not complete without the *Understanding Your Portfolio* report which contains important terms and definitions.

Understanding Your Portfolio

General Information

Investing involves risk, including the possible loss of principal. This report is intended to provide you with a descriptive overview of your current portfolio. The report may encompass one account or multiple accounts as specified in the report. The material has been prepared or is distributed solely for information purposes and does not supersede the proper use of your Wells Fargo Advisors client statements and/or trade confirmations, which are considered to be the official and accurate subject to change. Any market prices are only indications of market values, are subject to change, and may not reflect the value at which the securities could be sold. Reported prices should not be considered actual bids. For these reports, in certain groupings, Corporate Bonds includes Certificates of Deposit. Additionally, the report is prepared as of trade date, rather than settlement date, and may be prepared on a different date than your statement. The report may not reflect all holdings or transactions, their costs, or proceeds in your account.

The report may also include information you provided about assets held at other firms, or assets held away from Wells Fargo Advisors was provided by you and may not be covered by SIPC. We have relied solely on information from you regarding those assets. We do not verify incomplete or inaccurate cost basis or information from other firms or affiliates and you are responsible for notifying your Financial Advisor of any changes in your externally held investments, including cost basis. Incorrect cost basis will affect your plan results because the tax assumptions are based on market data provided by third party vendors. While this information is believed to be reliable, it may be cases when updating prices is not possible. In addition, any transactions, values or information with your Financial Advisor. Before making any decisions please validate your account has not been verified. Security ID will be ticker symbol, CUSIP number, Security Number or, if you have provided us with a market value of a generic asset classification type, we will assign a dash, including current pricing, call your Financial Advisor.

To the extent that this report includes fixed income securities, you should be aware that the descriptions of fixed income securities are general in nature and do not reflect important information that you should consider, such as but not limited to, yield, call features, credit quality, and various tax implications. Before making any decisions regarding fixed income investments, contact your advisor to obtain more detailed information regarding specific fixed income securities.

Asset classification of holdings in external accounts where classification is not readily available may be assigned to a multi-asset class category or reassigned into additional asset classes by your Financial Advisor which may not be the most accurate asset class for your investment characteristics and risk profile. It is your responsibility to review the asset classification for external accounts and notify us of any changes.

Asset Classes for mutual funds, variable annuities and exchange-traded funds are derived from Morningstar Categories. Underlying holdings classification provided by Morningstar, Inc. All Rights Reserved. The information contained herein: (1) is proprietary to Morningstar; ©2018 Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not responsible for any damages or losses arising from any use of this information.

09/05/2018

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External Accounts Included in Your Report

As a service, we may have included your assets and/or your liabilities held at other financial institutions. We assume no responsibility for the accuracy or completeness of the information provided either to your Financial Advisor or through any third party aggregation service regarding your assets or liabilities held at other firms. We may update the pricing of these securities to your external accounts is not possible. In addition, any transactions, values or information with your Financial Advisor. In instances where you use a third party aggregation service, we rely on any recommendations contained in this report.

If we have included or if you have provided us with information on accounts managed by you or an affiliate of Wells Fargo Advisors, including self-directed WellsTrade accounts or accounts, the Strategic Allocation listed in this report is for informational purposes only and should not be considered a recommendation from Wells Fargo Advisors for external individual employee benefit plan or any external individual retirement account in either the planning, execution or provision of this analysis, unless separately contracted to act as a fiduciary with respect to such an account. Any asset allocation information presented in this report for external 401(k), 403(b), Government 457(b), Defined Benefit Plan, Trustee Defined Profit Sharing Plan or individual retirement accounts is for general asset allocation education and informational purposes only and should not be viewed as fiduciary investment advice.

Fixed Income Analysis

Municipal bonds. Under present federal income tax law, the interest income received from tax-exempt municipal bonds is generally free from federal income taxes. While the interest income may be tax-free, capital gains, if any, will be subject to taxes. Income for some investors may be subject to the federal Alternative Minimum Tax (AMT).

Asset Class Descriptions

The asset class descriptions below are included solely to provide insight into how individual investments. However, no warranty of accuracy is made.

Equity Investments: Equity Investments

The investment return to the owner of stock (shareholder) is in the form of dividends and/or capital appreciation. The market capitalization of companies is used to group large, medium (Mid), and small companies. Shareholders share in both the upside potential and the downside risk.

Capitalization: Market capitalization definitions differ but one example of capitalization methodology is that of Morningstar, which defines "large-capitalization" stocks as those stocks that form the top 70% of the market capitalization of the stocks eligible to be included in the Morningstar

US Market Index (a diversified broad market index that represents approximately 97% of the market capitalization of publicly traded U.S. Stocks). The Morningstar index methodology defines "mid-capitalization" stocks as those stocks that form the 20% of market capitalization between the 70th and 90th percentile of the market capitalization and "small-capitalization" stocks as those stocks that form the 7% of market capitalization between the 90th and 97th percentile of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index.

Investing in small and mid-cap companies involve additional risks such as limited liquidity and greater volatility.

Fixed Income Securities (Bonds): Bonds are promissory notes of a United States corporation or federal government entity (taxable bonds), or a state or local government entity (tax-exempt or municipal bonds). Bonds usually make a series of interest payments followed by a return of principal at maturity. If sold prior to maturity, the price that can be obtained for a bond may be more or less than face value, depending on interest rates at the time the bond is sold and the remaining term of the bond.

Fixed income securities include Treasuries (i.e., public obligations of the U.S. Treasury that have remaining maturities of more than one year), government-related issues (i.e., agency, sovereign, supranational, and local authority debt), and corporate bonds.

Investments in fixed-income securities are subject to market, interest rate, credit/default, inflation and other risks. Bond prices fluctuate inversely to changes in interest rates. Therefore, a general rise in interest rates can result in the decline in the bond's price. Lower rated securities are speculative and involve greater risk of default.

Term: Short-term bonds have effective maturities of five years or less; intermediate bonds have effective maturities between five and ten years; and long-term bonds have maturities of ten years or longer.

Income from tax exempt bonds is generally free from federal and state taxes for residents of the issuing state. While the interest income is tax-free, capital gains if any are subject to taxes. Income of certain tax-exempt bonds may be subject to the Federal Alternative Minimum Tax (AMT).

Real Assets: Real Assets includes Public Real Estate, Private Real Estate and Commodities. Public Real Estate includes listed real-estate companies and equity and mortgage REITs. A REIT combines the capital of many investors to either acquire or provide financing for real estate. Private Real Estate is an investment that uses an active management strategy consisting of both direct and secondary ownership of equity and debt interests in various types of real property. Commodities are usually agricultural products such as corn, livestock, coffee and cocoa or metals such as gold, copper and silver, or energy products such as oil and natural gas. Commodities can either be sold on the spot market for immediate delivery or on the commodities exchanges for later delivery. Trade on commodities exchanges is usually in the form of future contracts.

There are special risks associated with an investment in real estate, including possible illiquidity of the underlying properties, credit risk, interest rate fluctuations and the impact of varied economic conditions. Mortgage REITS will be subject to interest rate fluctuations and to the spread between short-term and long-term bond rates. Privately offered real estate funds are speculative and involve a high degree of risk. Investments in real estate have special risks, including the possible illiquidity of the underlying properties, credit risk, interest rate fluctuations, and the impact of varied economic conditions. There can be no assurance a secondary market will exist and there may be restrictions on transferring interests. The commodities markets are considered speculative, carry substantial risks, and have experienced periods of extreme volatility.

Multi-Class: This category is primarily used to classify investments that include a substantial amount of both equity and fixed income investments, or some other combination of classes.

Cash Alternatives: Cash Alternatives include liquid, short term and interest bearing investments. Examples are Treasury bills and commercial paper. It is possible to lose money by investing in cash alternatives.

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September 5, 2018

Ellis/Ellis 401k Current WFA Portfolio Holdings

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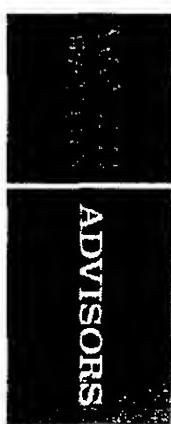


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Accounts Included in the Report

Account ID	Account Name	Type	Status
XXXX9642	ELLIS KATHRYN G	Taxable	Internal 9/4/2018
XXX2136	ELLIS KATHRYN 401K	Deferred	Internal 9/4/2018
XXX4833	ELLIS KATHRYN G	Deferred	Internal 9/4/2018
XXX1842	ELLIS KATHRYN G	Deferred	Internal 9/4/2018
XXX5983	ELLIS KATHRYN G	Deferred	Internal 9/4/2018
XXX0743	ELLIS KATHRYN G	Deferred	Internal 9/4/2018

Portfolio Summary

By: Security Sub Type



- Common Stock (13.16%)
- Bond (30.59%)
- Fund (48.92%)
- Annuity (5.47%)
- Cash Alternative (1.86%)

Common Stock				
ELLIS KATHRYN G (XXXX4833)				
	148,269	13.2%		
	148,269	13.2%		
Bond				
ELLIS KATHRYN 401K (XXXX2136)	344,711	30.6%		
ELLIS KATHRYN G (XXXX1842)	61,841	5.5%		
ELLIS KATHRYN G (XXXX5983)	75,049	6.7%		
	207,821	18.4%		
Fund				
ELLIS KATHRYN 401K (XXXX2136)	551,336	48.9%		
ELLIS KATHRYN G (XXXX0743)	381,734	33.9%		
	169,602	15.0%		
Annuity				
ELLIS KATHRYN G (XXXX9642)	61,632	5.5%		
	61,632	5.5%		
Cash Alternative				
ELLIS KATHRYN G (XXXX9642)	20,989	1.9%		
ELLIS KATHRYN 401K (XXXX2136)	0	0.0%		
ELLIS KATHRYN G (XXXX4833)	10,626	0.9%		
ELLIS KATHRYN G (XXXX5983)	5,233	0.5%		
ELLIS KATHRYN G (XXXX0743)	4,830	0.4%		
	301	0.0%		
Portfolio Summary Total	1,126,938	100.0%		

Total Portfolio Value as of 09/04/18: \$ 1,126,938

This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.

Portfolio Summary - Security Detail

By: Security Sub Type



- Common Stock (13.16%)
- Bond (30.59%)
- Fund (48.92%)
- Annuity (5.47%)
- Cash Alternative (1.85%)

		Value		Percentage	
		Value	Value	Total Value	% of Total Value
Common Stock					
ELLIS KATHRYN G (XXXX4833)					
MMM	3M CO	15	208.60	148,269	13.2%
ABT	ABBOTT LABORATORIES	52	67.11	148,269	13.2%
ACN	ACCENTURE PLC IRELAND	19	169.50	3,129	0.3%
AFL	AFLAC INC	78	46.57	3,490	0.3%
ARD	AIR PRODUCTS &	15	164.34	3,221	0.3%
AMGN	CHEMICALS			3,632	0.3%
ADP	AMGEN INC	17	196.73	2,465	0.2%
T	ANALOG DEVICES INC	37	98.11	3,344	0.3%
AT & T INC	AT & T INC	58	31.86	3,630	0.3%
ADP	AUTOMATIC DATA	26	145.81	1,848	0.2%
BDX	PROCESSIN			3,791	0.3%
BLK	BECTON DICKINSON & CO	16	261.93	4,191	0.4%
CVX	BLACKROCK INC	5	475.78	2,379	0.2%
CB	CHEVRON CORPORATION	20	118.87	2,377	0.2%
CSCO	CHUBB LTD	23	136.20	3,133	0.3%
CLX	CISCO SYS INC	82	47.73	3,914	0.3%
CL	CLOROX COMPANY	20	146.12	2,922	0.3%
EV	COLGATE PALMOLIVE CO	29	65.23	1,892	0.2%
	EATON VANCE CORP NON	26	52.32	1,360	0.1%
	VTG				

EMR	EMERSON ELECTRIC CO	36	76.40	2,750	0.2%
ES	EVERSOURCE ENERGY	39	62.42	2,434	0.2%
XOM	EXXON MOBIL CORP	25	80.29	2,007	0.2%
FDS	FACTSET RESEARCH SYS INC	11	232.16	2,554	0.2%
GD	GENL DYNAMICS CORP COM	20	192.61	3,852	0.3%
GIS	GENL MILLS INC	35	45.92	1,607	0.1%
HRS	HARRIS CORP DEL	22	162.37	3,572	0.3%
ITW	ILL TOOL WORKS INC	25	138.94	3,474	0.3%
IBM	INTL BUSINESS MACH CORP	12	145.68	1,748	0.2%
JNJ	JOHNSON & JOHNSON	26	133.72	3,477	0.3%
JPM	JPMORGAN CHASE & CO	20	115.15	2,303	0.2%
LOW	LOWES COMPANIES INC	47	109.93	5,167	0.5%
MCD	MCDONALDS CORP	15	161.72	2,426	0.2%
MDT	MEDTRONIC PLC	43	95.56	4,109	0.4%
MSFT	MICROSOFT CORP	66	111.71	7,373	0.7%
NEE	NEXTERA ENERGY INC	23	170.03	3,911	0.3%
NKE	NIKE INC CLASS B	26	79.60	2,070	0.2%
NSC	NORFOLK SOUTHERN CORP	22	174.36	3,836	0.3%
NVS	NOVARTIS AG	23	82.56	1,899	0.2%
PAYX	PAYCHEX INC	43	73.08	3,142	0.3%
PEP	PEPSICO INCORPORATED	21	111.00	2,331	0.2%
PSX	PHILLIPS 66	26	118.96	3,093	0.3%
PII	POLARIS INDs INC	17	108.70	1,848	0.2%
PX	PRAXAIR INC	16	156.18	2,499	0.2%
PG	PROCTER & GAMBLE CO	21	82.70	1,737	0.2%
QCOM	QUALCOMM INC	30	69.98	2,099	0.2%
SYY	SYSCO CORPORATION	46	75.35	3,466	0.3%
TGT	TARGET CORP	24	88.95	2,135	0.2%
UTX	UNITED TECHNOLOGIES CORP	22	131.90	2,902	0.3%
USB	US BANCORP NEW	45	54.24	2,441	0.2%
VFC	VF CORPORATION	41	91.88	3,767	0.3%
WMT	WALMART INC	30	95.36	2,861	0.3%
WEC	WEC ENERGY GROUP INC	39	68.26	2,662	0.2%
Bond				344,711	30.6%
ELLIS KATHRYN 401K (XXXX2136)				61,841	5.5%
00206GR3R3	AT&T INC 5.8% 02/15/19	10,000	101.32	10,132	0.9%
485106GR3	KANSAS MO 4.13% 03/01/22	25,000	102.81	25,702	2.3%
485106GU6	KANSAS MO 4.625% 03/01/25	25,000	104.03	26,008	2.3%
ELLIS KATHRYN G (XXXX1842)				75,049	6.7%
14020V31	CAPITAL ONE 1.75% 020320	30,000	98.86	29,657	2.6%

38143AQWB	GOLDMAN SACHS & CO	2.25%	042519	19,000	100.09	19,017	1.7%
594978AC8	MICROSOFT	4.2%	060119	5,000	101.31	5,065	0.4%
949867M46	WELLS FARGO	0%	09/02/22	20,000	106.55	21,310	1.9%
ELL S KATHRYN G (XXXX5983)						207,821	18.4%
023135AN6	AMAZON.COM	3.8%	120524	3,000	102.51	3,075	0.3%
025876BL2	AMERICAN EX VAR	9%	122949	4,000	100.38	4,015	0.4%
035242AP1	ANHEUSER-BUHLER	3.65%	020126	4,000	97.45	3,898	0.3%
037833DG2	APPLE INC	3.75%	111347	3,000	94.24	2,827	0.3%
00206RDD1	AT&T INC	3.95%	011525	5,000	98.41	4,921	0.4%
00206RCC4	AT&T INC S	2.3%	031119	2,000	99.80	1,996	0.2%
06057GFX2	BANK OF AMERICA	3.5%	041926	4,000	96.93	3,877	0.3%
06405BAB6	BANK OF NEW YORK MELLON	4.5%	PERP TL	3,000	96.53	2,896	0.3%
06406HBM0	BANK OF NEW YORK MELLON	5.45%	051519	3,000	101.94	3,058	0.3%
05565QDB1	BP CAPI	3.119%	050426	2,000	95.91	1,918	0.2%
12189LAM3	BURLINGTON CO	3%	031523	4,000	98.67	3,947	0.4%
151020AS3	CELGENE	3.875%	081525	2,000	98.35	1,967	0.2%
171340AK8	CHURCH & DWYER	2.45%	080122	3,000	96.37	2,891	0.3%
125509BS7	CIGNA CORP	4%	0215/22	2,000	101.21	2,024	0.2%
172967KU4	CITIGROUP INC	4.125%	072528	4,000	96.76	3,871	0.3%
20030NBY6	COMCAST CO	3.33%	020127	4,000	95.75	3,830	0.3%
216871AE3	COOPER INDUSTRIES	3.875%	121520	2,000	101.25	2,025	0.2%
256746AF5	DOLLAR TREE	3.7%	051523	4,000	99.35	3,974	0.4%
278062AC8	EATON CORP	2.75%	110222	2,000	97.50	1,950	0.2%
278865AT7	ECOLAB INC	2%	011419	2,000	99.80	1,996	0.2%
29379VBA0	ENTERPRISE PRODUCTS PARTNERS L.P.	4.85%	031544	2,000	101.46	2,029	0.2%
31344GBTX0	FHLB CINCINNATI	1.75%	062920	4,000	98.38	3,935	0.3%
3135G0J20	FNMA	1.375%	022621	11,000	96.75	10,642	0.9%
3135G0ZRR7	FNMA	2.625%	090624	11,000	98.37	10,821	1.0%
381448LAE6	GOLDMAN SACHS	3.75%	052225	4,000	98.43	3,937	0.3%
24422ESF7	JOHN DEERE	1.95%	121318	2,000	99.91	1,998	0.2%
46625HJJ0	JPMORGAN CHASE & CO	3.375%	050123	4,000	98.33	3,933	0.3%
48127FAA1	JPMORGAN CHASE & CO	5%	PERP TL	4,000	100.75	4,030	0.4%
571903AS2	MARRIOTT INTERNATIONAL	3.125%	061526	2,000	93.69	1,874	0.2%
571748AV4	MARSH & MCBRIDE	3.5%	060324	3,000	99.30	2,979	0.3%
58507LAC3	MEDTRONIC	3.35%	040127	2,000	98.04	1,961	0.2%
594978CA0	MICROSOFT	4.25%	020647	3,000	105.21	3,156	0.3%
59562VAM9	MIDAMERICAN ENERGY HOLDINGS	6.125%	040136	2,000	120.40	2,408	0.2%
553336VAQ3	MPLX LP	3.375%	031523	4,000	98.30	3,932	0.3%
635405AM5	NATIONAL CITY	6.875%	051519	2,000	102.73	2,055	0.2%
681979BA3	OMNICOM	3.65%	110124	2,000	97.42	1,948	0.2%
68389XBE4	ORACLE	3.9%	051535	4,000	97.60	3,904	0.3%
854502AB7	STANLEY	2.451%	111718	2,000	99.97	1,999	0.2%
854502AE1	STANLEY B	1.622%	111718	2,000	99.82	1,996	0.2%

Fund						
ELLIS KATHRYN 401K (XXXX2136)						
DEMIX	DELAWARE EMERGING MKTS	1,870	18.07		551,336	48.9%
DOMOX	DOMINI FUND IMPACT INSTL	2,366	8.37	381,734	33.9%	33,797
FMKIX	FIDELITY ADV EMRG MKTS	4,265	12.54			3.0%
FRIAX	FRANKLIN INCOME ADV	33,636	2.30			
P/D	INVESCO INTL DIVID ETF	515	15.70			
PFF	ISHS U.S. PREFRD STK ETF	200	37.50			
LAHYX	LORD ABBETT HIGH YIELD	3,341	7.51			
PIMIX	PIMCO INCOME FDI	2,475	11.88			
PMDIX	PRINCIPAL SMID DIV INSTL	953	17.85			
POSIX	PRINCIPAL GLBL R&E SEC IN	3,227	9.73			
RSYEX	VICTORY RS SMALL CAP Y	113	101.35			
VSOIX	VICTORY SYCAMORE SMALL	857	51.62			
WACPX	WESTERN ASSET CORE BD-I	914	11.23			
DEM	WTREE EMG MKTS HIGH ETF	300	42.90			
ELLIS KATHRYN (XXXX0743)						
FMKIX	FIDELITY ADV EMRG MKTS	545	12.54	169,602	15.0%	6,836
HFMIX	HARTFORD MIDCAP	629	35.13			0.6%
PFORX	PIMCO INTL BD FD INSTL	951	10.75	22,097	2.0%	
POSIX	PRINCIPAL GLBL R&E SEC IN	1,408	9.73	10,227	0.9%	
TRBCX	T ROWE PRICE BLE CHP	487	113.99	13,704	1.2%	
GRW				55,499	4.9%	
TSIX	THORNBURG INV'T STR INC	3,400	11.63			39,545
RSYEX	VICTORY RS SMALL CAP Y	108	101.35			10,960
VSOIX	VICTORY SYCAMORE SMALL	208	51.62			10.735
						1.0%
						61,632
						5.5%

Annuity

Portfolio Reports

Kathy Ellis

		Value		Percentage	
ELLIS KATHRYN G (XXXX9642)				61,632	5.5%
374	BRIGHTHOUSE LIFE INS CO	1,662		25,237	2.2%
375	BRIGHTHOUSE FDS TR CLB			15,026	1.3%
372	BRIGHTHOUSE LIFE INS CO	1,140		5,103	0.5%
AFBC	BRIGHTHOUSE FDS TR CLB	443		2,437	0.2%
AFPG	LINCOLN NATL LIFE INS CO	180	12.38	3,281	0.3%
AFGI	LINCOLN NATL LIFE INS CO P2	217	13.42	1,632	0.1%
AFPI	AMERICAN PROTECT GROW P2	113	12.69	2,402	0.2%
LAPF	LINCOLN NATL LIFE INS CO	223	10.71	4,886	0.4%
RMAL	LINCOLN AMERN PRESVATN	511		1,629	0.1%
	LINCOLN NATL LIFE INS CO	125			
	LINCOLN VAR INS ANNUITY				
Cash Alternative				20,989	1.9%
ELLIS KATHRYN G (XXXX9642)				0	0.0%
-	Cash Alternative	0	1.00	0	0.0%
-	ELLIS KATHRYN 401K (XXXX2136)			10,626	0.9%
-	Cash Alternative	10,626	1.00	10,626	0.9%
-	ELLIS KATHRYN G (XXXX4833)			5,233	0.5%
-	Cash Alternative	5,233	1.00	5,233	0.5%
-	ELLIS KATHRYN G (XXXX5983)			4,830	0.4%
-	Cash Alternative	4,830	1.00	4,830	0.4%
-	ELLIS KATHRYN G (XXXX0743)			301	0.0%
-	Cash Alternative	301	1.00	301	0.0%
Portfolio Summary Total				1,126,938	100.0%
Total Portfolio Value as of 09/04/18: \$ 1,126,938					
<i>This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.</i>					

Understanding Your Portfolio

General Information

Investing involves risk, including the possible loss of principal. This report is intended to provide you with a descriptive overview of your current portfolio. The report may encompass one account or multiple accounts as specified in the report. The material has been prepared or is distributed solely for information purposes and does not supersede the proper use of your Wells Fargo Advisors client statements and/or trade confirmations, which are considered to be the official and accurate records of your account activity. Any market prices are only indications of market values, are subject to change, and may not reflect the value at which the securities could be sold. Reported prices should not be considered actual bids. For these reports, in certain groupings, Corporate Bonds includes Certificates of Deposit. Additionally, the report is prepared as of trade date, rather than settlement date, and may be prepared on a different date than your statement. The information contained in this report may not reflect all holdings or transactions, their costs, or proceeds in your account.

The report may also include information you provided about assets held at other firms. Information on assets held away from Wells Fargo Advisors was provided by you and may not be covered by SIPC. We have relied solely on information from you regarding those assets. We do not verify or confirm those assets held with other firms or affiliates and you are responsible for notifying your Financial Advisor of any changes in your externally held investments including cost basis. Incomplete or inaccurate cost basis will affect your plan results because the tax assumptions are incorrect. Due to timing issues, if this report includes assets held at a Trust Company positions and market data should be verified. Before making any decisions please validate your account information with your Financial Advisor. The financial data used to generate this report is provided to Wells Fargo Advisors by third party vendors. While this information is believed to be reliable, it has not been verified. Security ID will be ticker symbol, CUSIP number, Security Number or, if you have provided us with a market value of a generic asset classification type, we will assign a dash. This report is not complete unless all pages noted are included. For more detailed information, including current pricing, call your Financial Advisor.

To the extent that this report includes fixed income securities, you should be aware that the descriptions of fixed income securities are general in nature and do not reflect important information that you should consider, such as but not limited to, yield, call features, credit quality, and various tax implications. Before making any decisions regarding fixed income investments, contact your advisor to obtain more detailed information regarding specific fixed income securities.

Asset classification of holdings In external accounts where classification is not readily available may be assigned to a multi-asset class category or reassigned into additional asset classes by your Financial Advisor which may not be the most accurate asset class based on the holding's characteristics and risk profile. It is your responsibility to review the asset classification for external accounts and notify us of any changes.

Asset Classes for mutual funds, variable annuities and exchange-traded funds are derived from Morningstar Categories. Underlying holdings classification provided by Morningstar. ©2018 Morningstar, Inc. All Rights Reserved. The information contained herein: (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warranted to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information.

External Accounts Included In Your Report

As a service, we may have included your assets and/or your liabilities held at other financial institutions. We assume no responsibility for the accuracy or completeness of the information you provided either to your Financial Advisor or through any third party aggregation service regarding your assets or liabilities held at other firms. We may update the pricing of these securities; however, there may be cases when updating prices is not possible. In addition, any transactions, values or changes in your external accounts will not be reflected unless you provide updated information to your Financial Advisor. In instances where you use a third party aggregation service, we rely on you to take action when notified by the third party service that updates are needed. The accuracy and completeness of the information you provide may materially affect the results and any recommendations contained in this report.

If we have included or if you have provided us with information on accounts managed by you or an affiliate of Wells Fargo Advisors, including self-directed WellsTrade accounts at Wells Fargo Advisors, and fiduciary accounts at Wells Fargo Bank, N.A., you should understand that Wells Fargo Advisors has no authority to manage, direct or influence the accounts. With respect to such accounts, the Strategic Allocation listed in this report is for informational purposes only and should not be considered a recommendation from Wells Fargo Advisors or your Financial Advisor. The views, opinions, asset allocation models and forecasts may differ from our affiliates.

By providing you this report, neither the firm nor your Financial Advisor is acting as a fiduciary for purposes of ERISA or section 4975 of the Code with respect to any external ERISA-covered employee benefit plan or any external individual retirement account in either the planning, execution or provision of this analysis, unless separately contracted to act as a fiduciary with respect to such an account. Any asset allocation information presented in this report for external 401(k), 403(b), Government 457(b), Defined Benefit Plan, Trustee Defined Profit Sharing Plan or individual retirement accounts is for general asset allocation education and informational purposes only and should not be viewed as fiduciary investment advice.

Asset Class Descriptions

The asset class descriptions below are included solely to provide insight into how individual securities are tied to specific asset classes. We use our best efforts to correctly classify investments. However, no warranty of accuracy is made.

Equity Investments: Equity investments refer to buying stocks of United States companies. The investment return to the owner of stock (shareholder) is in the form of dividends and/or capital appreciation. The market capitalization of companies is used to group large, medium (Mid), and small companies. Shareholders share in both the upside potential and the downside risk.

Capitalization: Market capitalization definitions differ but one example of capitalization methodology is that of Morningstar, which defines "large-capitalization" stocks as those stocks that form the top 70% of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index (a diversified broad market index that represents approximately 97% of the market capitalization of publicly traded U.S. Stocks). The Morningstar Index methodology defines "mid-capitalization" stocks as those stocks that form the 20% of market capitalization between the 70th and 90th percentile of the market capitalization and "small-capitalization" stocks as those stocks that form the 7% of market capitalization between the 90th and 97th percentile of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index.

Investing in small and mid-cap companies involve additional risks such as limited liquidity and greater volatility.

Fixed Income Securities (Bonds): Bonds are promissory notes of a United States corporation or federal government entity (taxable bonds) or a state or local government entity (tax-exempt or municipal bonds). Bonds usually make a series of interest payments followed by a return of principal at maturity. If sold prior to maturity, the price that can be obtained for a bond may be more or less than face value, depending on interest rates at the time the bond is sold and the remaining term of the bond.

Fixed income securities include Treasuries (i.e., public obligations of the U.S. Treasury that have remaining maturities of more than one year), government-related issues (i.e., agency, sovereign, supranational, and local authority debt), and corporate bonds.

Investments in fixed-income securities are subject to market, interest rate, credit/default, inflation and other risks. Bond prices fluctuate inversely to changes in interest rates. Therefore, a general rise in interest rates can result in the decline in the bond's price. Lower rated securities are speculative and involve greater risk of default.

Term: Short-term bonds have effective maturities of five years or less, intermediate bonds have effective maturities between five and ten years; and long-term bonds have maturities of ten years or longer.

Income from tax exempt bonds is generally free from federal and state taxes for residents of the issuing state. While the interest income is tax-free, capital gains if any are subject to taxes. Income of certain tax-exempt bonds may be subject to the Federal Alternative Minimum Tax (AMT).

Real Assets: Real Assets includes Public Real Estate, Private Real Estate and Commodities. Public Real Estate includes listed real-estate companies and equity and mortgage REITs. A REIT combines the capital of many investors to either acquire or provide financing for real estate. Private Real Estate is an investment that uses an active management strategy consisting of both direct and secondary ownership of equity and debt interests in various types of real property. Commodities are usually agricultural products such as corn, livestock, coffee and cocoa or metals such as gold, copper and silver, or energy products such as oil and natural gas. Commodities can either be sold on the spot market for immediate delivery or on the commodities exchanges for later delivery. Trade on commodities exchanges is usually in the form of future contracts.

There are special risks associated with an investment in real estate, including possible illiquidity of the underlying properties, credit risk, interest rate fluctuations and the impact of varied economic conditions. Mortgage REITs will be subject to interest rate fluctuations and to the spread between short-term and long-term bond rates. Privately offered real estate funds are speculative and involve a high degree of risk. Investments in real estate have special risks, including the possible illiquidity of the underlying properties, credit risk, interest rate fluctuations, and the impact of varied economic conditions. There can be no assurance a secondary market will exist and there may be restrictions on transferring interests. The commodities markets are considered speculative, carry substantial risks, and have experienced periods of extreme volatility.

Multiclass: This category is primarily used to classify investments that include a substantial amount of both equity and fixed income investments, or some other combination of classes.

Cash Alternatives: Cash Alternatives include liquid, short term and interest bearing investments. Examples are Treasury bills and commercial paper. It is possible to lose money by investing in cash alternatives.

Wells Fargo Advisors is a trade name used by Wells Fargo Clearing Services, LLC and Wells Fargo Advisors Financial Network, LLC, separate registered broker-dealers and non-bank affiliates of Wells Fargo & Company. CAR 077-03307

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
4391-2136

KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Price	Close Amt	Realized	% Gain or Loss	Term
4,145.0780	EV INCOME FD OF BOSTON I	EIBIX	5/5/2017 ^c	23,960.48 ^N	5.7900	6/22/2018	23,253.88	5.6100	-706.60	-2.94	LONG
26,9120	DODGE & COX INTL STCK FD	DODFX	3/19/2015 ^o	1,179.28 ^N	43.8200	6/22/2018	1,171.75	43.5400	-7.53	-0.64	LONG
10.1430	DODFX	DODFX	12/23/2015 ^o	366.17 ^N	36.1000	6/22/2018	441.62	43.5400	75.45	20.61	LONG
0.8270	DODFX	DODFX	12/22/2016 ^o	31.53 ^N	38.1200	6/22/2018	36.01	43.5400	4.48	14.21	LONG
0.5290	DODFX	DODFX	12/21/2017 ^c	34.56 ^N	45.7700	6/22/2018	32.62	43.5400	2.87	14.24	LONG
0.7490				<u>1,631.40</u>				<u>1,705.03</u>		<u>73.63</u>	
19.5110	EIBIX	EIBIX	6/1/2017 ^c	86.37 ^N	5.8300	6/22/2018	83.25	5.6100	-3.12	-3.61	LONG
20.1900	EIBIX	EIBIX	7/3/2017 ^c	113.21 ^N	5.8100	6/22/2018	109.45	5.6100	-3.76	-3.32	SHORT
20.3710	EIBIX	EIBIX	8/1/2017 ^c	117.59 ^N	5.8300	6/22/2018	113.26	5.6100	-4.33	-3.68	SHORT
19.7510	EIBIX	EIBIX	9/1/2017 ^c	118.07 ^N	5.8000	6/22/2018	114.28	5.6100	-3.79	-3.21	SHORT
20.5390	EIBIX	EIBIX	10/22/2017 ^c	114.58 ^N	5.8200	6/22/2018	110.80	5.6100	-4.08	-3.55	SHORT
20.1210	EIBIX	EIBIX	11/1/2017 ^c	119.33 ^N	5.8100	6/22/2018	115.22	5.6100	-4.11	-3.44	SHORT
20.9630	EIBIX	EIBIX	12/1/2017 ^c	116.10 ^N	5.7700	6/22/2018	112.88	5.6100	-3.22	-2.77	SHORT
21.0700	EIBIX	EIBIX	1/2/2018 ^c	120.54 ^N	5.7500	6/22/2018	117.60	5.6100	-2.94	-2.44	SHORT
19.3640	EIBIX	EIBIX	2/1/2018 ^c	121.15 ^N	5.7500	6/22/2018	118.20	5.6100	-2.95	-2.43	SHORT
21.7420	EIBIX	EIBIX	3/1/2018 ^c	109.99 ^N	5.6800	6/22/2018	108.64	5.6100	-1.35	-1.23	SHORT
21.1420	EIBIX	EIBIX	4/2/2018 ^c	122.19 ^N	5.6200	6/22/2018	121.98	5.6100	-0.21	-0.17	SHORT
22.0790	EIBIX	EIBIX	5/1/2018 ^c	118.92 ^N	5.6200	6/22/2018	118.61	5.6100	-0.21	-0.18	SHORT
			6/1/2018 ^c	123.42 ^N	5.5900	6/22/2018	123.88	5.6100	0.46	0.37	SHORT
				<u>25,462.14</u>			<u>24,721.93</u>		<u>-740.21</u>		
1,211.5560	HARBOR FD HIGH YLD BD HYFAX	HYFAX	9/20/2011 ^o	13,000.00 ^N	10.7300	5/5/2017	12,321.52	10.1700	-678.48	-5.22	LONG
19.3550	HYFAX	HYFAX	10/3/2011 ^o	200.90 ^N	10.3800	5/5/2017	196.84	10.1700	-4.06	-2.02	LONG
25.3290	HYFAX	HYFAX	12/20/2011 ^o	265.19 ^N	10.4700	5/5/2017	257.59	10.1700	-7.60	-2.87	LONG
14.5850	HYFAX	HYFAX	12/20/2011 ^o	152.71 ^N	10.4700	5/5/2017	148.32	10.1700	-4.39	-2.87	LONG
15.8470	HYFAX	HYFAX	3/30/2012 ^c	172.26 ^N	10.8700	5/5/2017	161.16	10.1700	-11.10	-6.44	LONG
17.6630	HYFAX	HYFAX	6/29/2012 ^c	191.47 ^N	10.8400	5/5/2017	179.63	10.1700	-11.84	-6.18	LONG
16.6600	HYFAX	HYFAX	9/28/2012 ^c	184.93 ^N	11.1000	5/5/2017	169.43	10.1700	-15.50	-8.38	LONG
26.7840	HYFAX	HYFAX	12/18/2012 ^c	297.30 ^N	11.1000	5/5/2017	272.39	10.1700	-24.91	-8.38	LONG
2.8630	HYFAX	HYFAX	12/18/2012 ^c	31.78 ^N	11.1000	5/5/2017	29.11	10.1700	-2.67	-8.40	LONG
14.8010	HYFAX	HYFAX	4/1/2013 ^c	165.47 ^N	11.1800	5/5/2017	150.52	10.1700	-14.95	-9.03	LONG
18.8280	HYFAX	HYFAX	6/28/2013 ^c	203.34 ^N	10.8000	5/5/2017	191.48	10.1700	-11.86	-5.83	LONG
18.2060	HYFAX	HYFAX	9/27/2013 ^c	199.36 ^N	10.9500	5/5/2017	185.15	10.1700	-14.21	-7.13	LONG
32.6980	HYFAX	HYFAX	12/18/2013 ^c	352.16 ^N	10.7700	5/5/2017	332.54	10.1700	-19.62	-5.57	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
4391-2136

KATHRYN G ELLIS LCSSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Closed Price	Realized Gain or Loss	% Gain or Loss	Term
27.3650	HYFAX	HYFAX	12/18/2013 ^c	294.72 ⁿ	10.7700	5/5/2017	278.30	10.1700	-16.42	-5.57	LONG
2.0970	HYFAX	HYFAX	12/18/2013 ^c	22.58 ⁿ	10.7700	5/5/2017	21.32	10.1700	-1.26	-5.58	LONG
16.5650	HYFAX	HYFAX	3/28/2014 ^c	182.05 ⁿ	10.9900	5/5/2017	168.46	10.1700	-13.59	-7.46	LONG
20.3580	HYFAX	HYFAX	6/27/2014 ^c	225.57 ⁿ	11.0800	5/5/2017	207.04	10.1700	-18.53	-8.21	LONG
18.6000	HYFAX	HYFAX	9/26/2014 ^c	199.95 ⁿ	10.7500	5/5/2017	189.16	10.1700	-10.79	-5.40	LONG
25.3830	HYFAX	HYFAX	12/19/2014 ^c	257.64 ⁿ	10.1500	5/5/2017	258.14	10.1700	0.50	0.19	LONG
21.0050	HYFAX	HYFAX	12/19/2014 ^c	213.20 ⁿ	10.1500	5/5/2017	213.62	10.1700	0.42	0.20	LONG
7.6850	HYFAX	HYFAX	12/19/2014 ^c	78.00 ⁿ	10.1500	5/5/2017	78.15	10.1700	0.15	0.19	LONG
20.6290	HYFAX	HYFAX	3/31/2015 ^c	215.78 ⁿ	10.4600	5/5/2017	209.79	10.1700	-5.99	-2.78	LONG
20.5590	HYFAX	HYFAX	6/30/2015 ^c	212.58 ⁿ	10.3400	5/5/2017	209.08	10.1700	-3.50	-1.65	LONG
20.9530	HYFAX	HYFAX	9/25/2015 ^c	206.60 ⁿ	9.8600	5/5/2017	213.09	10.1700	6.49	3.14	LONG
27.5400	HYFAX	HYFAX	12/18/2015 ^c	257.50 ⁿ	9.3500	5/5/2017	280.08	10.1700	22.58	8.77	LONG
19.5550	HYFAX	HYFAX	3/31/2016 ^c	185.58 ⁿ	9.4900	5/5/2017	198.87	10.1700	13.29	7.16	LONG
525.2100	HYFAX	HYFAX	4/4/2016 ^c	5,000.00 ⁿ	9.5200	5/5/2017	5,341.46	10.1700	341.46	6.83	LONG
29.0670	HYFAX	HYFAX	6/30/2016 ^c	280.50 ⁿ	9.6500	5/5/2017	295.61	10.1700	15.11	5.39	SHORT
28.5520	HYFAX	HYFAX	9/30/2016 ^c	284.66 ⁿ	9.9700	5/5/2017	290.38	10.1700	5.72	2.01	SHORT
36.7850	HYFAX	HYFAX	12/20/2016 ^c	366.01 ⁿ	9.9500	5/5/2017	374.11	10.1700	8.10	2.21	SHORT
30.2990	HYFAX	HYFAX	3/31/2017 ^c	305.11 ⁿ	10.0700	5/5/2017	308.15	10.1700	3.04	1.00	SHORT
24,049.90				23,730.49					-474.41		
570,5590	HARBOR SML CAP VALU INST	HASCX	5/16/2013^c	15,000.00ⁿ	26.2900	6/22/2018	21.515.77	37.7100	6,515.77	43.44	LONG
142.8060	HASCX	HASCX	9/19/2013 ^c	4,000.00 ⁿ	28.0100	6/22/2018	5,385.21	37.7100	1,385.21	34.63	LONG
116.5530	HASCX	HASCX	12/18/2013 ^c	2,878.87 ⁿ	24.7000	6/22/2018	4,395.22	37.7100	1,516.35	52.67	LONG
10.8490	HASCX	HASCX	12/18/2013 ^c	267.96 ⁿ	24.7000	6/22/2018	409.11	37.7100	141.15	52.68	LONG
1.4010	HASCX	HASCX	12/18/2013 ^c	34.61 ⁿ	24.7000	6/22/2018	52.83	37.7100	18.22	52.64	LONG
28.0140	HASCX	HASCX	12/19/2014 ^c	739.30 ⁿ	26.3900	6/22/2018	1,056.41	37.7100	317.11	42.89	LONG
1.8840	HASCX	HASCX	12/19/2014 ^c	49.71 ⁿ	26.3900	6/22/2018	71.04	37.7100	21.33	42.91	LONG
1.0700	HASCX	HASCX	12/19/2014 ^c	28.25 ⁿ	26.3900	6/22/2018	40.35	37.7100	12.10	42.83	LONG
27.7060	HASCX	HASCX	12/18/2015 ^c	687.11 ⁿ	24.8000	6/22/2018	1,044.80	37.7100	357.69	52.06	LONG
3.6890	HASCX	HASCX	12/18/2015 ^c	91.49 ⁿ	24.8000	6/22/2018	139.11	37.7100	47.62	52.05	LONG
3.2930	HASCX	HASCX	12/18/2015 ^c	81.66 ⁿ	24.8000	6/22/2018	124.18	37.7100	42.52	52.07	LONG
3.2650	HASCX	HASCX	12/20/2016 ^c	100.31 ⁿ	30.7200	6/22/2018	123.12	37.7100	22.81	22.74	LONG
17.2220	HASCX	HASCX	12/19/2017 ^c	626.36 ⁿ	36.3700	6/22/2018	649.45	37.7100	23.09	3.69	SHORT
0.7670	HASCX	HASCX	12/19/2017 ^c	27.90 ⁿ	36.3700	6/22/2018	28.93	37.7100	1.03	3.69	SHORT
24,613.53				35,035.53					10,422.00		
188.0280	MFS EMRG MKTS DEBT I MEDIX	MEDIX	9/7/2012 ^c	3,017.84 ⁿ	16.0500	6/22/2018	2,632.39	14.0000	-385.45	-12.77	LONG
4.3310			10/1/2012 ^c	69.65 ⁿ	16.0800	6/22/2018	60.63	14.0000	-9.02	-12.95	LONG

Closed Holdings
01/01/2017 - 09/05/2018Prepared For:
4391-2136KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain or Loss	% Gain or Loss	Term
4.7830	MEDIX	MEDIX	11/1/2012 ^c	77.24 ⁿ	16.1500	6/22/2018	66.96	14.0000	-10.28	-13.31	LONG
4.7590	MEDIX	MEDIX	12/3/2012 ^c	77.66 ⁿ	16.3200	6/22/2018	66.62	14.0000	-11.04	-14.22	LONG
3.9920	MEDIX	MEDIX	12/12/2012 ^c	65.23 ⁿ	16.3400	6/22/2018	55.88	14.0000	-9.35	-14.33	LONG
3.9440	MEDIX	MEDIX	12/12/2012 ^c	64.44 ⁿ	16.3400	6/22/2018	55.21	14.0000	-9.23	-14.32	LONG
6.0940	MEDIX	MEDIX	1/2/2013 ^c	99.51 ⁿ	16.3300	6/22/2018	85.31	14.0000	-14.20	-14.27	LONG
4.8840	MEDIX	MEDIX	2/1/2013 ^c	78.78 ⁿ	16.1300	6/22/2018	68.37	14.0000	-10.41	-13.21	LONG
4.9340	MEDIX	MEDIX	3/1/2013 ^c	79.19 ⁿ	16.0500	6/22/2018	69.07	14.0000	-10.12	-12.78	LONG
942.8030	MEDIX	MEDIX	3/22/2013 ^c	15,000.00 ⁿ	15.9100	6/22/2018	13,198.27	14.0000	-1,800.73	-12.00	LONG
5.7710	MEDIX	MEDIX	4/1/2013 ^c	91.65 ⁿ	15.8800	6/22/2018	80.79	14.0000	-10.86	-11.85	LONG
8.5340	MEDIX	MEDIX	5/1/2013 ^c	138.00 ⁿ	16.1700	6/22/2018	119.47	14.0000	-18.53	-13.43	LONG
8.7660	MEDIX	MEDIX	6/3/2013 ^c	136.66 ⁿ	15.5900	6/22/2018	122.72	14.0000	-13.94	-10.20	LONG
9.2850	MEDIX	MEDIX	7/1/2013 ^c	137.05 ⁿ	14.7600	6/22/2018	129.99	14.0000	-7.06	-5.15	LONG
9.1140	MEDIX	MEDIX	8/1/2013 ^c	134.80 ⁿ	14.7900	6/22/2018	127.59	14.0000	-7.21	-5.35	LONG
9.1470	MEDIX	MEDIX	9/3/2013 ^c	131.08 ⁿ	14.3300	6/22/2018	128.05	14.0000	-3.03	-2.31	LONG
8.9720	MEDIX	MEDIX	10/1/2013 ^c	131.35 ⁿ	14.6400	6/22/2018	125.60	14.0000	-5.75	-4.38	LONG
8.8280	MEDIX	MEDIX	11/1/2013 ^c	132.06 ⁿ	14.9600	6/22/2018	123.59	14.0000	-8.47	-6.41	LONG
9.0980	MEDIX	MEDIX	12/2/2013 ^c	132.56 ⁿ	14.5700	6/22/2018	127.37	14.0000	-5.19	-3.92	LONG
10.4770	MEDIX	MEDIX	12/11/2013 ^c	152.13 ⁿ	14.5200	6/22/2018	146.67	14.0000	-5.46	-3.59	LONG
0.0120	MEDIX	MEDIX	12/1/2013 ^c	0.18 ⁿ	14.5200	6/22/2018	0.16	14.0000	-0.02	-11.11	LONG
9.1880	MEDIX	MEDIX	1/2/2014 ^c	133.41 ⁿ	14.5200	6/22/2018	128.63	14.0000	-4.78	-3.58	LONG
9.1970	MEDIX	MEDIX	2/3/2014 ^c	131.80 ⁿ	14.3300	6/22/2018	128.76	14.0000	-3.04	-2.31	LONG
9.1650	MEDIX	MEDIX	3/3/2014 ^c	134.64 ⁿ	14.6900	6/22/2018	128.31	14.0000	-6.33	-4.70	LONG
9.1510	MEDIX	MEDIX	4/1/2014 ^c	135.16 ⁿ	14.7700	6/22/2018	128.11	14.0000	-7.05	-5.22	LONG
8.7170	MEDIX	MEDIX	5/1/2014 ^c	129.88 ⁿ	14.9000	6/22/2018	122.04	14.0000	-7.84	-6.04	LONG
5.0210	MEDIX	MEDIX	6/2/2014 ^c	76.82 ⁿ	15.3000	6/22/2018	70.29	14.0000	-6.53	-8.50	LONG
5.0320	MEDIX	MEDIX	7/1/2014 ^c	77.14 ⁿ	15.3300	6/22/2018	70.44	14.0000	-6.70	-8.69	LONG
5.0820	MEDIX	MEDIX	8/1/2014 ^c	77.50 ⁿ	15.2500	6/22/2018	71.14	14.0000	-6.36	-8.21	LONG
5.0820	MEDIX	MEDIX	9/2/2014 ^c	77.18 ⁿ	15.3100	6/22/2018	71.14	14.0000	-6.67	-8.57	LONG
5.1300	MEDIX	MEDIX	10/1/2014 ^c	76.80 ⁿ	14.9700	6/22/2018	71.82	14.0000	-4.98	-6.48	LONG
5.1030	MEDIX	MEDIX	11/3/2014 ^c	77.15 ⁿ	15.1200	6/22/2018	71.44	14.0000	-5.71	-7.40	LONG
4.9970	MEDIX	MEDIX	12/1/2014 ^c	74.70 ⁿ	14.9500	6/22/2018	69.96	14.0000	-4.74	-6.35	LONG
5.1520	MEDIX	MEDIX	1/2/2015 ^c	74.76 ⁿ	14.5100	6/22/2018	72.13	14.0000	-2.63	-3.52	LONG
5.1840	MEDIX	MEDIX	2/2/2015 ^c	75.06 ⁿ	14.4800	6/22/2018	72.57	14.0000	-2.49	-3.32	LONG
4.7680	MEDIX	MEDIX	3/3/2015 ^c	69.99 ⁿ	14.6800	6/22/2018	66.75	14.0000	-3.24	-4.63	LONG
5.1490	MEDIX	MEDIX	4/1/2015 ^c	75.64 ⁿ	14.6900	6/22/2018	72.08	14.0000	-3.56	-4.71	LONG
5.0880	MEDIX	MEDIX	5/1/2015 ^c	75.91 ⁿ	14.9200	6/22/2018	71.23	14.0000	-4.68	-6.17	LONG
5.2500	MEDIX	MEDIX	6/1/2015 ^c	77.75 ⁿ	14.8100	6/22/2018	73.50	14.0000	-4.25	-5.47	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
4391-2136

KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Realized Price	% Gain or Loss	Term
5.4610			7/1/2015 ^c	79.24 ⁿ	14.5100	6/22/2018	76.45	14.0000	-2.79	3.52
5.5210	MEDIX	MEDIX	8/3/2015 ^c	79.62 ⁿ	14.4200	6/22/2018	77.29	14.0000	-2.33	2.93
5.5550	MEDIX	MEDIX	9/1/2015 ^c	78.43 ⁿ	14.1200	6/22/2018	77.77	14.0000	-0.66	0.84
5.5770	MEDIX	MEDIX	10/1/2015 ^c	77.35 ⁿ	13.8700	6/22/2018	78.08	14.0000	0.73	0.94
5.2800	MEDIX	MEDIX	11/2/2015 ^c	74.71 ⁿ	14.1500	6/22/2018	73.92	14.0000	-0.79	-1.06
5.3390	MEDIX	MEDIX	12/1/2015 ^c	75.06 ⁿ	14.0600	6/22/2018	74.74	14.0000	-0.32	-0.43
5.4710	MEDIX	MEDIX	1/4/2016 ^c	75.33 ⁿ	13.7700	6/22/2018	76.59	14.0000	1.26	1.67
5.5410	MEDIX	MEDIX	2/1/2016 ^c	75.64 ⁿ	13.6500	6/22/2018	77.57	14.0000	1.93	2.55
5.4880	MEDIX	MEDIX	3/1/2016 ^c	75.73 ⁿ	13.8000	6/22/2018	76.83	14.0000	1.10	1.45
5.3630	MEDIX	MEDIX	4/1/2016 ^c	76.21 ⁿ	14.2100	6/22/2018	75.08	14.0000	-1.13	-1.48
5.5060	MEDIX	MEDIX	5/2/2016 ^c	79.45 ⁿ	14.4300	6/22/2018	77.08	14.0000	-2.37	-2.98
5.7510	MEDIX	MEDIX	6/1/2016 ^c	82.52 ⁿ	14.3500	6/22/2018	80.51	14.0000	-2.01	-2.44
5.8240	MEDIX	MEDIX	7/1/2016 ^c	85.84 ⁿ	14.7400	6/22/2018	81.54	14.0000	-4.30	-5.01
5.9550	MEDIX	MEDIX	8/1/2016 ^c	89.03 ⁿ	14.9500	6/22/2018	83.37	14.0000	-5.66	-6.36
6.0730	MEDIX	MEDIX	9/1/2016 ^c	91.76 ⁿ	15.1100	6/22/2018	85.02	14.0000	-6.74	-7.35
6.0590	MEDIX	MEDIX	10/3/2016 ^c	91.49 ⁿ	15.1000	6/22/2018	84.83	14.0000	-6.66	-7.28
5.8630	MEDIX	MEDIX	11/1/2016 ^c	87.42 ⁿ	14.9100	6/22/2018	82.08	14.0000	-5.34	-6.11
6.1480	MEDIX	MEDIX	12/1/2016 ^c	87.85 ⁿ	14.2900	6/22/2018	86.07	14.0000	-1.78	-2.03
5.6090	MEDIX	MEDIX	1/3/2017 ^c	80.60 ⁿ	14.3700	6/22/2018	78.53	14.0000	-2.07	-2.57
5.3770	MEDIX	MEDIX	2/1/2017 ^c	78.07 ⁿ	14.5200	6/22/2018	75.28	14.0000	-2.79	-3.57
5.3220	MEDIX	MEDIX	3/1/2017 ^c	78.39 ⁿ	14.7300	6/22/2018	74.51	14.0000	-3.88	-4.95
5.3420	MEDIX	MEDIX	4/3/2017 ^c	78.74 ⁿ	14.7400	6/22/2018	74.79	14.0000	-3.95	-5.02
5.2880	MEDIX	MEDIX	5/1/2017 ^c	78.90 ⁿ	14.9200	6/22/2018	74.04	14.0000	-4.86	-6.16
5.4940	MEDIX	MEDIX	6/1/2017 ^c	82.19 ⁿ	14.9600	6/22/2018	76.92	14.0000	-5.27	-6.41
5.7490	MEDIX	MEDIX	7/3/2017 ^c	85.54 ⁿ	14.8800	6/22/2018	80.49	14.0000	-5.05	-5.90
5.7430	MEDIX	MEDIX	8/1/2017 ^c	85.92 ⁿ	14.9600	6/22/2018	80.41	14.0000	-5.51	-6.41
5.7980	MEDIX	MEDIX	9/1/2017 ^c	87.73 ⁿ	15.1300	6/22/2018	81.18	14.0000	-6.55	-7.47
6.0410	MEDIX	MEDIX	10/2/2017 ^c	91.16 ⁿ	15.0900	6/22/2018	84.58	14.0000	-6.58	-7.22
5.8800	MEDIX	MEDIX	11/1/2017 ^c	88.61 ⁿ	15.0700	6/22/2018	82.33	14.0000	-6.28	-7.09
5.9120	MEDIX	MEDIX	12/1/2017 ^c	88.80 ⁿ	15.0200	6/22/2018	82.78	14.0000	-6.02	-6.78
5.8210	MEDIX	MEDIX	1/2/2018 ^c	87.67 ⁿ	15.0600	6/22/2018	81.50	14.0000	-6.17	-7.04
5.8470	MEDIX	MEDIX	2/1/2018 ^c	87.99 ⁿ	15.0500	6/22/2018	81.87	14.0000	-6.12	-6.96
5.8960	MEDIX	MEDIX	3/1/2018 ^c	86.79 ⁿ	14.7200	6/22/2018	82.55	14.0000	-4.24	-4.89
5.8190	MEDIX	MEDIX	4/2/2018 ^c	85.30 ⁿ	14.6600	6/22/2018	81.48	14.0000	-3.82	-4.48
5.9380	MEDIX	MEDIX	5/1/2018 ^c	85.75 ⁿ	14.4400	6/22/2018	83.14	14.0000	-2.61	-3.04
6.1830	MEDIX	MEDIX	6/1/2018 ^c	87.68 ⁿ	14.1800	6/22/2018	86.59	14.0000	-1.09	-1.24
24.587.49						22,019.84			-2,567.65	

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
4391-2136

KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	Realized	% Gain or Loss	Term
635.1970	NUVEEN REAL ESTATE I	FARCX	9/20/2011*	11,486.14 ⁿ	18.2200	2/2/2018	12,221.19	19.2400	735.05	6.35	LONG
4.1820		FARCX	10/3/2011*	69.37 ⁿ	16.7200	2/2/2018	80.46	19.2400	11.09	15.86	LONG
4.8540		FARCX	12/23/2011*	92.92 ⁿ	19.0900	2/2/2018	93.39	19.2400	1.37	1.48	LONG
5.4590		FARCX	12/30/2011*	103.21 ⁿ	19.0400	2/2/2018	105.03	19.2400	1.82	1.75	LONG
6.2300		FARCX	4/2/2012*	129.12 ⁿ	20.8600	2/2/2018	119.86	19.2400	-9.26	-7.13	LONG
5.1070		FARCX	7/2/2012*	109.37 ⁿ	21.5500	2/2/2018	98.25	19.2400	-11.12	-10.10	LONG
1.6820		FARCX	9/21/2012*	36.60 ⁿ	21.8900	2/2/2018	32.36	19.2400	-4.24	-11.52	LONG
4.8760		FARCX	10/1/2012*	103.35 ⁿ	21.4500	2/2/2018	93.81	19.2400	-10.14	-9.69	LONG
14.4430		FARCX	12/17/2012*	297.59 ⁿ	20.7400	2/2/2018	277.88	19.2400	-19.71	-6.58	LONG
11.9600		FARCX	12/17/2012*	246.43 ⁿ	20.7400	2/2/2018	230.11	19.2400	-16.32	-6.58	LONG
4.1240		FARCX	12/31/2012*	86.17 ⁿ	21.0400	2/2/2018	79.34	19.2400	-6.83	-7.87	LONG
4.9810		FARCX	4/1/2013*	111.91 ⁿ	22.5800	2/2/2018	95.83	19.2400	-16.08	-14.30	LONG
5.1860		FARCX	7/1/2013*	114.20 ⁿ	22.0900	2/2/2018	99.77	19.2400	-14.43	-12.60	LONG
2.9720		FARCX	9/17/2013*	63.53 ⁿ	21.4800	2/2/2018	57.18	19.2400	-6.45	-10.10	LONG
1.8840		FARCX	9/17/2013*	40.27 ⁿ	21.4800	2/2/2018	36.24	19.2400	-4.03	-9.96	LONG
6.3160		FARCX	10/1/2013*	134.09 ⁿ	21.2600	2/2/2018	121.52	19.2400	-12.57	-9.36	LONG
40.4050		FARCX	12/16/2013*	785.46 ⁿ	19.4700	2/2/2018	777.39	19.2400	-8.07	-1.03	LONG
15.2470		FARCX	12/16/2013*	296.30 ⁿ	19.4700	2/2/2018	283.35	19.2400	-2.95	-0.99	LONG
8.3400		FARCX	12/31/2013*	165.14 ⁿ	19.8000	2/2/2018	160.46	19.2400	-4.68	-2.83	LONG
5.9920		FARCX	4/1/2014*	129.57 ⁿ	21.6400	2/2/2018	115.28	19.2400	-14.39	-11.10	LONG
6.4180		FARCX	7/1/2014*	148.13 ⁿ	23.0800	2/2/2018	123.48	19.2400	-24.65	-16.64	LONG
6.1120		FARCX	10/1/2014*	136.23 ⁿ	22.2900	2/2/2018	117.59	19.2400	-18.64	-13.68	LONG
31.0930		FARCX	12/16/2014*	734.11 ⁿ	23.6100	2/2/2018	598.23	19.2400	-135.88	-18.51	LONG
13.7090		FARCX	12/16/2014*	323.66 ⁿ	23.6100	2/2/2018	263.76	19.2400	-59.90	-18.51	LONG
9.6990		FARCX	12/31/2014*	237.42 ⁿ	24.4800	2/2/2018	186.61	19.2400	-50.81	-21.40	LONG
5.7700		FARCX	4/1/2015*	144.07 ⁿ	24.9700	2/2/2018	111.01	19.2400	-33.06	-22.95	LONG
8.2240		FARCX	7/1/2015*	183.23 ⁿ	22.2800	2/2/2018	158.23	19.2400	-25.00	-13.64	LONG
9.7590		FARCX	9/17/2015*	217.62 ⁿ	22.3000	2/2/2018	187.76	19.2400	-29.86	-13.72	LONG
5.6560		FARCX	10/1/2015*	128.75 ⁿ	22.4100	2/2/2018	108.82	19.2400	-17.93	-14.15	LONG
37.8070		FARCX	12/16/2015*	855.58 ⁿ	22.6300	2/2/2018	727.41	19.2400	-128.17	-14.98	LONG
7.4450		FARCX	12/31/2015*	172.36 ⁿ	23.1500	2/2/2018	143.24	19.2400	-29.12	-16.89	LONG
6.7510		FARCX	4/1/2016*	161.27 ⁿ	23.8900	2/2/2018	129.89	19.2400	-31.38	-19.46	LONG
209.4680		FARCX	4/4/2016*	5,000.00 ⁿ	23.8700	2/2/2018	4,030.19	19.2400	-969.81	-19.40	LONG
7.7730		FARCX	7/1/2016*	196.43 ⁿ	25.2700	2/2/2018	149.55	19.2400	-46.88	-23.87	LONG
14.7920		FARCX	9/16/2016*	366.35 ⁿ	24.0900	2/2/2018	284.60	19.2400	-71.75	-20.13	LONG
10.0350		FARCX	10/3/2016*	244.75 ⁿ	24.3900	2/2/2018	193.07	19.2400	-51.68	-21.12	LONG
48.9480		FARCX	12/16/2016*	1,056.29 ⁿ	21.5800	2/2/2018	941.77	19.2400	-145.52	-10.84	LONG

Closed Holdings
01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
4391-2136

KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized Gain or Loss	% Gain or Loss	Term
25.2890											
11.8690	FARCX		12/16/2016 ^c	545.73 ⁿ	21.5800	2/2/2018	486.56	19.2400	-59.17	-10.84	LONG
7.3700	FARCX		12/30/2016 ^c	259.11 ⁿ	21.8300	2/2/2018	228.36	19.2400	-30.75	-11.87	LONG
8.1500	FARCX		4/3/2017 ^c	162.43 ⁿ	22.0400	2/2/2018	141.80	19.2400	-20.63	-12.70	SHORT
7.8610	FARCX		7/3/2017 ^c	182.48 ⁿ	22.3900	2/2/2018	156.80	19.2400	-25.68	-14.07	SHORT
102.9020	FARCX		10/2/2017 ^c	175.70 ⁿ	22.3500	2/2/2018	151.24	19.2400	-24.46	-13.92	SHORT
30.6900	FARCX		12/18/2017 ^c	2,149.62 ⁿ	20.8900	2/2/2018	1,979.87	19.2400	-169.75	-7.90	SHORT
12.9080	FARCX		12/29/2017 ^c	641.11 ⁿ	20.8900	2/2/2018	590.49	19.2400	-50.62	-7.90	SHORT
				<u>265.39ⁿ</u>	<u>20.5600</u>	<u>2/2/2018</u>	<u>248.36</u>	<u>19.2400</u>	<u>-17.03</u>	<u>-6.42</u>	<u>SHORT</u>
				<u>29,276.46</u>			<u>27,627.39</u>		<u>-1,649.07</u>		
419.4270	OPPENHMR INTL GROWTH OIGYX		2/26/2016 ^c	14,206.00 ⁿ	33.8700	2/2/2018	18,870.01	44.9900	4,664.01	32.83	LONG
5.7030	OIGYX		12/15/2016 ^c	194.94 ⁿ	34.1800	2/2/2018	256.58	44.9900	61.64	31.62	LONG
3.7780	OIGYX		12/14/2017 ^c	162.66 ⁿ	43.0500	2/2/2018	169.98	44.9900	7.32	4.50	SHORT
				<u>14,563.60</u>			<u>19,296.57</u>		<u>4,732.97</u>		
992.0630	VIRTUS OPPORTUNITE CL HIEMX		6/23/2015 ^c	10,000.00 ⁿ	10.0800	5/5/2017	10,565.47	10.6500	565.47	5.65	LONG
9.3310	HIEMX		12/23/2015 ^c	83.33 ⁿ	8.9300	5/5/2017	99.37	10.6500	16.04	19.25	LONG
982.3180	HIEMX		9/19/2016 ^c	10,000.00 ⁿ	10.1800	5/5/2017	10,461.69	10.6500	461.69	4.62	SHORT
8.9160	HIEMX		12/22/2016 ^c	79.35 ⁿ	8.9000	5/5/2017	94.96	10.6500	15.61	19.67	SHORT
				<u>20,162.68</u>			<u>21,221.49</u>		<u>1,058.81</u>		
580.0460	VOYA SMALL CO FD CLI	AESGX	2/2/2018 ^c	10,000.00 ⁿ	17.2400	6/22/2018	10,417.63	17.9600	417.63	4.18	SHORT

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHNIGOWEN

Prepared For:

4391-2136

KATHRYN G ELLIS LCSW

KATHRYN G ELLIS TTEE

FBO KATHRYN ELLIS

208 BARRINGTON PL

FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amnt	Close Price	Realized Gain or Loss	
Short											
0.7490	DODGE & COX INTL STCK FD	DODFX	12/21/2017 ^c	34.26 ⁿ	45.7700	6/22/2018	32.62	43.5400	-1.64	-4.79	
19.5110	EV INCOME FD OF BOSTON I	EIBIX	7/3/2017 ^c	113.36 ⁿ	113.21	5.8100	6/22/2018	109.45	5.6100	-3.76	-3.32
20.1900	EV INCOME FD OF BOSTON I	EIBIX	8/1/2017 ^c	117.71 ⁿ	117.59	5.8300	6/22/2018	113.26	5.6100	-4.33	-3.68
20.3710	EV INCOME FD OF BOSTON I	EIBIX	9/1/2017 ^c	118.15 ⁿ	118.07	5.8000	6/22/2018	114.28	5.6100	-3.79	-3.21
19.7510	EV INCOME FD OF BOSTON I	EIBIX	10/2/2017 ^c	114.95 ⁿ	114.88	5.8200	6/22/2018	110.80	5.6100	-4.08	-3.55
20.5390	EV INCOME FD OF BOSTON I	EIBIX	11/1/2017 ^c	119.33 ⁿ	5.8100	6/22/2018	115.22	5.6100	-4.11	-3.44	
20.1210	EV INCOME FD OF BOSTON I	EIBIX	12/1/2017 ^c	116.10 ⁿ	5.7700	6/22/2018	112.88	5.6100	-3.22	-2.77	
20.9630	EV INCOME FD OF BOSTON I	EIBIX	1/2/2018 ^c	120.54 ⁿ	5.7500	6/22/2018	117.60	5.6100	-2.94	-2.44	
21.0700	EV INCOME FD OF BOSTON I	EIBIX	2/1/2018 ^c	121.15 ⁿ	5.7500	6/22/2018	118.20	5.6100	-2.95	-2.43	
19.3640	EV INCOME FD OF BOSTON I	EIBIX	3/1/2018 ^c	109.99 ⁿ	5.6800	6/22/2018	108.64	5.6100	-1.35	-1.23	
21.7420	EV INCOME FD OF BOSTON I	EIBIX	4/2/2018 ^c	122.19 ⁿ	5.6200	6/22/2018	121.98	5.6100	-0.21	-0.17	
21.1420	EV INCOME FD OF BOSTON I	EIBIX	5/1/2018 ^c	118.82 ⁿ	5.6200	6/22/2018	118.61	5.6100	-0.21	-0.18	
22.0790	EV INCOME FD OF BOSTON I	EIBIX	6/1/2018 ^c	123.42 ⁿ	5.5900	6/22/2018	123.88	5.6100	0.46	0.37	
17.2220	HARBOR SML CAP VALU INST	HASCX	12/19/2017 ^c	626.36 ⁿ	36.3700	6/22/2018	649.45	37.7100	23.09	3.69	
0.7670	HARBOR SML CAP VALU INST	HASCX	12/19/2017 ^c	27.90 ⁿ	36.3700	6/22/2018	28.93	37.7100	1.03	3.69	
29.0670	HARBOR FD HIGH YLD BD I	HYFAX	6/30/2016 ^c	280.50 ⁿ	9.6500	5/5/2017	295.61	10.1700	15.11	5.39	
28.5520	HARBOR FD HIGH YLD BD I	HYFAX	9/30/2016 ^c	284.66 ⁿ	9.9700	5/5/2017	290.38	10.1700	5.72	2.01	
36.7850	HARBOR FD HIGH YLD BD I	HYFAX	12/20/2016 ^c	366.01 ⁿ	9.9500	5/5/2017	374.11	10.1700	8.10	2.21	
30.2990	HARBOR FD HIGH YLD BD I	HYFAX	3/31/2017 ^c	305.11 ⁿ	10.0700	5/5/2017	308.15	10.1700	3.04	1.00	
5.7490	MFS EMRG MKTS DEBT I	MEDIX	7/3/2017 ^c	85.54 ⁿ	14.8800	6/22/2018	80.49	14.0000	-5.05	-5.90	
5.7430	MFS EMRG MKTS DEBT I	MEDIX	8/1/2017 ^c	85.92 ⁿ	14.9600	6/22/2018	80.41	14.0000	-5.51	-6.41	
5.7980	MFS EMRG MKTS DEBT I	MEDIX	9/1/2017 ^c	87.73 ⁿ	15.1300	6/22/2018	81.18	14.0000	-6.55	-7.47	
6.0410	MFS EMRG MKTS DEBT I	MEDIX	10/2/2017 ^c	91.16 ⁿ	15.0900	6/22/2018	84.58	14.0000	-6.58	-7.22	
5.8800	MFS EMRG MKTS DEBT I	MEDIX	11/1/2017 ^c	88.61 ⁿ	15.0700	6/22/2018	82.33	14.0000	-6.28	-7.09	
5.9120	MFS EMRG MKTS DEBT I	MEDIX	12/1/2017 ^c	88.80 ⁿ	15.0200	6/22/2018	82.78	14.0000	-6.02	-6.78	
5.8210	MFS EMRG MKTS DEBT I	MEDIX	1/2/2018 ^c	87.67 ⁿ	15.0600	6/22/2018	81.50	14.0000	-6.17	-7.04	
5.8470	MFS EMRG MKTS DEBT I	MEDIX	2/1/2018 ^c	87.99 ⁿ	15.0500	6/22/2018	81.87	14.0000	-6.12	-6.96	
5.8960	MFS EMRG MKTS DEBT I	MEDIX	3/1/2018 ^c	86.79 ⁿ	14.7200	6/22/2018	82.55	14.0000	-4.24	-4.89	
5.8190	MFS EMRG MKTS DEBT I	MEDIX	4/2/2018 ^c	85.30 ⁿ	14.6600	6/22/2018	81.48	14.0000	-3.82	-4.48	
5.9380	MFS EMRG MKTS DEBT I	MEDIX	5/1/2018 ^c	85.75 ⁿ	14.4400	6/22/2018	83.14	14.0000	-2.61	-3.04	
6.1830	MFS EMRG MKTS DEBT I	MEDIX	6/1/2018 ^c	87.68 ⁿ	14.1800	6/22/2018	86.59	14.0000	-1.09	-1.24	
7.3700	NUVEEN REAL ESTATE I	FARCX	4/3/2017 ^c	162.43 ⁿ	22.0400	2/2/2018	141.80	19.2400	-20.63	-12.70	
8.1500	NUVEEN REAL ESTATE I	FARCX	7/3/2017 ^c	182.48 ⁿ	22.3900	2/2/2018	156.80	19.2400	-25.68	-14.07	

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

4391-2136

KATHRYN G ELLIS LCSW

KATHRYN G ELLIS TTEE

FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	Realized Gain or Loss	% Gain or Loss
Short - Continued											
7,8610	NUVEEN REAL ESTATE I	FARCX	10/2/2017 ^c	175.70 ⁿ	22,3500	2/2/2018	151.24	19.2400	-24.46	-13.92	
102,9020	NUVEEN REAL ESTATE I	FARCX	12/18/2017 ^c	2,149.62 ⁿ	20,8900	2/2/2018	1,979.87	19.2400	-169.75	-7.90	
30,6900	NUVEEN REAL ESTATE I	FARCX	12/18/2017 ^c	641.11 ⁿ	20,8900	2/2/2018	590.49	19.2400	-50.62	-7.90	
12,9080	NUVEEN REAL ESTATE I	FARCX	12/29/2017 ^c	265.39 ⁿ	20,5600	2/2/2018	248.36	19.2400	-17.03	-6.42	
3,7780	OPPENHMR INTL GROWTH Y	OIGYX	12/14/2017 ^c	162.68 ⁿ	43,0500	2/2/2018	169.98	44.9900	7.32	4.50	
982,3180	VIRTUS OPPORTUNITIE CL I	HIMEX	9/19/2016 ^c	10,000.00 ⁿ	10,1800	5/5/2017	10,461.69	10.6500	461.69	4.62	
8,9160	VIRTUS OPPORTUNITIE CL I	HIMEX	12/22/2016 ^c	79.35 ⁿ	8,9000	5/5/2017	94.96	10.6500	15.61	19.67	
580,0460	VOYA SMALL CO FD CL I	AESGX	2/22/2018	10,000.00 ⁿ	17,2400	6/22/2018	10,417.63	17.9600	417.63	4.18	
<hr/>											
Long											
26,9120	DODGE & COX INTL STCK FD	DODFX	3/19/2015 ^c	1,179.28 ⁿ	43,8200	6/22/2018	1,171.75	43.5400	-7.53	-0.64	
10,1430	DODGE & COX INTL STCK FD	DODFX	12/23/2015 ^c	366.17 ⁿ	36,1000	6/22/2018	441.62	43.5400	75.45	20.61	
0,8270	DODGE & COX INTL STCK FD	DODFX	12/22/2016 ^c	31.53 ⁿ	38,1200	6/22/2018	36.01	43.5400	4.48	14.21	
0,5290	DODGE & COX INTL STCK FD	DODFX	12/22/2016 ^c	20.16 ⁿ	38,1200	6/22/2018	23.03	43.5400	2.87	14.24	
4,145,0780	EV INCOME FD OF BOSTON I	EIBIX	5/5/2017 ^c	24,000.00 ⁿ	23,960.48	5,7900	6/22/2018	23,253.88	5.6100	-706.60	-2.94
14,8400	EV INCOME FD OF BOSTON I	EIBIX	6/1/2017 ^c	86.52 ⁿ	86.37	5,8300	6/22/2018	83.25	5.6100	-3.12	-3.61
570,5590	HARBOR SML CAP VALU INST	HASCX	5/16/2013 ^c	15,000.00 ⁿ	26,2800	6/22/2018	21,515.77	37.7100	6,515.77	43.44	
142,8060	HARBOR SML CAP VALU INST	HASCX	9/19/2013 ^c	4,000.00 ⁿ	28,0100	6/22/2018	5,385.21	37.7100	1,395.21	34.63	
116,5530	HARBOR SML CAP VALU INST	HASCX	12/18/2013 ^c	2,878.87 ⁿ	24,7000	6/22/2018	4,395.22	37.7100	1,516.35	52.67	
10,8490	HARBOR SML CAP VALU INST	HASCX	12/18/2013 ^c	267.96 ⁿ	24,7000	6/22/2018	409.11	37.7100	141.15	52.68	
1,4010	HARBOR SML CAP VALU INST	HASCX	12/18/2013 ^c	34.61 ⁿ	24,7000	6/22/2018	52.83	37.7100	18.22	52.64	
28,0140	HARBOR SML CAP VALU INST	HASCX	12/19/2014 ^c	759.30 ⁿ	26,3900	6/22/2018	1,056.41	37.7100	317.11	42.89	
1,8840	HARBOR SML CAP VALU INST	HASCX	12/19/2014 ^c	49.71 ⁿ	26,3900	6/22/2018	71.04	37.7100	21.33	42.91	
1,0700	HARBOR SML CAP VALU INST	HASCX	12/19/2014 ^c	28.25 ⁿ	26,3900	6/22/2018	40.35	37.7100	12.10	42.83	
27,7060	HARBOR SML CAP VALU INST	HASCX	12/18/2015 ^c	687.11 ⁿ	24,8000	6/22/2018	1,044.80	37.7100	357.69	52.06	
3,6890	HARBOR SML CAP VALU INST	HASCX	12/18/2015 ^c	91.49 ⁿ	24,8000	6/22/2018	139.11	37.7100	47.62	52.05	
3,2930	HARBOR SML CAP VALU INST	HASCX	12/18/2015 ^c	81.66 ⁿ	24,8000	6/22/2018	124.18	37.7100	42.52	52.07	
3,2650	HARBOR SML CAP VALU INST	HASCX	12/20/2016 ^c	100.31 ⁿ	30,7200	6/22/2018	123.12	37.7100	22.81	22.74	
1,211,5560	HARBOR FD HIGH YLD BD I	HYFAX	9/20/2017 ^c	13,000.00 ⁿ	10,7300	5/5/2017	12,321.52	10.1700	-678.48	-5.22	
19,3550	HARBOR FD HIGH YLD BD I	HYFAX	10/3/2017 ^c	200.90 ⁿ	10,3800	5/5/2017	196.84	10.1700	-4.06	-2.02	
25,3290	HARBOR FD HIGH YLD BD I	HYFAX	12/20/2017 ^c	265.19 ⁿ	10,4700	5/5/2017	257.59	10.1700	-7.60	-2.87	
14,5850	HARBOR FD HIGH YLD BD I	HYFAX	12/20/2017 ^c	152.71 ⁿ	10,4700	5/5/2017	148.32	10.1700	-4.39	-2.87	
15,8470	HARBOR FD HIGH YLD BD I	HYFAX	3/30/2012 ^c	172.26 ⁿ	10,8700	5/5/2017	161.16	10.1700	-11.10	-6.44	
17,6630	HARBOR FD HIGH YLD BD I	HYFAX	6/29/2012 ^c	191.47 ⁿ	10,8400	5/5/2017	179.63	10.1700	-11.84	-6.18	

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

4391-2136

KATHRYN G ELLIS LCSSW

KATHRYN G ELLIS TTEE

FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Trade Price	Close Date	Close Amnt	Close Price	% Gain or Loss
Long - Continued									
16.6600	HARBOR FD HIGH YLD BD I	HYFAX	9/28/2012 ^c	184.93 ⁿ	11.1000	5/5/2017	169.43	10.1700	-15.50
26.7840	HARBOR FD HIGH YLD BD I	HYFAX	12/18/2012 ^c	287.30 ⁿ	11.1000	5/5/2017	272.39	10.1700	-24.91
2.8630	HARBOR FD HIGH YLD BD I	HYFAX	12/18/2012 ^c	31.78 ⁿ	11.1000	5/5/2017	29.11	10.1700	-2.67
14.8010	HARBOR FD HIGH YLD BD I	HYFAX	4/11/2013 ^c	165.47 ⁿ	11.1800	5/5/2017	150.52	10.1700	-14.95
18.8280	HARBOR FD HIGH YLD BD I	HYFAX	6/28/2013 ^c	203.34 ⁿ	10.8000	5/5/2017	191.48	10.1700	-11.86
18.2060	HARBOR FD HIGH YLD BD I	HYFAX	9/27/2013 ^c	199.36 ⁿ	10.9500	5/5/2017	185.15	10.1700	-14.21
32.6980	HARBOR FD HIGH YLD BD I	HYFAX	12/18/2013 ^c	382.16 ⁿ	10.7700	5/5/2017	332.54	10.1700	-19.62
27.3650	HARBOR FD HIGH YLD BD I	HYFAX	12/18/2013 ^c	294.72 ⁿ	10.7700	5/5/2017	278.30	10.1700	-16.42
2.0970	HARBOR FD HIGH YLD BD I	HYFAX	12/18/2013 ^c	22.58 ⁿ	10.7700	5/5/2017	21.32	10.1700	-1.26
16.5650	HARBOR FD HIGH YLD BD I	HYFAX	3/28/2014 ^c	182.05 ⁿ	10.9900	5/5/2017	168.46	10.1700	-13.59
20.3580	HARBOR FD HIGH YLD BD I	HYFAX	6/27/2014 ^c	225.57 ⁿ	11.0800	5/5/2017	207.04	10.1700	-18.53
18.6000	HARBOR FD HIGH YLD BD I	HYFAX	9/26/2014 ^c	199.95 ⁿ	10.7500	5/5/2017	189.16	10.1700	-10.79
25.3830	HARBOR FD HIGH YLD BD I	HYFAX	12/19/2014 ^c	257.64 ⁿ	10.1500	5/5/2017	258.14	10.1700	0.50
21.0050	HARBOR FD HIGH YLD BD I	HYFAX	12/19/2014 ^c	213.20 ⁿ	10.1500	5/5/2017	213.62	10.1700	0.42
7.6850	HARBOR FD HIGH YLD BD I	HYFAX	12/19/2014 ^c	78.00 ⁿ	10.1500	5/5/2017	78.15	10.1700	0.15
20.6290	HARBOR FD HIGH YLD BD I	HYFAX	3/31/2015 ^c	215.78 ⁿ	10.4600	5/5/2017	209.79	10.1700	-5.99
20.5590	HARBOR FD HIGH YLD BD I	HYFAX	6/30/2015 ^c	212.58 ⁿ	10.3400	5/5/2017	209.08	10.1700	-3.50
20.9530	HARBOR FD HIGH YLD BD I	HYFAX	9/25/2015 ^c	206.60 ⁿ	9.8600	5/5/2017	213.09	10.1700	6.49
27.5400	HARBOR FD HIGH YLD BD I	HYFAX	12/18/2015 ^c	267.50 ⁿ	9.3500	5/5/2017	280.08	10.1700	22.58
19.5530	HARBOR FD HIGH YLD BD I	HYFAX	3/31/2016 ^c	185.58 ⁿ	9.4900	5/5/2017	198.87	10.1700	13.29
525.2100	HARBOR FD HIGH YLD BD I	HYFAX	4/4/2016 ^c	5,000.00 ⁿ	9.5200	5/5/2017	5,341.46	10.1700	341.46
188.0280	MFS EMRG MKTS DEBT I	MEDIX	9/7/2012 ^c	3,017.84 ⁿ	16.0500	6/22/2018	2,632.39	14.0000	-385.45
4.3310	MFS EMRG MKTS DEBT I	MEDIX	10/11/2012 ^c	69.65 ⁿ	16.0800	6/22/2018	60.63	14.0000	-9.02
4.7830	MFS EMRG MKTS DEBT I	MEDIX	11/11/2012 ^c	77.24 ⁿ	16.1500	6/22/2018	66.96	14.0000	-10.28
4.7590	MFS EMRG MKTS DEBT I	MEDIX	12/3/2012 ^c	77.66 ⁿ	16.3200	6/22/2018	66.62	14.0000	-11.04
3.9920	MFS EMRG MKTS DEBT I	MEDIX	12/1/2012 ^c	65.23 ⁿ	16.3400	6/22/2018	55.88	14.0000	-9.35
3.9440	MFS EMRG MKTS DEBT I	MEDIX	12/1/2012 ^c	64.44 ⁿ	16.3400	6/22/2018	55.21	14.0000	-9.23
6.0940	MFS EMRG MKTS DEBT I	MEDIX	1/2/2013 ^c	99.51 ⁿ	16.3300	6/22/2018	85.31	14.0000	-14.20
4.8840	MFS EMRG MKTS DEBT I	MEDIX	2/11/2013 ^c	78.78 ⁿ	16.1300	6/22/2018	68.37	14.0000	-10.41
4.9340	MFS EMRG MKTS DEBT I	MEDIX	3/11/2013 ^c	79.19 ⁿ	16.0500	6/22/2018	69.07	14.0000	-10.12
942.8030	MFS EMRG MKTS DEBT I	MEDIX	3/22/2013 ^c	15,000.00 ⁿ	15.9100	6/22/2018	13,199.27	14.0000	-1,800.73
5.7710	MFS EMRG MKTS DEBT I	MEDIX	4/1/2013 ^c	91.65 ⁿ	15.8800	6/22/2018	80.79	14.0000	-10.86
8.5340	MFS EMRG MKTS DEBT I	MEDIX	5/1/2013 ^c	138.00 ⁿ	16.1700	6/22/2018	119.47	14.0000	-18.53
8.7660	MFS EMRG MKTS DEBT I	MEDIX	6/3/2013 ^c	136.66 ⁿ	15.5900	6/22/2018	122.72	14.0000	-13.94
9.2850	MFS EMRG MKTS DEBT I	MEDIX	7/1/2013 ^c	137.05 ⁿ	14.0000	6/22/2018	129.99	14.0000	-7.06

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

4391-2136

KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE

FBO KATHRYN ELLIS

01/01/2017 - 09/05/2018

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	Realized % Gain or Loss
Long - Continued										
9.1140	MFS EMRG MKTS DEBT ¹	MEDIX	8/1/2013 ^c	134.80 ⁿ	14.7900	6/22/2018	127.59	14.0000	-7.21	-5.35
9.1470	MFS EMRG MKTS DEBT ¹	MEDIX	9/3/2013 ^c	131.08 ⁿ	14.3300	6/22/2018	128.05	14.0000	-3.03	-2.31
8.9720	MFS EMRG MKTS DEBT ¹	MEDIX	10/1/2013 ^c	131.35 ⁿ	14.6400	6/22/2018	125.60	14.0000	-5.75	-4.38
8.8280	MFS EMRG MKTS DEBT ¹	MEDIX	11/1/2013 ^c	132.06 ⁿ	14.9600	6/22/2018	123.59	14.0000	-8.47	-6.41
9.0980	MFS EMRG MKTS DEBT ¹	MEDIX	12/2/2013 ^c	132.56 ⁿ	14.5700	6/22/2018	127.37	14.0000	-5.19	-3.92
10.4770	MFS EMRG MKTS DEBT ¹	MEDIX	12/1/2013 ^c	152.13 ⁿ	14.5200	6/22/2018	146.67	14.0000	-5.46	-3.59
0.0120	MFS EMRG MKTS DEBT ¹	MEDIX	12/1/2013 ^c	0.18 ⁿ	14.5200	6/22/2018	0.16	14.0000	-0.02	-1.11
9.1880	MFS EMRG MKTS DEBT ¹	MEDIX	1/2/2014 ^c	133.41 ⁿ	14.5200	6/22/2018	128.63	14.0000	-4.78	-3.58
9.1970	MFS EMRG MKTS DEBT ¹	MEDIX	2/3/2014 ^c	131.80 ⁿ	14.3300	6/22/2018	128.76	14.0000	-3.04	-2.31
9.1650	MFS EMRG MKTS DEBT ¹	MEDIX	3/3/2014 ^c	134.64 ⁿ	14.6900	6/22/2018	128.31	14.0000	-6.33	-4.70
9.1510	MFS EMRG MKTS DEBT ¹	MEDIX	4/1/2014 ^c	135.16 ⁿ	14.7700	6/22/2018	128.11	14.0000	-7.05	-5.22
8.7170	MFS EMRG MKTS DEBT ¹	MEDIX	5/1/2014 ^c	129.88 ⁿ	14.9000	6/22/2018	122.04	14.0000	-7.84	-6.04
5.0210	MFS EMRG MKTS DEBT ¹	MEDIX	6/2/2014 ^c	76.82 ⁿ	15.3000	6/22/2018	70.29	14.0000	-6.53	-8.50
5.0320	MFS EMRG MKTS DEBT ¹	MEDIX	7/1/2014 ^c	77.14 ⁿ	15.3300	6/22/2018	70.44	14.0000	-6.70	-8.69
5.0820	MFS EMRG MKTS DEBT ¹	MEDIX	8/1/2014 ^c	77.50 ⁿ	15.2500	6/22/2018	71.14	14.0000	-6.36	-8.21
5.0820	MFS EMRG MKTS DEBT ¹	MEDIX	9/2/2014 ^c	77.81 ⁿ	15.3100	6/22/2018	71.14	14.0000	-6.67	-8.57
5.1300	MFS EMRG MKTS DEBT ¹	MEDIX	10/1/2014 ^c	76.80 ⁿ	14.9700	6/22/2018	71.82	14.0000	-4.98	-6.48
5.1030	MFS EMRG MKTS DEBT ¹	MEDIX	11/3/2014 ^c	77.15 ⁿ	15.1200	6/22/2018	71.44	14.0000	-5.71	-7.40
4.9970	MFS EMRG MKTS DEBT ¹	MEDIX	12/1/2014 ^c	74.70 ⁿ	14.9500	6/22/2018	69.96	14.0000	-4.74	-6.35
5.1520	MFS EMRG MKTS DEBT ¹	MEDIX	1/2/2015 ^c	74.76 ⁿ	14.5100	6/22/2018	72.13	14.0000	-2.63	-3.52
5.1840	MFS EMRG MKTS DEBT ¹	MEDIX	2/2/2015 ^c	75.06 ⁿ	14.4800	6/22/2018	72.57	14.0000	-2.49	-3.32
4.7680	MFS EMRG MKTS DEBT ¹	MEDIX	3/3/2015 ^c	69.99 ⁿ	14.6800	6/22/2018	66.75	14.0000	-3.24	-4.63
5.1490	MFS EMRG MKTS DEBT ¹	MEDIX	4/1/2015 ^c	75.64 ⁿ	14.6900	6/22/2018	72.08	14.0000	-3.56	-4.71
5.0880	MFS EMRG MKTS DEBT ¹	MEDIX	5/1/2015 ^c	75.91 ⁿ	14.9200	6/22/2018	71.23	14.0000	-4.68	-6.17
5.2500	MFS EMRG MKTS DEBT ¹	MEDIX	6/1/2015 ^c	77.75 ⁿ	14.8100	6/22/2018	73.50	14.0000	-4.25	-5.47
5.4610	MFS EMRG MKTS DEBT ¹	MEDIX	7/1/2015 ^c	79.24 ⁿ	14.5100	6/22/2018	76.45	14.0000	-2.79	-3.52
5.5210	MFS EMRG MKTS DEBT ¹	MEDIX	8/3/2015 ^c	79.62 ⁿ	14.4200	6/22/2018	77.29	14.0000	-2.33	-2.93
5.5550	MFS EMRG MKTS DEBT ¹	MEDIX	9/1/2015 ^c	78.43 ⁿ	14.1200	6/22/2018	77.77	14.0000	-0.66	-0.84
5.5770	MFS EMRG MKTS DEBT ¹	MEDIX	10/1/2015 ^c	77.35 ⁿ	13.8700	6/22/2018	78.08	14.0000	0.73	0.94
5.2800	MFS EMRG MKTS DEBT ¹	MEDIX	11/2/2015 ^c	74.71 ⁿ	14.1500	6/22/2018	73.92	14.0000	-0.79	-1.06
5.3390	MFS EMRG MKTS DEBT ¹	MEDIX	12/1/2015 ^c	75.06 ⁿ	14.0600	6/22/2018	74.74	14.0000	-0.32	-0.43
5.4710	MFS EMRG MKTS DEBT ¹	MEDIX	1/4/2016 ^c	75.33 ⁿ	13.7700	6/22/2018	76.59	14.0000	1.26	1.67
5.5410	MFS EMRG MKTS DEBT ¹	MEDIX	2/1/2016 ^c	75.64 ⁿ	13.6500	6/22/2018	77.57	14.0000	1.93	2.55
5.4880	MFS EMRG MKTS DEBT ¹	MEDIX	3/1/2016 ^c	75.73 ⁿ	13.8000	6/22/2018	76.83	14.0000	1.10	1.45
5.3630	MFS EMRG MKTS DEBT ¹	MEDIX	4/1/2016 ^c	76.21 ⁿ	14.2100	6/22/2018	75.08	14.0000	-1.13	-1.48

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

4391-2136

KATHRYN G ELLIS LCSW

KATHRYN G ELLIS TTEE

FBO KATHRYN ELLIS

01/01/2017 - 09/05/2018

Quantity	Name	Security	Open Date	Cost, Amount	Adjusted Cost, Amount	Trade Date	Close Date	Close Am.	Close Price	Realized	% Gain or Loss
Long - Continued											
5,5060	MFS EMRG MKTS DEBT	MEDIX	5/2/2016 ^c	79.45 ⁿ	14,4300	6/22/2018	77.08	14,0000	-2.37	-2.98	
5,7510	MFS EMRG MKTS DEBT	MEDIX	6/1/2016 ^c	82.52 ⁿ	14,3500	6/22/2018	80.51	14,0000	-2.01	-2.44	
5,8240	MFS EMRG MKTS DEBT	MEDIX	7/1/2016 ^c	85.84 ⁿ	14,7400	6/22/2018	81.54	14,0000	-4.30	-5.01	
5,9550	MFS EMRG MKTS DEBT	MEDIX	8/1/2016 ^c	89.03 ⁿ	14,9500	6/22/2018	83.37	14,0000	-5.66	-6.36	
6,0730	MFS EMRG MKTS DEBT	MEDIX	9/1/2016 ^c	91.76 ⁿ	15,1100	6/22/2018	85.02	14,0000	-6.74	-7.35	
6,0590	MFS EMRG MKTS DEBT	MEDIX	10/3/2016 ^c	91.49 ⁿ	15,1000	6/22/2018	84.83	14,0000	-6.66	-7.28	
5,8630	MFS EMRG MKTS DEBT	MEDIX	11/1/2016 ^c	87.42 ⁿ	14,9100	6/22/2018	82.08	14,0000	-5.34	-6.11	
6,1480	MFS EMRG MKTS DEBT	MEDIX	12/1/2016 ^c	87.85 ⁿ	14,2900	6/22/2018	86.07	14,0000	-1.78	-2.03	
5,6090	MFS EMRG MKTS DEBT	MEDIX	1/3/2017 ^c	80.60 ⁿ	14,3700	6/22/2018	78.53	14,0000	-2.07	-2.57	
5,3770	MFS EMRG MKTS DEBT	MEDIX	2/1/2017 ^c	78.07 ⁿ	14,5200	6/22/2018	75.28	14,0000	-2.79	-3.57	
5,3320	MFS EMRG MKTS DEBT	MEDIX	3/1/2017 ^c	78.39 ⁿ	14,7300	6/22/2018	74.51	14,0000	-3.88	-4.95	
5,3420	MFS EMRG MKTS DEBT	MEDIX	4/3/2017 ^c	78.74 ⁿ	14,7400	6/22/2018	74.79	14,0000	-3.95	-5.02	
5,2880	MFS EMRG MKTS DEBT	MEDIX	5/1/2017 ^c	78.90 ⁿ	14,9200	6/22/2018	74.04	14,0000	-4.86	-6.16	
5,4940	MFS EMRG MKTS DEBT	MEDIX	6/1/2017 ^c	82.19 ⁿ	14,9600	6/22/2018	76.92	14,0000	-5.27	-6.41	
635,1970	NUVEEN REAL ESTATE	FARCX	9/20/2011 ⁿ	11,573.28 ⁿ	11,486.14	18/22/2018	12,221.19	19,2400	735.05	6.35	
4,1820	NUVEEN REAL ESTATE	FARCX	10/3/2011 ⁿ	69.93 ⁿ	69.37	16/72/2018	80.46	19,2400	11.09	15.86	
4,8540	NUVEEN REAL ESTATE	FARCX	12/23/2011 ⁿ	92.67 ⁿ	92.02	19/09/2018	93.39	19,2400	1.37	1.48	
5,4590	NUVEEN REAL ESTATE	FARCX	12/30/2011 ⁿ	103.94 ⁿ	103.21	19/04/2018	105.03	19,2400	1.82	1.75	
6,2300	NUVEEN REAL ESTATE	FARCX	4/22/2012 ^c	129.95 ⁿ	129.12	20/86/2018	119.86	19,2400	-9.26	-7.13	
5,1070	NUVEEN REAL ESTATE	FARCX	7/2/2012 ^c	110.06 ⁿ	109.37	21/55/2018	98.25	19,2400	-11.12	-10.10	
1,6820	NUVEEN REAL ESTATE	FARCX	9/2/2012 ^c	36.81 ⁿ	36.60	21/89/2018	32.36	19,2400	-4.24	-11.52	
4,8760	NUVEEN REAL ESTATE	FARCX	10/1/2012 ^c	104.60 ⁿ	103.95	21/45/2018	93.81	19,2400	-10.14	-9.69	
14,4430	NUVEEN REAL ESTATE	FARCX	12/17/2012 ^c	299.55 ⁿ	297.59	20/74/2018	277.88	19,2400	-19.71	-6.58	
11,9600	NUVEEN REAL ESTATE	FARCX	12/17/2012 ^c	248.06 ⁿ	246.43	20/74/2018	230.11	19,2400	-16.32	-6.58	
4,1240	NUVEEN REAL ESTATE	FARCX	12/31/2012 ^c	88.77 ⁿ	86.17	21/04/2018	79.34	19,2400	-6.83	-7.87	
4,9810	NUVEEN REAL ESTATE	FARCX	4/1/2013 ^c	112.47 ⁿ	111.91	22/58/2018	95.83	19,2400	-16.08	-14.30	
5,1860	NUVEEN REAL ESTATE	FARCX	7/1/2013 ^c	114.55 ⁿ	114.20	22/09/2018	99.77	19,2400	-14.43	-12.60	
2,9720	NUVEEN REAL ESTATE	FARCX	9/17/2013 ^c	63.83 ⁿ	63.63	21/48/2018	57.18	19,2400	-6.45	-10.10	
1,8840	NUVEEN REAL ESTATE	FARCX	9/17/2013 ^c	40.46 ⁿ	40.27	21/48/2018	36.24	19,2400	-4.03	-9.96	
6,3160	NUVEEN REAL ESTATE	FARCX	10/1/2013 ^c	134.28 ⁿ	134.09	21/26/2018	121.52	19,2400	-12.57	-9.36	
40,4050	NUVEEN REAL ESTATE	FARCX	12/16/2013 ^c	786.68 ⁿ	785.46	19/47/2018	777.39	19,2400	-8.07	-1.03	
15,2470	NUVEEN REAL ESTATE	FARCX	12/16/2013 ^c	296.85 ⁿ	296.30	19/47/2018	293.35	19,2400	-2.95	-0.99	
8,3400	NUVEEN REAL ESTATE	FARCX	12/31/2013 ^c	185.14 ⁿ	185.80	2/2/2018	160.46	19,2400	-4.68	-2.83	
5,9920	NUVEEN REAL ESTATE	FARCX	4/1/2014 ^c	129.67 ⁿ	129.40	2/2/2018	115.28	19,2400	-14.39	-11.10	
6,4180	NUVEEN REAL ESTATE	FARCX	7/1/2014 ^c	148.13 ⁿ	148.00	2/2/2018	123.48	19,2400	-24.65	-16.64	

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

4391-2136
KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Date	Close Date	Close Amnt	Close Price	% Gain or Loss
Long - Continued'										
6.1120	NUVEEN REAL ESTATE I	FARCX	10/1/2014	136.23N	22,2900	2/2/2018	117.59	19.2400	-18.64	-13.68
31.0930	NUVEEN REAL ESTATE I	FARCX	12/16/2014	734.11N	23,6100	2/2/2018	598.23	19.2400	-135.88	-18.51
13.0790	NUVEEN REAL ESTATE I	FARCX	12/16/2014	323.66N	23,6100	2/2/2018	263.76	19.2400	-59.90	-18.51
9.6990	NUVEEN REAL ESTATE I	FARCX	12/31/2014	237.42N	24,4800	2/2/2018	186.61	19.2400	-50.81	-21.40
5.7700	NUVEEN REAL ESTATE I	FARCX	4/1/2015	144.07N	24,9700	2/2/2018	111.01	19.2400	-33.06	-22.95
8.2240	NUVEEN REAL ESTATE I	FARCX	7/1/2015	183.23N	22,2800	2/2/2018	158.23	19.2400	-25.00	-13.64
9.7590	NUVEEN REAL ESTATE I	FARCX	9/17/2015	217.62N	22,3000	2/2/2018	187.76	19.2400	-29.86	-13.72
5.6560	NUVEEN REAL ESTATE I	FARCX	10/1/2015	126.75N	22,4100	2/2/2018	108.82	19.2400	-17.93	-14.15
37.8070	NUVEEN REAL ESTATE I	FARCX	12/16/2015	855.58N	22,6300	2/2/2018	727.41	19.2400	-128.17	-14.98
7.4450	NUVEEN REAL ESTATE I	FARCX	12/31/2015	172.36N	23,1500	2/2/2018	143.24	19.2400	-29.12	-16.89
6.7510	NUVEEN REAL ESTATE I	FARCX	4/1/2016	161.27N	23,8900	2/2/2018	129.89	19.2400	-31.38	-19.46
209.4680	NUVEEN REAL ESTATE I	FARCX	4/4/2016	5,000.00N	23,8700	2/2/2018	4,030.19	19.2400	-969.81	-19.40
7.7730	NUVEEN REAL ESTATE I	FARCX	7/11/2016	196.43N	25,2700	2/2/2018	149.55	19.2400	-46.88	-23.87
14.7920	NUVEEN REAL ESTATE I	FARCX	9/16/2016	366.35N	24,0900	2/2/2018	284.60	19.2400	-71.75	-20.13
10.0350	NUVEEN REAL ESTATE I	FARCX	10/3/2016	244.75N	24,3900	2/2/2018	193.07	19.2400	-51.68	-21.12
48.9480	NUVEEN REAL ESTATE I	FARCX	12/16/2016	1,056.29N	21,5800	2/2/2018	941.77	19.2400	-114.52	-10.84
25.2890	NUVEEN REAL ESTATE I	FARCX	12/16/2016	545.73N	21,5800	2/2/2018	486.56	19.2400	-59.17	-10.84
11.8690	NUVEEN REAL ESTATE I	FARCX	12/30/2016	259.11N	21,8300	2/2/2018	228.36	19.2400	-30.75	-11.87
419.4270	OPPENHMR INTL GROWTH Y	OIGYX	2/26/2016	14,206.00N	33,8700	2/2/2018	18,870.01	44.9900	4,664.01	32.83
5.7030	OPPENHMR INTL GROWTH Y	OIGYX	12/15/2016	194.94N	34,1800	2/2/2018	256.58	44.9900	61.64	31.62
932.0630	VIRTUS OPPORTUNITIE CL I	HIEMX	6/23/2015	10,000.00N	10,0800	5/5/2017	10,565.47	10.6500	555.47	5.65
9.3310	VIRTUS OPPORTUNITIE CL I	HIEMX	12/23/2015	83.33N	8,9300	5/5/2017	99.37	10.6500	16.04	19.25
				146,433.01	30,352.68		157,010.13		10,775.70	

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

4391-2136
KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Report Summary	Name	Security	Open Date	Cost Amount	Adjusted Trade	Close Date	Close Am.	Close Price	Realized % Gain or Loss
				Cost Amount	Price				
				174,641.20	38,816.43		185,775.90	112.73	11,134.67

Realized Gains or Losses	
Short Term	Long Term
558.00	10,715.70

Legend

(1) Security Held Away	Cost/Amount
Q - Sale Date	E - Pre Effective
C - Covered Tax Lot	O - Post Effective
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M - Net Row Contains Both Covered and Noncovered Tax Lots	M - Net Row Contains Tax Lots with Multiple Elections
W - Open Date Reflects Wash Sale Date	

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Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
4948-4833

KATHRYN G ELLIS (IRAY)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain or Loss	% Gain or Loss	Term
1	AFLAC INC	AFL	8/9/2013 ^c	61.56 ⁿ	61.5506	11/2/2017	84.40	84.4010	22.84	37.10	LONG
1	AIR PRODUCTS & CHEMICALS	APD	8/9/2013 ^c	97.52 ⁿ	97.5197	11/2/2017	159.19	159.1900	61.67	63.24	LONG
1	ANALOG DEVICES INC	ADI	8/9/2013 ^c	48.97 ⁿ	48.9629	11/2/2017	90.89	90.8930	41.92	85.60	LONG
1	BECTON DICKINSON & CO	BDX	8/9/2013 ^c	100.16 ⁿ	100.1527	11/2/2017	224.30	224.3078	124.14	123.94	LONG
1	BLACKROCK INC	BLK	10/29/2015 ^c	348.94 ⁿ	348.9345	11/2/2017	474.35	474.3618	125.41	35.94	LONG
1	CHEVRON CORPORATION	CVX	8/9/2013 ^c	122.37 ⁿ	122.3699	11/2/2017	115.26	115.2621	-7.11	-5.81	LONG
1	CLOROX COMPANY	CLX	8/9/2013 ^c	85.95 ⁿ	85.9473	11/2/2017	129.50	129.4954	43.55	50.67	LONG
1	COLGATE PALMOLIVE CO	CL	8/9/2013 ^c	60.75 ⁿ	60.7474	11/2/2017	71.03	71.0338	10.28	16.92	LONG
1	EMERSON ELECTRIC CO	EMR	8/9/2013 ^c	61.94 ⁿ	61.9400	11/2/2017	63.72	63.7238	1.78	2.87	LONG
1	EVERSOURCE ENERGY	ES	8/9/2013 ^c	43.94 ⁿ	43.8343	11/2/2017	63.15	63.1467	19.31	44.05	LONG
5	GRAINGER WW INC	GWW	8/9/2013 ^c	1,301.34 ⁿ	260.2679	18/2/2018	1,188.00	237.6061	-113.34	-8.71	LONG
18	J M SMUCKER CO	SJM	8/9/2013 ^c	2,040.53 ⁿ	113.3797	10/2/2017	1,896.08	104.7847	-154.75	-7.58	LONG
27	KELLOGG COMPANY	K	8/9/2013 ^c	1,772.4 ⁿ	65.6350	9/29/2017	1,684.31	62.3833	-87.83	-4.96	LONG
2	MICROSOFT CORP	MSFT	8/9/2013 ^c	65.38 ⁿ	32.6872	11/2/2017	168.15	84.0750	102.77	157.19	LONG
1	PAYCHEX INC	PAYX	8/9/2013 ^c	40.48 ⁿ	40.4773	11/2/2017	64.52	64.5201	24.04	59.39	LONG
1	PHILLIPS 66	PSX	8/9/2013 ^c	59.05 ⁿ	59.0500	11/2/2017	93.27	93.2720	34.22	57.95	LONG
1	PROCTER & GAMBLE CO	PG	8/9/2013 ^c	81.64 ⁿ	81.6400	11/2/2017	86.61	86.6101	4.97	6.09	LONG
1	QUALCOMM INC	QCOM	9/23/2014 ^c	76.19 ⁿ	76.1852	11/2/2017	54.96	54.9605	-21.23	-27.86	LONG
15	SCANA CORP COM	SCG	8/9/2013 ^c	771.60 ⁿ	51.4399	8/17/2017	920.28	61.3530	148.68	19.27	LONG
32	SOUTHERN COMPANYTHE SO		8/9/2013 ^c	1,404.07 ⁿ	43.8773	8/18/2017	1,542.56	48.2061	138.49	9.86	LONG
1	SYSCO CORPORATION	SY	8/9/2013 ^c	35.03 ⁿ	35.0263	11/2/2017	55.87	55.8650	20.84	59.49	LONG
1	TARGET CORP	TGT	8/9/2013 ^c	70.96 ⁿ	70.9565	11/2/2017	59.75	59.7538	-11.21	-15.80	LONG
1	US BANCORP NEW	USB	13/1/2017 ^c	52.61 ⁿ	52.6023	11/2/2017	54.93	54.9335	2.32	4.41	SHORT

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

494B-4833

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Traffic Close Date	Close Amt	Close Price	Realized Gain/Loss	% Gain/Loss
				8,803.32		9,335.08		531.76	

Realized Gains or Losses	
Short Term	Long Term
2.32	529.44

Legend

(*) Security Held Away	Cost Amount
Open Date	E - Pre Effective
C - Covered Tax Lot	O - Post Effective
N - Noncovered Tax Lot	N - Not Average Cost
M - Net Row Contains Both Covered and Noncovered Tax Lots	M - Net Row Contains Tax Lots with Multiple Elections
W - Open Date Reflects Wash Sale Date	

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Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

4948-4833

KATHRYN G ELLIS (IRA)

WFCS AS CUSTODIAN

208 BARRINGTON PL

FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Ajusted Cost Amount	Trade Price	Closed Date	Close Amnt	Close Price	Realized	% Gain or Loss
Short											
1	US BANCORP NEW	USB	1/31/2017 ^c	52.61 ⁿ	52.6023	11/2/2017	54.93	54.9335	2.32	4.41	2.32
Long				52.61	54.93						
1	AFLAC INC	AFL	8/9/2013 ^c	61.56 ⁿ	61.5506	11/2/2017	84.40	84.4010	22.84	37.10	
1	AIR PRODUCTS & CHEMICALS	APD	8/9/2013 ^c	97.52 ⁿ	97.5197	11/2/2017	159.19	159.1900	61.67	63.24	
1	ANALOG DEVICES INC	ADI	8/9/2013 ^c	48.97 ⁿ	48.9629	11/2/2017	90.89	90.8930	41.92	85.60	
1	BECTON DICKINSON & CO	BDX	8/9/2013 ^c	100.16 ⁿ	100.1527	11/2/2017	224.30	224.3078	124.14	123.94	
1	BLACKROCK INC	BLK	10/29/2015 ^c	348.94 ⁿ	348.9345	11/2/2017	474.35	474.3618	125.41	35.94	
1	CHEVRON CORPORATION	CVX	8/9/2013 ^c	122.37 ⁿ	122.3699	11/2/2017	115.26	115.2621	-7.11	-5.81	
1	CLOROX COMPANY	CLX	8/9/2013 ^c	85.95 ⁿ	85.9473	11/2/2017	129.50	129.4954	43.55	50.67	
1	COLGATE PALMOLIVE CO	CL	8/9/2013 ^c	60.75 ⁿ	60.7474	11/2/2017	71.03	71.0338	10.28	16.92	
1	EMERSON ELECTRIC CO	EMR	8/9/2013 ^c	61.94 ⁿ	61.9400	11/2/2017	63.72	63.7238	1.78	2.87	
1	EVERSOURCE ENERGY	ES	8/9/2013 ^c	43.84 ⁿ	43.8343	11/2/2017	63.15	63.1467	19.31	44.05	
5	GRAINGER W W INC	GWW	8/9/2013 ^c	1,301.34 ⁿ	260.2679	1/8/2018	1,188.00	237.6061	-113.34	-8.71	
18	JM SMUCKER CO	SJM	8/9/2013 ^c	2,040.83 ⁿ	113.3797	10/2/2017	1,886.08	104.7847	-154.75	-7.58	
27	KELLOGG COMPANY	K	8/9/2013 ^c	1,772.14 ⁿ	65.6350	9/29/2017	1,684.31	62.3833	-87.83	-4.96	
2	MICROSOFT CORP	MSFT	8/9/2013 ^c	65.38 ⁿ	32.6872	11/2/2017	168.15	84.0750	102.77	157.19	
1	PAYCHEX INC	PAYX	8/9/2013 ^c	40.48 ⁿ	40.4773	11/2/2017	64.52	64.5201	24.04	59.39	
1	PHILLIPS 66	PSX	8/9/2013 ^c	59.05 ⁿ	59.0500	11/2/2017	93.27	93.2720	34.22	57.95	
1	PROCTER & GAMBLE CO	PG	8/9/2013 ^c	81.64 ⁿ	81.6400	11/2/2017	86.61	86.6101	4.97	6.08	
1	QUALCOMM INC	QCOM	9/23/2014 ^c	76.19 ⁿ	76.1852	11/2/2017	54.96	54.9605	-21.23	-27.86	
15	SCANA CORP COM	SCG	8/9/2013 ^c	771.60 ⁿ	51.4399	8/17/2017	920.28	61.3530	148.68	19.27	
32	SOUTHERN COMPANYTHE	SO	8/9/2013 ^c	1,404.07 ⁿ	43.8773	8/18/2017	1,542.56	48.2061	138.49	9.88	
1	SYSCO CORPORATION	SY	8/9/2013 ^c	35.03 ⁿ	35.0263	11/2/2017	55.87	55.8650	20.84	59.49	
1	TARGET CORP	TGT	8/9/2013 ^c	70.96 ⁿ	70.9565	11/2/2017	59.75	59.7538	-11.21	-15.80	
				8,750.71	9,280.15				529.44		

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

4948-4833

KATHRYN G ELLIS (IRA)

WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Price	Trade Date	Close Date	Close Amount	% Gain or Loss	Rounded
				8,803.32	0.00			9,335.08	53.76	

Realized Gains or Losses	
Short Term	Long Term
2.32	529.44

Legend

(r) Security Held Away	Cost Amount
Q - Sale Date	E - Pre Effective
C - Covered Tax Lot	O - Post Effective
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Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
8004-5983

KATHRYN G ELLIS (IRAY)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS
MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Closed Price	Realized Gain or Loss	% Gain or Loss	Term	
2,000	8INTEL CORP	4.8% 100141	45899A-RC2	9/9/2014 ^c	2,105.78 ⁿ	105,6630	12/8/2017	2,430.80	121,5400	325.02	15.38	LONG
2,000	ACE INA H	3.15% 031525	00440E-AS-6	6/15/2015 ^c	1,958.60 ⁿ	97,9300	2/10/2017	2,003.80	100,1900	45.20	2.31	LONG
2,000	AMERICAN	4.5% 071644	026874-DA-2	2/10/2015 ^c	2,133.01 ⁿ	106,9310	4/4/2017	1,915.90	95,7950	-217.11	-10.15	LONG
2,000	AMERICAN E	2.6% 091420	0258M0-DX-4	10/13/2015 ^c	2,010.41 ⁿ	100,9930	2/23/2018	1,981.76	99,0880	-28.65	-1.42	LONG
1,000	AMGEN IN	2.125% 051517	031162-BQ-2	12/10/2013 ⁿ	1,022.25 ⁿ	102,2250	5/15/2017	1,000.00	100,0000	-22.25	-2.18	LONG
1,000				5/20/2014 ^c	1,000.00 ⁿ	102,6740	5/15/2017	1,000.00	100,0000			Miscellaneous
					<u>2,022.25</u>			<u>2,000.00</u>		<u>-22.25</u>		
4,000	APPLE INC VAR%	050318	037833-AG-5	6/11/2015 ⁿ	4,000.00 ⁿ	100,3570	5/3/2018	4,000.00	100,0000			Miscellaneous
2,000	BANK OF	2.375% 012519	06367V-HL-2	10/23/2013 ⁿ	2,027.56 ⁿ	101,3780	5/11/2017	2,017.34	100,8670	-10.22	-0.50	LONG
2,000				10/25/2013 ⁿ	2,008.47 ⁿ	101,3080	5/11/2017	2,017.34	100,8670	8.87	0.44	LONG
					<u>4,036.03</u>			<u>4,034.68</u>		<u>-1.35</u>		
2,000	BANK OF AMER	5% 012144	06051G-FCC-8	9/30/2014 ^c	2,116.13 ⁿ	106,1440	12/13/2017	2,413.42	120,6710	297.29	14.00	LONG
4,000	CAPITAL 4.75%	071521	14040H-HAY-1	9/17/2013 ⁿ	4,186.12 ⁿ	104,6530	7/10/2017	4,312.00	107,8000	125.88	3.01	LONG
2,000	CATERPILLAR	3.9% 052721	149123-BV-2	9/17/2013 ⁿ	2,064.20 ⁿ	103,2100	3/16/2017	2,108.38	105,4190	44.18	2.14	LONG
2,000	COMCAST C	5.15% 030120	20030N-BA-8	8/20/2013 ⁿ	2,241.54 ⁿ	112,0770	5/8/2017	2,174.02	108,7010	-67.52	-3.01	LONG
2,000	COSTCO WHOLE		22160K-AC-9	8/2/2016 ^c	2,000.00 ⁿ	102,8730	3/15/2017	2,000.00	100,0000			Miscellaneous
5,5%3/15/17												
4,000	CVS HEALTH	2.125%	126650-CT-5	5/17/2016 ^c	3,992.04 ⁿ	99,8010	8/18/2017	3,973.48	99,3370	-18.56	-0.46	LONG
4,000		060121										
4,000	CVS HEALTH	2.875%	126650-CU-2	5/19/2017 ^c	3,877.44 ⁿ	96,9360	1/18/2018	3,769.72	94,2430	-107.72	-2.78	SHORT
4,000		060126										
2,000	DOMINION	4.45% 031521	25746U-BL-2	9/17/2013 ⁿ	2,122.54 ⁿ	106,1270	12/29/2018	2,094.16	104,7080	-28.38	-1.34	LONG
2,000	FIFTH THIRD	4.5% 6/1/18	316773-AD-2	6/27/2017 ^c	2,000.00 ⁿ	102,6070	6/1/2018	2,000.00	100,0000			Miscellaneous
12,000	FNMA	1.5% 113020	3135G0-F7-3	10/28/2015 ^c	11,975.16 ⁿ	99,7930	5/23/2017	11,940.12	99,5010	-35.04	-0.29	LONG
2,000	FNMA 2.125%	042426	3135G0-K3-6	11/29/2016 ^c	1,915.44 ⁿ	95,7720	4/13/2018	1,879.94	93,9970	-35.50	-1.85	LONG
2,000												
2,000	3135G0-K3-6			2/28/2017 ^c	1,913.78 ⁿ	95,6890	4/24/2018	1,854.60	92,7300	-59.18	-3.09	LONG
2,000	3135G0-K3-6			8/8/2017 ^c	1,960.56 ⁿ	98,0280	4/24/2018	1,854.60	92,7300	-105.96	-5.40	SHORT
6,000	3135G0-K3-6			1/18/2018 ^c	5,738.58 ⁿ	95,6430	4/24/2018	5,583.80	92,7300	-174.78	-3.05	SHORT
					<u>11,528.36</u>			<u>11,152.84</u>		<u>-375.42</u>		
1,000	GENERAL EL	3.1% 010923	36962G-6S-8	8/21/2013 ⁿ	946.46 ⁿ	91,2430	11/3/2017	1,016.09	101,6090	69.63	7.63	LONG
3,000			36962G-6S-8	8/21/2013 ⁿ	2,842.49 ⁿ	91,2430	1/16/2018	3,023.16	100,7720	180.67	6.60	LONG
2,000	GILEAD SCIENC			9/22/2016 ^c	2,022.66 ⁿ	101,1810	3/14/2017	1,854.48	92,7240	-168.18	-8.31	SHORT
2,000	MARRIOT	2.3% 011522	571903-AR-4	8/4/2016 ^c	2,018.28 ⁿ	101,1520	10/3/2017	1,980.30	99,0150	-37.98	-1.88	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Prepared For:
8004-5983

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	CLOSE DATE	CLOSE AMT	CLOSE PRICE	Realized Gain or Loss	% Gain or Loss	Term	
2,000	MERRILL LY	6.4% 08/28/17	59018Y-J6-9	9/18/2013 ^N	2,280.16 ^N	114,0080	8/28/2017	2,000.00	100,0000	-280.16	-12.29	LONG
2,000	METLIFE INC	VAR% 07/15/66	59156R-AR-9	8/21/2013 ^N	2,022.72 ^N	101,3360	8/15/2018	2,000.00	100,0000	285.50	14.09	LONG
2,000	MICROSOFT	4.45% 11/03/45	594918-BI-7	1/15/2016 ^c	2,064.49 ^N	103,2830	4/20/2017	2,150.46	107,5230	85.97	4.16	LONG
2,000	MIDAMERICAN	5.75% 04/01/18	59562V-AT-4	4/28/2017 ^c	2,000.00 ^N	103,9030	4/22/2018	2,000.00	100,0000	Miscellaneous		
10,000	MO ST	4.9% 05/01/24	60636W-PG-4	8/8/2012 ^N	11,884.50 ^N	118,8450	7/27/2017	11,187.80	111,8780	-696.70	-5.86	LONG
2,000	MORGAN ST	3.75% 02/25/23	61746B-DJ-2	9/4/2013 ^N	1,930.57 ^N	94,4410	1/26/2018	2,051.82	102,5910	121.25	6.42	LONG
2,000	PACIFICOR	5.65% 07/15/18	695114-CH-9	5/8/2018 ^c	2,000.00 ^N	100,6100	7/16/2018	2,000.00	100,0000	Miscellaneous		
2,000	SEMPRA EN	2.875% 10/01/22	816851-AT-6	9/17/2013 ^N	1,835.77 ^N	91,1220	8/2/2017	2,026.16	101,3080	190.45	10.45	LONG
1,000	UST NTS	VAR% 01/31/19	91282B-V6-4	4/8/2017 ^c	1,000.88 ^N	100,1310	1/17/2017	1,001.68	100,1680	0.80	0.08	SHORT
7,000	UST NTS	VAR% 10/31/17	91282B-M2-3	11/10/2015 ^N	7,000.87 ^N	100,0460	4/17/2017	7,006.93	100,1000	6.06	0.09	LONG
1,000	UST NTS	0.875% 11/15/17	91282B-G2-0	12/3/2014 ^c	997.38 ^N	99,7383	1/19/2017	1,000.59	100,0590	3.21	0.32	LONG
2,000	91282B-G2-0			12/3/2014 ^c	1,994.76 ^N	99,7383	2/28/2017	2,001.32	100,0660	6.56	0.33	LONG
					<u>2,992.14</u>			<u>3,001.91</u>		<u>9.77</u>		
4,000	UST NTS	1.125% 12/31/19	91282B-UF-5	8/16/2013 ^N	3,910.08 ^N	94,5352	6/22/2017	3,971.72	99,2930	61.64	1.63	LONG
1,000			91282B-UF-5	8/16/2013 ^N	984.50 ^N	94,5352	4/9/2018	980.66	98,0664	-3.84	-0.41	LONG
2,000			91282B-UF-5	8/16/2013 ^N	1,969.05 ^N	94,5352	4/10/2018	1,960.86	98,0430	-8.19	-0.43	LONG
13,000			91282B-UF-5	8/16/2013 ^N	12,800.77 ^N	94,5352	4/16/2018	12,753.90	97,9531	-66.81	-0.54	LONG
					<u>19,664.34</u>			<u>19,647.14</u>		<u>-17.20</u>		
4,000	UST NTS	1.375% 09/30/18	91282B-RH-5	11/6/2013 ^N	4,012.97 ^N	100,3242	6/21/2017	4,003.76	100,0940	-9.21	-0.23	LONG
4,000			91282B-RH-5	11/6/2013 ^N	4,012.96 ^N	100,3242	6/22/2017	4,004.08	100,1020	-8.88	-0.22	LONG
1,000			91282B-RH-5	11/12/2013 ^N	998.67 ^N	99,8672	6/22/2017	1,001.02	100,1020	2.35	0.24	LONG
					<u>9,024.60</u>			<u>9,008.86</u>		<u>-15.74</u>		
5,000	WA ST	6.414% 10/01/34	940093-ZF-9	9/15/2011 ^N			7/27/2017	5,362.70	107,2540			Miscellaneous

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

8004-5983

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Closes Amnt	Closes Price	Realized % Gain or Loss	% Gain or Loss
Report Summary										
				141,900.48			148,932.89		330.29	

Realized Gains or Losses	
Short Term	Long Term
-555.84	225.55

Legend	
(I) Security Held Away	
Open Date	
C - Covered Tax Lot	
N - Noncovered Tax Lot	
M - Not Row Contains Both Covered and Noncovered Tax Lots	
W - Open Date Reflects Wash Sale Date	
E - Pre Effective	
O - Post Effective	
N - Not Average Cost	
M - Not Row Contains Tax Lots with Multiple Elections	

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.

Noncovered Tax Lot -Cost information for this tax lot is not covered by IRS reporting requirements.

Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.

CSI-Cash Standing Instructions indicates the disposition of stock and money on cash accounts.

MMF Code -The MMF Code in the report header indicates how money market account balances are treated.

This report is not the official record of your account. However, it has been prepared to assist you with your investment planning and is for informational purposes only. Your Wells Fargo Advisors Client Statement is the official record of your account. Therefore, if there are any discrepancies between this report and your Client Statement, you should rely on the Client Statement and call your local Branch Manager with any questions. Cost data and acquisition dates provided by you are not verified by Wells Fargo Advisors. Transactions requiring tax consideration should be reviewed carefully with your accountant or tax advisor. Unless otherwise indicated, market prices/values are the most recent closing prices available at the time of this report, and are subject to change. Prices may not reflect the value at which securities could be sold. Past performance does not guarantee future results.

Investments in securities and insurance products are:

NOT FDIC - INSURED NOT BANK GUARANTEED MAY LOSE VALUE.

Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliate of Wells Fargo & Company.

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

8004-5983

KATHRYN G ELLIS (IRA)

WFCS AS CUSTODIAN

208 BARRINGTON PL

FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	% Gain or Loss
Short										
4,000	CVS HEALTH	2.875% 060126	12/06/2017	519/2017	3,877.44 ^N		1/18/2018	3,769.72	94.2430	-107.72
1,000	UST NTS	VARY 013119	9/18/2017	4/18/2017	1,001.31 ^N	1,000.88	1/01/2017	1,001.68	100.1680	0.80
2,000	FNMA	2.125% 042426	3/13/2017	1/18/2017	1,960.56 ^N	98.0280	4/24/2018	1,854.60	92.7300	-105.96
6,000	FNMA	2.125% 042426	3/13/2017	1/18/2017	5,738.58 ^N	95.6430	4/24/2018	5,563.80	92.7300	-174.78
2,000	GILEAD SCIENC	2.95% 30127	3/7/2017	9/22/2016	2,023.62 ^N	101.1810	3/14/2017	1,854.48	92.7240	-168.18
Long										
2,000	BANK OF AMER	5% 012144	06/05/2017	9/30/2017	2,122.88 ^N	2,116.13	10/6/2017	2,413.42	120.5710	297.29
2,000	AMERICAN	4.5% 071644	02/87/2017	2/10/2017	2,138.62 ^N	2,133.01	10/6/2017	1,915.90	95.7950	-217.11
2,000	AMERICAN	E 2.6% 091420	02/86/2017	10/13/2017	2,019.86 ^N	2,010.41	10/0/2018	1,981.76	99.9880	-28.65
1,000	GENERAL EL	3.1% 010923	3/6/2017	8/21/2017	912.43 ^N	946.46	9/1/2017	1,016.09	101.6090	69.63
3,000	GENERAL EL	3.1% 010923	3/6/2017	8/21/2017	2,737.29 ^N	2,842.49	9/1/2017	3,023.16	100.7720	180.67
1,000	AMGEN IN	2.125% 051517	03/16/2017	12/10/2017	1,022.25 ^N	1,022.25	5/15/2017	1,000.00	100.9000	-22.25
2,000	ACE INA H	3.15% 031525	00/44/2017	6/15/2017	1,958.60 ^N	97.9300	2/10/2017	2,003.80	100.1900	45.20
4,000	CAPITAL	4.75% 071521	14/40/HAY-1	9/17/2017	4,186.12 ^N	104.6530	7/10/2017	4,312.00	107.8000	125.88
2,000	CATERPILLAR	3.3% 052721	14/9123/BV-2	9/17/2017	2,064.20 ^N	103.2100	3/16/2017	2,108.38	105.4190	44.18
2,000	COMCAST	C 5.15% 030120	2003/0N-BA-8	8/20/2017	2,241.54 ^N	112.0770	5/8/2017	2,174.02	108.7010	-67.52
4,000	CVS HEALTH	2.125% 060121	12/06/2017	5/17/2017	3,982.04 ^N	99.8010	8/18/2017	3,973.48	99.3370	-18.56
7,000	UST NTS	VAR% 103117	9/12/2017	7,003.22 ^N	7,000.87	100.0460	4/17/2017	7,006.93	100.1000	6.06
12,000	FNMA	1.5% 113020	3/13/2017	10/28/2017	11,975.18 ^N	99.7950	5/23/2017	11,940.12	99.5010	-35.04
2,000	DOMINION	4.45% 031521	25/46U-BL-2	9/17/2017	2,122.54 ^N	1,06.1270	1/29/2018	2,094.16	104.7080	-28.38
1,000	UST NTS	0.875% 111517	9/12/28/G2-0	12/3/2017	997.38 ^N	99.7383	1/19/2017	1,000.59	100.0590	3.21
2,000	UST NTS	0.875% 111517	9/12/28/G2-0	12/3/2017	1,984.76 ^N	99.7383	2/28/2017	2,001.32	100.0660	6.56
2,000	BANK OF	2.375% 012519	06/67V-HL-2	10/23/2017	2,027.56 ^N	101.3780	5/11/2017	2,017.34	100.9670	-10.22
2,000	BANK OF	2.375% 012519	06/67V-HL-2	10/25/2017	2,026.16 ^N	2,008.47	101.3080	5/11/2017	2,017.34	100.9670
4,000	UST NTS	1.125% 123119	9/12/28/UF-5	8/16/2017	3,781.41 ^N	3,910.08	94.5352	6/22/2017	3,971.72	99.2930
1,000	UST NTS	1.125% 123119	9/12/28/UF-5	8/16/2017	945.36 ^N	984.50	94.5352	4/9/2018	980.66	98.0664
2,000	UST NTS	1.125% 123119	9/12/28/UF-5	8/16/2017	1,890.71 ^N	94.5352	4/10/2018	1,960.86	98.0430	-8.19
13,000	UST NTS	1.125% 123119	9/12/28/UF-5	8/16/2017	12,289.55 ^N	12,800.71	94.5352	4/16/2018	12,733.90	97.9531
4,000	UST NTS	1.375% 093018	9/12/28/RH-5	11/6/2017	4,012.97 ^N	100.3242	6/21/2017	4,003.76	100.0940	-9.21
4,000	UST NTS	1.375% 093018	9/12/28/RH-5	11/6/2017	4,012.96 ^N	100.3242	6/22/2017	4,004.08	100.1020	-8.88
1,000	UST NTS	1.375% 093018	9/12/28/RH-5	11/12/2017	998.67 ^N	99.8672	6/22/2017	1,001.02	100.1020	2.35
2,000	FNMA	2.125% 042426	3/13/2017	1/12/2017	1,915.44 ^N	95.7720	4/13/2018	1,879.94	93.9970	-35.50
2,000	FNMA	2.125% 042426	3/13/2017	1/12/2017	1,913.78 ^N	95.6890	4/24/2018	1,854.60	92.7300	-59.18

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

8004-5983
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	Realized	% Gain or Loss
Long - Continued											
2,000	8INTEL CORP 4.8% 100141	45899A-RC-2	9/29/2014 ^d	2,113.26 ⁿ	2,105.78	105.6630	12/8/2017	2,430.80	121.5400	325.02	15.38
2,000	MARRIOT 2.3% 011522	571903-AR-4	8/4/2016 ^c	2,023.04 ⁿ	2,018.28	101.1520	10/3/2017	1,980.30	99.0150	-37.98	-1.88
2,000	MERRILL LY 6.4% 08/28/17	59018Y-J6-9	9/18/2013 ^j	2,280.16 ⁿ	114.0080	8/28/2017	2,000.00	100.0000	-280.16	-12.29	
2,000	METLIFE INC VAR%12/15/06	59156R-AP-3	8/21/2013 ⁿ	2,026.00 ⁿ	2,022.72	101.3000	8/29/2017	2,308.22	115.4110	285.50	14.09
2,000	MICROSOFT 4.45% 110345	594918-BL-7	1/15/2016 ^c	2,065.86 ⁿ	2,064.49	103.2930	4/20/2017	2,150.46	107.5230	85.97	4.16
10,000	MO ST 4.92%05/01/24	60636W-PG-4	8/8/2012 ⁿ	11,884.50 ⁿ	118.8450	7/27/2017	11,187.80	111.8780	-696.70	-5.86	
2,000	MORGAN ST 3.75% 022523	61746B-DJ-2	9/4/2013 ^j	1,888.82 ⁿ	1,930.57	94.4410	1/26/2018	2,051.82	102.5910	121.25	6.42
2,000	SEMPRA EN 2.875% 100122	816851-AT-6	9/17/2013 ^j	1,822.44 ⁿ	1,835.71	91.1220	8/2/2017	2,028.16	101.3080	190.45	10.45
Misc											
1,000	AMGEN IN 2.125% 05/1517	031162-BQ-2	5/20/2014 ^c	1,026.74 ⁿ	1,000.00	102.6740	5/15/2017	1,000.00	100.0000		
4,000	APPLE INC VAR% 050318	037833-AG-5	6/11/2015 ^c	4,014.28 ⁿ	4,000.00	100.3570	5/3/2018	4,000.00	100.0000		
2,000	COSTCO WHOLE 5.5%3/15/17	22160K-AC-9	8/2/2016 ^c	2,057.46 ⁿ	2,000.00	102.8730	3/15/2017	2,000.00	100.0000		
2,000	FIFTH THIRD 4.5% 6/1/18	316773-AD-2	6/27/2017 ^c	2,052.14 ⁿ	2,000.00	102.6070	6/1/2018	2,000.00	100.0000		
2,000	METLIFE 6.817% 08/15/18	59156R-AR-9	4/25/2016 ^c	2,026.72 ⁿ	2,000.00	101.3360	8/15/2018	2,000.00	100.0000		
2,000	MIDAMERICAN 5.75% 04/0118	59562V-AT-4	4/28/2017 ^c	2,078.06 ⁿ	2,000.00	103.9030	4/2/2018	2,000.00	100.0000		
2,000	PACIFICOR 5.65% 07/15/18	58/2016 ^c	2,012.20 ⁿ	2,000.00	100.6100	7/16/2018	2,000.00	100.0000			
5,000	WA ST 6.414%10/01/34	940093-Z5-9	9/15/2014 ^c				7/27/2017	5,362.70	107.2540		
				15,267.60	15,000.00			20,362.70			

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

8004-5983
KATHRYN GELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Price	Trade Date	Close Date	CLOSE AMT.	CLOSE PRICE	Realized % Gain or Loss
Report Summary										
				141,276.65	78,201.07			146,832.89	330.29	

Realized Gains or Losses	
Short Term	-555.84
Long Term	225.55

Legend

(I) Security Held Away	Cost/Amount
O - Open Date	E - Pre Effective
C - Covered Tax Lot	O - Post Effective
N - Noncovered Tax Lot	N - Not Average Cost
M - Net Row Contains Both Covered and Noncovered Tax Lots	M - Net Row Combines Tax Lots with Multiple Elections
W - Open Date Reflects Wash Sale Date	

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.

Noncovered Tax Lot-Cost information for this tax lot is not covered by IRS reporting requirements.

Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.

CSI-Cash Standing Instructions indicates the disposition of stock and money on cash accounts

MMF Code-The MMF Code in the report header indicates how money market account balances are treated.

This report is not the official record of your account. However, it has been prepared to assist you with your investment planning and is for informational purposes only. Your Wells Fargo Advisors Client Statement is the official record of your account. Therefore, if there are any discrepancies between this report and your Client Statement, you should rely on the Client Statement and call your local Branch Manager with any questions. Cost data and acquisition dates provided by you are not verified by Wells Fargo Advisors. Transactions requiring tax consideration should be reviewed carefully with your accountant or tax advisor. Unless otherwise indicated, market prices/values are the most recent closing prices available at the time of this report, and are subject to change. Prices may not reflect the value at which securities could be sold. Past performance does not guarantee future results.

Investments in securities and insurance products are:

NOT FDIC - INSURED/NOT BANK GUARANTEED/MAY LOSE VALUE.
Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliate of Wells Fargo & Company.

Closed Holdings

01/01/2017 - 09/05/2018

Prepared For:

8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Closed Price	Realized	% Gain or Loss	Tax
6.0680	AMER FDS EUROPACIFIC	AEPFX	12/11/2012 ^c	251.15 ⁿ	41.3872	12/7/2017	341.82	56.3300	90.67	36.10	LONG
12.7930	F2	AEPFX	12/11/2012 ^c	529.48 ⁿ	41.3872	6/22/2018	693.38	54.2000	163.90	30.95	LONG
0.4040		AEPFX	12/27/2012 ^c	16.51 ⁿ	40.9049	6/22/2018	21.89	54.2000	5.38	32.59	LONG
15.1050		AEPFX	3/27/2013 ^c	637.16 ⁿ	42.1810	6/22/2018	818.69	54.2000	181.53	28.49	LONG
0.3340		AEPFX	12/27/2013 ^c	16.08 ⁿ	48.3604	6/22/2018	18.10	54.2000	2.02	12.56	LONG
15.6160		AEPFX	7/14/2014 ^c	786.28 ⁿ	50.3500	6/22/2018	846.39	54.2000	60.11	7.64	LONG
10.3130		AEPFX	8/19/2014 ^c	515.54 ⁿ	49.9900	6/22/2018	558.96	54.2000	43.42	8.42	LONG
1.9550		AEPFX	10/3/2014 ^c	93.42 ⁿ	47.7800	6/22/2018	105.96	54.2000	12.54	13.42	LONG
1.0770		AEPFX	12/29/2014 ^c	51.16 ⁿ	47.4900	6/22/2018	58.37	54.2000	7.21	14.09	LONG
1.2880		AEPFX	12/24/2015 ^c	58.81 ⁿ	45.6500	6/22/2018	69.81	54.2000	11.00	18.70	LONG
0.8230		AEPFX	12/24/2015 ^c	37.56 ⁿ	45.6500	6/22/2018	44.60	54.2000	7.04	18.74	LONG
3.8550		AEPFX	9/7/2016 ^c	187.62 ⁿ	48.6700	6/22/2018	208.94	54.2000	21.32	11.36	LONG
1.0750		AEPFX	12/23/2016 ^c	48.25 ⁿ	44.3800	6/22/2018	58.26	54.2000	10.01	20.75	LONG
1.3130		AEPFX	6/15/2017 ^c	68.09 ⁿ	51.8600	6/22/2018	71.17	54.2000	3.08	4.52	LONG
0.2070		AEPFX	6/15/2017 ^c	10.74 ⁿ	51.8600	6/22/2018	11.22	54.2000	0.48	4.47	LONG
1.3910		AEPFX	12/22/2017 ^c	77.36 ⁿ	55.6100	6/22/2018	75.39	54.2000	-1.97	-2.55	SHORT
0.5450		AEPFX	12/22/2017 ^c	30.29 ⁿ	55.6100	6/22/2018	29.54	54.2000	-0.75	-2.48	SHORT
1.9860		AEPFX	6/14/2018 ^c	110.24 ⁿ	55.5000	6/22/2018	107.66	54.2000	-2.58	-2.34	SHORT
0.0490		AEPFX	6/14/2018 ^c	2.74 ⁿ	55.5000	6/22/2018	2.66	54.2000	-0.08	-2.92	SHORT
				3,528.48			4,142.81		614.33		
200.1010	BLACKROCK CORE BOND I	BFCMX	12/11/2012 ^c	1,958.99 ⁿ	9.7900	6/22/2018	1,860.93	9.3000	-98.06	-5.01	LONG
0.5380		BFCMX	1/2/2013 ^c	5.27 ⁿ	9.8000	6/22/2018	5.00	9.3000	-0.27	-5.12	LONG
0.8110		BFCMX	2/1/2013 ^c	7.91 ⁿ	9.7500	6/22/2018	7.54	9.3000	-0.37	-4.68	LONG
0.7380		BFCMX	3/1/2013 ^c	7.21 ⁿ	9.7700	6/22/2018	6.86	9.3000	-0.35	-4.85	LONG
0.6750		BFCMX	4/1/2013 ^c	6.59 ⁿ	9.7600	6/22/2018	6.27	9.3000	-0.32	-4.86	LONG
0.5200		BFCMX	5/1/2013 ^c	5.11 ⁿ	9.8300	6/22/2018	4.83	9.3000	-0.28	-5.48	LONG
0.5210		BFCMX	6/3/2013 ^c	5.03 ⁿ	9.6600	6/22/2018	4.84	9.3000	-0.19	-3.78	LONG
0.5100		BFCMX	7/1/2013 ^c	4.82 ⁿ	9.4500	6/22/2018	4.74	9.3000	-0.08	-1.66	LONG
0.5230		BFCMX	8/1/2013 ^c	4.93 ⁿ	9.4300	6/22/2018	4.86	9.3000	-0.07	-1.42	LONG
0.4740		BFCMX	9/3/2013 ^c	4.44 ⁿ	9.3700	6/22/2018	4.40	9.3000	-0.04	-0.90	LONG
7.4560		BFCMX	9/11/2013 ^c	69.34 ⁿ	9.3000	6/22/2018	69.34	9.3000	Miscellaneous		
0.4720		BFCMX	10/1/2013 ^c	4.46 ⁿ	9.4500	6/22/2018	4.38	9.3000	-0.08	-1.79	LONG
0.4860		BFCMX	11/1/2013 ^c	4.62 ⁿ	9.5100	6/22/2018	4.51	9.3000	-0.11	-2.38	LONG
0.5200		BFCMX	1/2/2013 ^c	4.91 ⁿ	9.4500	6/22/2018	4.83	9.3000	-0.08	-1.63	LONG
0.5480		BFCMX	1/2/2014 ^c	5.15 ⁿ	9.3900	6/22/2018	5.09	9.3000	-0.06	-1.17	LONG
0.5850		BFCMX	2/3/2014 ^c	5.57 ⁿ	9.5200	6/22/2018	5.44	9.3000	-0.13	-2.33	LONG

Closed Holdings
01/01/2017 - 09/05/2018

Prepared For:
8581-0743

KATHRYN GELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain or Loss	% Gain or Loss	Term
0.5750	BFMCX	BFMCX	3/3/2014 c	5.49^N	9.3500	6/22/2018	5.34	9.3000	-0.15	-2.73	LONG
0.6010	BFMCX	BFMCX	4/1/2014 c	5.73^N	9.5300	6/22/2018	5.58	9.3000	-0.15	-2.62	LONG
0.6060	BFMCX	BFMCX	5/1/2014 c	5.82^N	9.6000	6/22/2018	5.63	9.3000	-0.19	-3.26	LONG
0.5920	BFMCX	BFMCX	6/2/2014 c	5.73^N	9.6800	6/22/2018	5.50	9.3000	-0.23	-4.01	LONG
0.5530	BFMCX	BFMCX	7/1/2014 c	5.35^N	9.6700	6/22/2018	5.14	9.3000	-0.21	-3.93	LONG
109.5830	BFMCX	BFMCX	7/14/2014 c	1,056.38^N	9.6400	6/22/2018	1,019.13	9.3000	-37.25	-3.53	LONG
0.6790	BFMCX	BFMCX	8/1/2014 c	6.54^N	9.6300	6/22/2018	6.31	9.3000	-0.23	-3.52	LONG
60.1920	BFMCX	BFMCX	8/19/2014 c	582.06^N	9.6700	6/22/2018	559.79	9.3000	-22.27	-3.83	LONG
285.0520	BFMCX	BFMCX	8/28/2014 c	2,571.00^N	9.7000	6/22/2018	2,465.03	9.3000	-105.97	-4.12	LONG
0.8020	BFMCX	BFMCX	9/2/2014 c	7.78^N	9.7000	6/22/2018	7.45	9.3000	-0.33	-4.24	LONG
1.4180	BFMCX	BFMCX	10/1/2014 c	13.66^N	9.6300	6/22/2018	13.18	9.3000	-0.48	-3.51	LONG
111.3490	BFMCX	BFMCX	10/3/2014 c	1,074.52^N	9.6500	6/22/2018	1,035.57	9.3000	-38.95	-3.62	LONG
1.6730	BFMCX	BFMCX	11/3/2014 c	16.19^N	9.6800	6/22/2018	15.55	9.3000	-0.64	-3.95	LONG
1.7290	BFMCX	BFMCX	12/1/2014 c	16.82^N	9.7300	6/22/2018	16.08	9.3000	-0.74	-4.40	LONG
1.7160	BFMCX	BFMCX	1/2/2015 c	16.68^N	9.7200	6/22/2018	15.95	9.3000	-0.73	-4.38	LONG
1.6560	BFMCX	BFMCX	2/2/2015 c	16.43^N	9.8200	6/22/2018	15.40	9.3000	-1.03	-6.27	LONG
1.5230	BFMCX	BFMCX	3/3/2015 c	14.97^N	9.8300	6/22/2018	14.16	9.3000	-0.81	-5.41	LONG
1.5790	BFMCX	BFMCX	4/1/2015 c	13.35^N	9.8500	6/22/2018	12.60	9.3000	-0.75	-5.62	LONG
1.3550	BFMCX	BFMCX	5/1/2015 c	14.58^N	9.7900	6/22/2018	13.84	9.3000	-0.74	-5.08	LONG
1.4890	BFMCX	BFMCX	6/1/2015 c	14.67^N	9.7500	6/22/2018	13.99	9.3000	-0.68	-4.64	LONG
1.5050	BFMCX	BFMCX	7/1/2015 c	15.19^N	9.6200	6/22/2018	14.68	9.3000	-0.51	-3.36	LONG
1.6010	BFMCX	BFMCX	8/3/2015 c	15.45^N	9.6500	6/22/2018	14.89	9.3000	-0.56	-3.62	LONG
1.5010	BFMCX	BFMCX	9/1/2015 c	14.42^N	9.6100	6/22/2018	13.96	9.3000	-0.46	-3.19	LONG
1.4470	BFMCX	BFMCX	10/1/2015 c	13.96^N	9.6500	6/22/2018	13.45	9.3000	-0.51	-3.65	LONG
1.5120	BFMCX	BFMCX	11/2/2015 c	14.58^N	9.6400	6/22/2018	14.06	9.3000	-0.52	-3.57	LONG
1.5870	BFMCX	BFMCX	12/1/2015 c	15.22^N	9.5900	6/22/2018	14.76	9.3000	-0.46	-3.02	LONG
1.2780	BFMCX	BFMCX	12/24/2015 c	12.17^N	9.5200	6/22/2018	11.88	9.3000	-0.29	-2.38	LONG
1.5640	BFMCX	BFMCX	1/4/2016 c	16.51^N	9.5200	6/22/2018	16.12	9.3000	-0.39	-2.36	LONG
1.5930	BFMCX	BFMCX	2/1/2016 c	15.53^N	9.6200	6/22/2018	15.01	9.3000	-0.52	-3.35	LONG
1.6140	BFMCX	BFMCX	3/1/2016 c	15.23^N	9.6700	6/22/2018	14.64	9.3000	-0.59	-3.87	LONG
1.5750	BFMCX	BFMCX	4/1/2016 c	15.22^N	9.7300	6/22/2018	14.54	9.3000	-0.68	-4.47	LONG
1.5340	BFMCX	BFMCX	5/2/2016 c	15.55^N	9.7600	6/22/2018	14.81	9.3000	-0.74	-4.76	LONG
1.5960	BFMCX	BFMCX	6/1/2016 c	15.53^N	9.7300	6/22/2018	14.84	9.3000	-0.69	-4.44	LONG
1.4980	BFMCX	BFMCX	7/1/2016 c	14.80^N	9.8800	6/22/2018	13.93	9.3000	-0.87	-5.88	LONG
1.5180	BFMCX	BFMCX	8/1/2016 c	15.06^N	9.9200	6/22/2018	14.11	9.3000	-0.95	-6.31	LONG
1.5530	BFMCX	BFMCX	9/1/2016 c	15.34^N	9.8800	6/22/2018	14.44	9.3000	-0.90	-5.87	LONG
12.5680	BFMCX	BFMCX	9/7/2016 c	124.30^N	9.8900	6/22/2018	116.89	9.3000	-7.41	-5.96	LONG
1.4390	BFMCX	BFMCX	10/3/2016 c	14.19^N	9.8600	6/22/2018	13.38	9.3000	-0.81	-5.71	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
8581-0743

KATHRYN GELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Closed Price	Realized Gain or Loss	% Gain or Loss	Term
1.6120		BFMCX	1/11/2016 ^c	15.75 ^N	9.7700	6/22/2018	14.99	9.3000	-0.76	-4.83	LONG
1.6840		BFMCX	12/1/2016 ^c	16.08 ^N	9.5500	6/22/2018	15.66	9.3000	-0.42	-2.61	LONG
1.7720		BFMCX	1/3/2017 ^c	16.92 ^N	9.5500	6/22/2018	16.48	9.3000	-0.44	-2.60	LONG
1.7600		BFMCX	2/1/2017 ^c	16.79 ^N	9.5400	6/22/2018	16.37	9.3000	-0.42	-2.50	LONG
1.7860		BFMCX	3/1/2017 ^c	17.13 ^N	9.5900	6/22/2018	16.61	9.3000	-0.52	-3.04	LONG
1.8950		BFMCX	4/3/2017 ^c	18.12 ^N	9.5600	6/22/2018	17.62	9.3000	-0.50	-2.76	LONG
1.8090		BFMCX	5/1/2017 ^c	17.38 ^N	9.6100	6/22/2018	16.82	9.3000	-0.56	-3.22	LONG
1.8250		BFMCX	6/1/2017 ^c	17.63 ^N	9.6600	6/22/2018	16.97	9.3000	-0.66	-3.74	LONG
1.8100		BFMCX	7/3/2017 ^c	17.45 ^N	9.6400	6/22/2018	16.83	9.3000	-0.62	-3.55	SHORT
1.9080		BFMCX	8/1/2017 ^c	18.41 ^N	9.6500	6/22/2018	17.74	9.3000	-0.67	-3.64	SHORT
1.9250		BFMCX	9/1/2017 ^c	18.69 ^N	9.7100	6/22/2018	17.90	9.3000	-0.79	-4.23	SHORT
1.8750		BFMCX	10/2/2017 ^c	18.09 ^N	9.6500	6/22/2018	17.44	9.3000	-0.65	-3.59	SHORT
1.9440		BFMCX	11/1/2017 ^c	18.72 ^N	9.6300	6/22/2018	18.08	9.3000	-0.64	-3.42	SHORT
1.9830		BFMCX	12/1/2017 ^c	19.00 ^N	9.5800	6/22/2018	18.44	9.3000	-0.56	-2.95	SHORT
85.2330		BFMCX	12/7/2017 ^c	818.24 ^N	9.6000	6/22/2018	792.80	9.3000	-25.44	-3.11	SHORT
2.0160		BFMCX	1/2/2018 ^c	19.33 ^N	9.5900	6/22/2018	18.75	9.3000	-0.58	-3.00	SHORT
2.0230		BFMCX	2/1/2018 ^c	19.20 ^N	9.4900	6/22/2018	18.81	9.3000	-0.39	-2.03	SHORT
1.9900		BFMCX	3/1/2018 ^c	18.65 ^N	9.3700	6/22/2018	18.51	9.3000	-0.14	-0.75	SHORT
2.1270		BFMCX	4/2/2018 ^c	19.97 ^N	9.3900	6/22/2018	19.78	9.3000	-0.19	-0.95	SHORT
2.0460		BFMCX	5/1/2018 ^c	19.05 ^N	9.3100	6/22/2018	19.04	9.3000	-0.01	-0.05	SHORT
2.0630		BFMCX	6/1/2018 ^c	19.31 ^N	9.3600	6/22/2018	19.20	9.3000	-0.11	-0.57	SHORT
				<u>9,126.26</u>			<u>8,760.31</u>		<u>-365.95</u>		
30,000	CAPITAL ONE 1.75%		140420-V3-1			1/27/2017 ^c	30,000.00 ^N	100.0000	6/27/2018		
0.02320											
16.1130	COHEN & STEERS RLTY	CSRSX	8/29/2017 ^c	1,072.13 ^N	66.5400	6/22/2018	1,035.41	64.2600	-36.72	-3.42	SHORT
0.0960	SHS	CSRSX	10/2/2017 ^c	63.33 ^N	66.0800	6/22/2018	6.16	64.2600	-0.17	-2.69	SHORT
0.7980		CSRSX	12/7/2017 ^c	50.82 ^N	63.7000	6/22/2018	51.28	64.2600	0.46	0.91	SHORT
0.1080		CSRSX	12/7/2017 ^c	6.87 ^N	63.7000	6/22/2018	6.94	64.2600	0.07	1.02	SHORT
7.1350		CSRSX	12/7/2017 ^c	456.42 ^N	63.9700	6/22/2018	458.51	64.2600	2.09	0.46	SHORT
0.1640		CSRSX	4/2/2018 ^c	9.80 ^N	59.7600	6/22/2018	10.54	64.2600	0.74	7.55	SHORT
				<u>1,602.37</u>			<u>1,568.84</u>		<u>-33.53</u>		
93.8090	DEUTSCHE ENH CMDTY	SKIRX	12/16/2015 ^c	1,067.55 ^N	11.3800	8/29/2017	1,053.47	11.2300	-14.08	-1.32	LONG
0.0450	INSTL	SKIRX	3/24/2016 ^c	0.53 ^N	11.6700	8/29/2017	0.50	11.2300	-0.03	-5.66	LONG
0.1000		SKIRX	6/24/2016 ^c	1.28 ^N	12.7500	8/29/2017	1.12	11.2300	-0.16	-12.50	LONG
17.3950		SKIRX	9/7/2016 ^c	211.52 ^N	12.1600	8/29/2017	195.35	11.2300	-16.17	-7.64	SHORT
3.9510		SKIRX	9/26/2016 ^c	46.38 ^N	11.7400	8/29/2017	44.37	11.2300	-2.01	-4.33	SHORT
3.9080		SKIRX	12/6/2016 ^c	45.64 ^N	11.6800	8/29/2017	43.89	11.2300	-1.75	-3.83	SHORT

Miscellaneous

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

8581-0743

KATHRYN GELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	CLOSE DATE	CLOSE AMT	CLOSE PRICE	REALIZED	% GAIN OR LOSS	TERM
0.1110			3/27/2017 ^c	1.26 ⁿ	11.3340	8/29/2017	1.24	11.2300	-0.02	-1.59	SHORT
0.1190			6/26/2017 ^c	1.29 ⁿ	10.8200	8/29/2017	1.35	11.2300	0.06	4.65	SHORT
				<u>1,375.45</u>			<u>1,341.29</u>		<u>-34.16</u>		
19.000	GOLDMAN 2.25% 042519	38143A-QW-8	4/17/2012 ⁿ	19.0000 ⁿ	100.0000	6/27/2018					
20.4700	GOLDMAN FINL SQ TREAS	FTIXX	12/11/2012 ⁿ	20.47 ⁿ	1.0000	6/22/2018	20.47	1.0000			
104.1500			3/27/2013 ⁿ	104.15 ⁿ	1.0000	6/22/2018	104.15	1.0000			
55.6900			9/11/2013 ⁿ	55.69 ⁿ	1.0000	6/22/2018	55.69	1.0000			
199.6800			7/14/2014 ⁿ	199.68 ⁿ	1.0000	6/22/2018	199.68	1.0000			
99.9300			8/19/2014 ⁿ	99.93 ⁿ	1.0000	6/22/2018	99.93	1.0000			
89.3900			4/28/2015 ⁿ	89.39 ⁿ	1.0000	6/22/2018	89.39	1.0000			
62			8/17/2015 ⁿ	62.00 ⁿ	1.0000	6/22/2018	62.00	1.0000			
46.1200			9/8/2016 ⁿ	46.12 ⁿ	1.0000	6/22/2018	46.12	1.0000			
0.3100			1/3/2017 ⁿ	0.31 ⁿ	1.0000	6/22/2018	0.31	1.0000			
0.3100			2/1/2017 ⁿ	0.31 ⁿ	1.0000	6/22/2018	0.31	1.0000			
0.2800			3/1/2017 ⁿ	0.28 ⁿ	1.0000	6/22/2018	0.28	1.0000			
0.3100			4/3/2017 ⁿ	0.31 ⁿ	1.0000	6/22/2018	0.31	1.0000			
0.3000			5/1/2017 ⁿ	0.30 ⁿ	1.0000	6/22/2018	0.30	1.0000			
0.3100			6/1/2017 ⁿ	0.31 ⁿ	1.0000	6/22/2018	0.31	1.0000			
0.3100			7/3/2017 ⁿ	0.31 ⁿ	1.0000	6/22/2018	0.31	1.0000			
0.6200			8/1/2017 ⁿ	0.62 ⁿ	1.0000	6/22/2018	0.62	1.0000			
0.6200			9/1/2017 ⁿ	0.62 ⁿ	1.0000	6/22/2018	0.62	1.0000			
0.6000			10/2/2017 ⁿ	0.60 ⁿ	1.0000	6/22/2018	0.60	1.0000			
0.6200			11/1/2017 ⁿ	0.62 ⁿ	1.0000	6/22/2018	0.62	1.0000			
0.6000			12/1/2017 ⁿ	0.60 ⁿ	1.0000	6/22/2018	0.60	1.0000			
61.8500			12/7/2017 ⁿ	61.85 ⁿ	1.0000	6/22/2018	61.85	1.0000			
0.6200			1/2/2018 ⁿ	0.62 ⁿ	1.0000	6/22/2018	0.62	1.0000			
0.6200			2/1/2018 ⁿ	0.62 ⁿ	1.0000	6/22/2018	0.62	1.0000			
0.7300			3/1/2018 ⁿ	0.73 ⁿ	1.0000	6/22/2018	0.73	1.0000			
0.9300			4/2/2018 ⁿ	0.93 ⁿ	1.0000	6/22/2018	0.93	1.0000			
0.9000			5/1/2018 ⁿ	0.90 ⁿ	1.0000	6/22/2018	0.90	1.0000			
0.9300			6/1/2018 ⁿ	0.93 ⁿ	1.0000	6/22/2018	0.93	1.0000			
			<u>749.20</u>				<u>749.20</u>				
141.9230	INVESTITURE GBL REAL EST	ARGYX	8/17/2015 ^c	1,853.51 ⁿ	13.0600	6/22/2018	1,907.44	13.4400	53.93	2.91	LONG
0.5500	ARGYX	ARGYX	9/18/2015 ^c	6.77 ⁿ	12.3100	6/22/2018	7.39	13.4400	0.62	9.16	LONG
1.4360	ARGYX	ARGYX	12/14/2015 ^c	17.51 ⁿ	12.1900	6/22/2018	19.30	13.4400	1.79	10.22	LONG
0.5300	ARGYX	ARGYX	3/18/2016 ^c	6.84 ⁿ	12.9100	6/22/2018	7.12	13.4400	0.28	4.09	LONG

Closed Holdings
01/01/2017 - 09/05/2018Prepared For:
8581-0743KATHRYN ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Cross Date	Close Amount	Realized Price	% Gain or Loss	Term
0.6570			6/17/2016 ^c	8.47 ⁿ	12.9000	6/22/2018	8.83	13.4400	0.36	4.25
0.6280	ARGYX	ARGYX	9/16/2016 ^c	8.33 ⁿ	13.2600	6/22/2018	8.44	13.4400	0.11	1.32
4.0510			12/14/2016 ^c	50.07 ⁿ	12.3600	6/22/2018	54.44	13.4400	4.37	8.73
0.5130	ARGYX	ARGYX	3/17/2017 ^c	6.47 ⁿ	12.6200	6/22/2018	6.89	13.4400	0.42	6.49
0.4910			6/16/2017 ^c	6.49 ⁿ	13.2100	6/22/2018	6.59	13.4400	0.10	1.54
0.4840	ARGYX	ARGYX	9/15/2017 ^c	6.51 ⁿ	13.4600	6/22/2018	6.50	13.4400	-0.01	-0.15
14.5740			12/7/2017 ^c	196.17 ⁿ	13.4600	6/22/2018	195.90	13.4400	-0.27	-0.14
2.2610	ARGYX	ARGYX	12/14/2017 ^c	30.28 ⁿ	13.3900	6/22/2018	30.39	13.4400	0.11	0.36
1.0070			12/14/2017 ^c	13.48 ⁿ	13.3900	6/22/2018	13.53	13.4400	0.05	0.37
0.5670	ARGYX	ARGYX	3/23/2018 ^c	7.31 ⁿ	12.9000	6/22/2018	7.62	13.4400	0.31	4.24
0.9150			6/22/2018 ^c	12.20 ⁿ	13.3400	6/22/2018	12.31	13.4400	0.11	0.90
			2,230.41			2,292.69		62.28		
6.2080	JOHN HANCOCK GLB SHI	JGYIX	3/27/2013 ^c	66.37 ⁿ	10.6900	12/7/2017	73.13	11.7800	6.76	10.19
86.1560			3/27/2013 ^c	921.00 ⁿ	10.6900	6/22/2018	967.53	11.2300	46.53	5.05
1.2510	JGYIX	JGYIX	6/26/2013 ^c	13.26 ⁿ	10.6000	6/22/2018	14.04	11.2300	0.78	5.88
51.6490			9/11/2013 ^c	584.15 ⁿ	11.3100	6/22/2018	580.02	11.2300	-4.13	-0.71
0.8900	JGYIX	JGYIX	9/26/2013 ^c	10.16 ⁿ	11.4100	6/22/2018	9.99	11.2300	-0.17	-1.67
5.5610			12/17/2013 ^c	62.40 ⁿ	11.2200	6/22/2018	62.45	11.2300	0.05	0.08
0.9130	JGYIX	JGYIX	12/17/2013 ^c	10.24 ⁿ	11.2200	6/22/2018	10.25	11.2300	0.01	0.10
0.7640			3/26/2014 ^c	8.99 ⁿ	11.7600	6/22/2018	8.57	11.2300	-0.42	-4.67
1.9150	JGYIX	JGYIX	6/26/2014 ^c	23.69 ⁿ	12.3700	6/22/2018	21.50	11.2300	-2.19	-9.24
58.4700			7/14/2014 ^c	723.28 ⁿ	12.3700	6/22/2018	656.62	11.2300	-66.66	-9.22
43.8220	JGYIX	JGYIX	8/19/2014 ^c	534.63 ⁿ	12.2000	6/22/2018	492.13	11.2300	-42.50	-7.95
1.5820			9/26/2014 ^c	18.87 ⁿ	11.9300	6/22/2018	17.76	11.2300	-1.11	-5.88
2.0420	JGYIX	JGYIX	12/10/2014 ^c	24.52 ⁿ	12.0100	6/22/2018	22.93	11.2300	-1.59	-6.48
10.0890			12/17/2014 ^c	112.09 ⁿ	11.1100	6/22/2018	113.30	11.2300	1.21	1.08
2.2010	JGYIX	JGYIX	12/17/2014 ^c	24.45 ⁿ	11.1100	6/22/2018	24.71	11.2300	0.26	1.06
1.4700			3/26/2015 ^c	16.87 ⁿ	11.4800	6/22/2018	16.50	11.2300	-0.37	-2.19
3.3270	JGYIX	JGYIX	6/26/2015 ^c	37.66 ⁿ	11.3200	6/22/2018	37.36	11.2300	-0.30	-0.80
4.0200			8/17/2015 ^c	45.06 ⁿ	11.2100	6/22/2018	45.14	11.2300	0.08	0.18
2.3670	JGYIX	JGYIX	9/28/2015 ^c	24.50 ⁿ	10.3500	6/22/2018	26.58	11.2300	2.08	8.49
13.5930			12/17/2015 ^c	136.88 ⁿ	10.0700	6/22/2018	152.66	11.2300	15.78	11.53
2.0950	JGYIX	JGYIX	12/17/2015 ^c	21.10 ⁿ	10.0700	6/22/2018	23.52	11.2300	2.42	11.47
2.4680			3/29/2016 ^c	25.67 ⁿ	10.4000	6/22/2018	27.72	11.2300	2.05	7.99
3.8900	JGYIX	JGYIX	6/28/2016 ^c	39.33 ⁿ	10.1100	6/22/2018	43.69	11.2300	4.36	11.09
4.5870			9/7/2016 ^c	49.59 ⁿ	10.8100	6/22/2018	51.52	11.2300	1.93	3.89
1.6090	JGYIX	JGYIX	9/27/2016 ^c	16.94 ⁿ	10.5300	6/22/2018	18.07	11.2300	1.13	6.67
1.8730			12/19/2016 ^c	19.40 ⁿ	10.3600	6/22/2018	21.03	11.2300	1.63	8.40

Closed Holdings
01/01/2017 - 09/05/2018Prepared For:
8581-0743KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain or Loss	% Gain or Loss	Term
1.8340	JGYIX	JPMORGAN CORE BOND I	3/27/2013 ^c	20.10 ⁿ	10.9600	6/22/2018	20.60	11.2300	0.50	2.49	LONG
3.9730	JGYIX	WOBDX	6/27/2017 ^c	44.85 ⁿ	11.2900	6/22/2018	44.62	11.2300	-0.23	-0.51	SHORT
1.8510	JGYIX	WOBDX	9/26/2017 ^c	21.30 ⁿ	11.5100	6/22/2018	20.79	11.2300	-0.51	-2.39	SHORT
1.9210	JGYIX	WOBDX	12/18/2017 ^c	22.57 ⁿ	11.7500	6/22/2018	21.58	11.2300	-0.99	-4.39	SHORT
1.7270	JGYIX	WOBDX	3/27/2018 ^c	19.10 ⁿ	11.0600	6/22/2018	19.41	11.2300	0.31	1.62	SHORT
				3,699.02			3,665.72		-33.30		
36.8320	JPMORGAN CORE BOND I	WOBDX	4/1/2013 ^c	443.08 ⁿ	12.0300	6/22/2018	414.72	11.2600	-28.36	-6.40	LONG
0.7420	WOBDX	WOBDX	5/1/2013 ^c	8.90 ⁿ	12.0000	6/22/2018	8.35	11.2600	-0.55	-6.18	LONG
0.7390	WOBDX	WOBDX	6/3/2013 ^c	8.92 ⁿ	12.0700	6/22/2018	8.32	11.2600	-0.60	-6.73	LONG
0.7530	WOBDX	WOBDX	7/1/2013 ^c	8.94 ⁿ	11.8700	6/22/2018	8.47	11.2600	-0.47	-5.26	LONG
0.7980	WOBDX	WOBDX	8/1/2013 ^c	9.31 ⁿ	11.6700	6/22/2018	8.98	11.2600	-0.33	-3.54	LONG
0.8020	WOBDX	WOBDX	9/3/2013 ^c	9.33 ⁿ	11.6400	6/22/2018	9.03	11.2600	-0.30	-3.22	LONG
0.8080	WOBDX	WOBDX	10/1/2013 ^c	9.35 ⁿ	11.5700	6/22/2018	9.09	11.2600	-0.26	-2.78	LONG
0.5710	WOBDX	WOBDX	11/6/2013 ^c	6.64 ⁿ	11.6300	6/22/2018	6.42	11.2600	-0.22	-3.31	LONG
0.6580	WOBDX	WOBDX	11/1/2013 ^c	7.68 ⁿ	11.6800	6/22/2018	7.40	11.2600	-0.28	-3.65	LONG
0.5730	WOBDX	WOBDX	12/22/2013 ^c	6.67 ⁿ	11.6400	6/22/2018	6.45	11.2600	-0.22	-3.30	LONG
1.3710	WOBDX	WOBDX	12/13/2013 ^c	15.81 ⁿ	11.5300	6/22/2018	15.43	11.2600	-0.38	-2.40	LONG
0.0260	WOBDX	WOBDX	12/13/2013 ^c	0.30 ⁿ	11.5300	6/22/2018	0.29	11.2600	-0.01	-3.33	LONG
0.7220	WOBDX	WOBDX	1/2/2014 ^c	8.28 ⁿ	11.4700	6/22/2018	8.12	11.2600	-0.16	-1.93	LONG
0.4920	WOBDX	WOBDX	2/3/2014 ^c	5.77 ⁿ	11.6100	6/22/2018	5.53	11.2600	-0.18	-3.15	LONG
0.5140	WOBDX	WOBDX	3/3/2014 ^c	5.98 ⁿ	11.6400	6/22/2018	5.78	11.2600	-0.20	-3.34	LONG
0.5840	WOBDX	WOBDX	4/1/2014 ^c	6.77 ⁿ	11.5900	6/22/2018	6.57	11.2600	-0.20	-2.95	LONG
0.4930	WOBDX	WOBDX	5/1/2014 ^c	5.74 ⁿ	11.6500	6/22/2018	5.55	11.2600	-0.19	-3.31	LONG
0.5570	WOBDX	WOBDX	6/2/2014 ^c	6.54 ⁿ	11.7400	6/22/2018	6.27	11.2600	-0.27	-4.13	LONG
0.6260	WOBDX	WOBDX	7/1/2014 ^c	7.33 ⁿ	11.7100	6/22/2018	7.04	11.2600	-0.29	-3.96	LONG
142.6030	WOBDX	WOBDX	7/14/2014 ^c	1,668.45 ⁿ	11.7000	6/22/2018	1,605.73	11.2600	-62.72	-3.76	LONG
0.9370	WOBDX	WOBDX	8/1/2014 ^c	10.94 ⁿ	11.6700	6/22/2018	10.55	11.2600	-0.39	-3.56	LONG
74.3900	WOBDX	WOBDX	8/19/2014 ^c	873.34 ⁿ	11.7400	6/22/2018	837.64	11.2600	-35.70	-4.09	LONG
0.9800	WOBDX	WOBDX	9/2/2014 ^c	11.53 ⁿ	11.7600	6/22/2018	11.03	11.2600	-0.50	-4.34	LONG
0.9910	WOBDX	WOBDX	10/1/2014 ^c	11.56 ⁿ	11.6600	6/22/2018	11.15	11.2600	-0.41	-3.55	LONG
203.2680	WOBDX	WOBDX	10/3/2014 ^c	2,378.24 ⁿ	11.7000	6/22/2018	2,288.85	11.2600	-89.39	-3.76	LONG
1.4030	WOBDX	WOBDX	11/3/2014 ^c	16.46 ⁿ	11.7300	6/22/2018	15.79	11.2600	-0.67	-4.07	LONG
1.3400	WOBDX	WOBDX	12/1/2014 ^c	15.80 ⁿ	11.7900	6/22/2018	15.08	11.2600	-0.72	-4.56	LONG
0.7990	WOBDX	WOBDX	12/15/2014 ^c	9.43 ⁿ	11.8000	6/22/2018	8.99	11.2600	-0.44	-4.67	LONG
0.0660	WOBDX	WOBDX	12/15/2014 ^c	0.78 ⁿ	11.8000	6/22/2018	0.74	11.2600	-0.04	-5.13	LONG
1.7030	WOBDX	WOBDX	12/31/2014 ^c	19.99 ⁿ	11.7400	6/22/2018	19.17	11.2600	-0.82	-4.10	LONG
1.2170	WOBDX	WOBDX	1/30/2015 ^c	14.51 ⁿ	11.9200	6/22/2018	13.70	11.2600	-0.81	-5.58	LONG
1.1110	WOBDX	WOBDX	2/26/2015 ^c	13.15 ⁿ	11.8400	6/22/2018	12.51	11.2600	-0.64	-4.87	LONG

Closed Holdings
01/01/2017 - 09/05/2018Prepared For:
8581-0743KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Realized Price	% Gain or Loss	Term
1.1690	WOBDX	WOBDX	3/30/2015 ^c	13.87 ⁿ	11.8600	6/22/2018	13.16	11.2600	-0.71	-5.12
1.2310	WOBDX	WOBDX	4/29/2015 ^c	14.59 ⁿ	11.8500	6/22/2018	13.86	11.2600	-0.73	-5.00
1.3030	WOBDX	WOBDX	5/28/2015 ^c	15.31 ⁿ	11.7500	6/22/2018	14.67	11.2600	-0.64	-4.18
1.5040	WOBDX	WOBDX	6/29/2015 ^c	17.43 ⁿ	11.5900	6/22/2018	16.93	11.2600	-0.50	-2.87
1.3170	WOBDX	WOBDX	7/30/2015 ^c	15.37 ⁿ	11.6700	6/22/2018	14.83	11.2600	-0.54	-3.51
0.9570	WOBDX	WOBDX	8/28/2015 ^c	11.18 ⁿ	11.6800	6/22/2018	10.77	11.2600	-0.41	-3.67
0.9560	WOBDX	WOBDX	9/29/2015 ^c	11.20 ⁿ	11.7200	6/22/2018	10.76	11.2600	-0.44	-3.93
1.1250	WOBDX	WOBDX	10/29/2015 ^c	13.17 ⁿ	11.7100	6/22/2018	12.66	11.2600	-0.51	-3.87
1.0090	WOBDX	WOBDX	11/27/2015 ^c	11.74 ⁿ	11.6300	6/22/2018	11.36	11.2600	-0.38	-3.24
0.9040	WOBDX	WOBDX	12/14/2015 ^c	10.52 ⁿ	11.6400	6/22/2018	10.18	11.2600	-0.34	-3.23
0.0550	WOBDX	WOBDX	12/14/2015 ^c	0.64 ⁿ	11.6400	6/22/2018	0.61	11.2600	-0.03	-4.69
0.9800	WOBDX	WOBDX	12/30/2015 ^c	11.29 ⁿ	11.5200	6/22/2018	11.03	11.2600	-0.26	-2.30
0.8870	WOBDX	WOBDX	1/28/2016 ^c	10.33 ⁿ	11.6400	6/22/2018	9.98	11.2600	-0.35	-3.39
0.8800	WOBDX	WOBDX	2/26/2016 ^c	10.35 ⁿ	11.7600	6/22/2018	9.91	11.2600	-0.44	-4.25
1.0070	WOBDX	WOBDX	3/30/2016 ^c	11.85 ⁿ	11.7700	6/22/2018	11.34	11.2600	-0.51	-4.30
0.9940	WOBDX	WOBDX	4/28/2016 ^c	11.87 ⁿ	11.7800	6/22/2018	11.35	11.2600	-0.52	-4.38
1.0400	WOBDX	WOBDX	5/27/2016 ^c	11.90 ⁿ	11.7900	6/22/2018	11.36	11.2600	-0.54	-4.54
5.1890	WOBDX	WOBDX	6/29/2016 ^c	10.43 ⁿ	12.0000	6/22/2018	9.78	11.2600	-0.65	-6.23
1.0120	WOBDX	WOBDX	7/28/2016 ^c	11.94 ⁿ	12.0100	6/22/2018	11.19	11.2600	-0.75	-6.28
1.0250	WOBDX	WOBDX	8/30/2016 ^c	12.47 ⁿ	11.9900	6/22/2018	11.71	11.2600	-0.76	-6.09
1.0940	WOBDX	WOBDX	9/7/2016 ^c	62.32 ⁿ	12.0100	6/22/2018	58.43	11.2600	-3.89	-6.24
1.8240	WOBDX	WOBDX	9/29/2016 ^c	12.12 ⁿ	11.9800	6/22/2018	11.39	11.2600	-0.73	-6.02
0.1020	WOBDX	WOBDX	10/28/2016 ^c	12.14 ⁿ	11.8400	6/22/2018	11.54	11.2600	-0.60	-4.94
1.1580	WOBDX	WOBDX	11/29/2016 ^c	12.67 ⁿ	11.5800	6/22/2018	12.32	11.2600	-0.35	-2.76
1.1330	WOBDX	WOBDX	12/15/2016 ^c	20.83 ⁿ	11.4200	6/22/2018	20.54	11.2600	-0.29	-1.39
1.3080	WOBDX	WOBDX	12/29/2016 ^c	13.26 ⁿ	11.4500	6/22/2018	13.04	11.2600	-0.22	-1.66
1.1720	WOBDX	WOBDX	1/30/2017 ^c	10.72 ⁿ	11.4800	6/22/2018	10.51	11.2600	-0.21	-1.96
1.0530	WOBDX	WOBDX	2/27/2017 ^c	12.18 ⁿ	11.5700	6/22/2018	11.85	11.2600	-0.33	-2.71
1.1640	WOBDX	WOBDX	3/30/2017 ^c	13.06 ⁿ	11.5300	6/22/2018	12.76	11.2600	-0.30	-2.30
1.1130	WOBDX	WOBDX	4/27/2017 ^c	15.13 ⁿ	11.5700	6/22/2018	14.73	11.2600	-0.40	-2.64
1.1380	WOBDX	WOBDX	5/30/2017 ^c	13.62 ⁿ	11.6200	6/22/2018	13.19	11.2600	-0.43	-3.16
1.1470	WOBDX	WOBDX	6/29/2017 ^c	13.27 ⁿ	11.6600	6/22/2018	12.81	11.2600	-0.46	-3.47
1.1373	WOBDX	WOBDX	7/28/2017 ^c	13.54 ⁿ	11.6300	6/22/2018	13.10	11.2600	-0.44	-3.25
1.1380	WOBDX	WOBDX	8/30/2017 ^c	13.04 ⁿ	11.7200	6/22/2018	12.53	11.2600	-0.51	-3.91
1.1470	WOBDX	WOBDX	9/28/2017 ^c	13.24 ⁿ	11.6300	6/22/2018	12.81	11.2600	-0.43	-3.25
1.1373	WOBDX	WOBDX	10/30/2017 ^c	13.31 ⁿ	11.6000	6/22/2018	12.91	11.2600	-0.40	-3.01
1.1810	WOBDX	WOBDX	11/29/2017 ^c	13.73 ⁿ	11.6300	6/22/2018	13.30	11.2600	-0.43	-3.13

Closed Holdings 01/01/2017 - 09/05/2018

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Closed Date	CLOSE AMT	CLOSE PRICE	% Realized Gain or Loss	% Gain or Loss	Term
52.1500	WOBDX	WOBDX	12/14/2017 ^c	605.98 ^N	11.6200	6/22/2018	587.36	11.2600	-18.62	-3.07	SHORT
1.2950	WOBDX	WOBDX	12/14/2017 ^c	15.04 ^N	11.6100	6/22/2018	14.58	11.2600	-0.46	-3.06	SHORT
0.0270	WOBDX	WOBDX	12/14/2017 ^c	0.31 ^N	11.6100	6/22/2018	0.30	11.2600	-0.01	-3.23	SHORT
1.3210	WOBDX	WOBDX	12/28/2017 ^c	15.28 ^N	11.5700	6/22/2018	14.88	11.2600	-0.40	-2.62	SHORT
1.1510	WOBDX	WOBDX	13/01/2018 ^c	13.18 ^N	11.4500	6/22/2018	12.96	11.2600	-0.22	-1.67	SHORT
1.2450	WOBDX	WOBDX	2/27/2018 ^c	14.08 ^N	11.3200	6/22/2018	14.02	11.2600	-0.07	-0.50	SHORT
1.3060	WOBDX	WOBDX	3/28/2018 ^c	14.81 ^N	11.3400	6/22/2018	14.71	11.2600	-0.10	-0.68	SHORT
1.5390	WOBDX	WOBDX	4/27/2018 ^c	17.27 ^N	11.2200	6/22/2018	17.34	11.2600	0.07	0.41	SHORT
1.3460	WOBDX	WOBDX	5/30/2018 ^c	15.25 ^N	11.3300	6/22/2018	15.17	11.2600	-0.08	-0.52	SHORT
4.6960	JPMORGAN S/C EQUITY FD	VSEIX	9/7/2016 ^c	238.19 ^N	50.7200	12/7/2017	281.70	59.9900	43.51	18.27	LONG
40.9110	JPMORGAN S/C EQUITY FD	VSEIX	9/7/2016 ^c	2,075.02 ^N	50.5200	6/22/2018	2,513.56	61.4400	438.54	21.13	LONG
7.6150	VSEIX	VSEIX	9/8/2016 ^c	384.58 ^N	50.5000	6/22/2018	467.87	61.4400	83.29	21.66	LONG
1.2600	VSEIX	VSEIX	12/15/2016 ^c	66.26 ^N	52.5800	6/22/2018	77.41	61.4400	11.15	16.83	LONG
0.2180	VSEIX	VSEIX	12/21/2016 ^c	11.58 ^N	53.0000	6/22/2018	13.39	61.4400	1.81	15.63	LONG
1.7630	VSEIX	VSEIX	12/14/2017 ^c	100.36 ^N	56.9200	6/22/2018	108.32	61.4400	7.96	7.93	SHORT
0.7570	VSEIX	VSEIX	12/14/2017 ^c	43.08 ^N	56.9200	6/22/2018	46.51	61.4400	3.43	7.96	SHORT
0.2140	VSEIX	VSEIX	12/21/2017 ^c	12.23 ^N	57.2000	6/22/2018	13.16	61.4400	0.93	7.60	SHORT
				<u>2,931.30</u>			<u>3,521.92</u>		<u>590.62</u>		
96.4130	MAINSTAY MACKAY H/Y BD	MHYX	3/27/2013 ^c	597.75 ^N	6.2000	6/22/2018	542.80	5.6300	-54.95	-9.19	LONG
0.6250	MHYX	MHYX	4/1/2013 ^c	3.85 ^N	6.1600	6/22/2018	3.51	5.6300	-0.34	-8.83	LONG
0.6240	MHYX	MHYX	5/1/2013 ^c	3.88 ^N	6.2200	6/22/2018	3.51	5.6300	-0.37	-9.54	LONG
0.6330	MHYX	MHYX	6/3/2013 ^c	3.90 ^N	6.1600	6/22/2018	3.56	5.6300	-0.34	-8.72	LONG
0.6570	MHYX	MHYX	6/28/2013 ^c	3.92 ^N	5.9700	6/22/2018	3.69	5.6300	-0.23	-5.87	LONG
0.6540	MHYX	MHYX	8/1/2013 ^c	3.95 ^N	6.0400	6/22/2018	3.68	5.6300	-0.27	-6.84	LONG
0.6640	MHYX	MHYX	9/3/2013 ^c	3.97 ^N	5.9800	6/22/2018	3.73	5.6300	-0.24	-6.05	LONG
0.6650	MHYX	MHYX	9/30/2013 ^c	3.98 ^N	6.0000	6/22/2018	3.74	5.6300	-0.25	-6.27	LONG
0.6610	MHYX	MHYX	1/11/2013 ^c	4.02 ^N	6.0800	6/22/2018	3.72	5.6300	-0.30	-7.46	LONG
0.6670	MHYX	MHYX	1/22/2013 ^c	4.04 ^N	6.0600	6/22/2018	3.75	5.6300	-0.29	-7.18	LONG
0.6740	MHYX	MHYX	1/27/2013 ^c	4.07 ^N	6.0400	6/22/2018	3.79	5.6300	-0.28	-6.88	LONG
0.6330	MHYX	MHYX	2/3/2014 ^c	3.84 ^N	6.0700	6/22/2018	3.56	5.6300	-0.28	-7.29	LONG
0.6300	MHYX	MHYX	3/3/2014 ^c	3.86 ^N	6.1300	6/22/2018	3.54	5.6300	-0.32	-8.29	LONG
0.6370	MHYX	MHYX	3/31/2014 ^c	3.89 ^N	6.1100	6/22/2018	3.58	5.6300	-0.31	-7.97	LONG
0.6390	MHYX	MHYX	5/1/2014 ^c	3.91 ^N	6.1200	6/22/2018	3.59	5.6300	-0.32	-8.18	LONG
0.6410	MHYX	MHYX	6/2/2014 ^c	3.93 ^N	6.1300	6/22/2018	3.60	5.6300	-0.33	-8.40	LONG
0.6430	MHYX	MHYX	6/30/2014 ^c	3.95 ^N	6.1400	6/22/2018	3.62	5.6300	-0.33	-8.35	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
8581-0743

KATHRYN G ELLIS (IRAs)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	Realized Gain or Loss	% Gain or Loss	Term
55,443.00	MHYIX	MHYIX	7/14/2014 c	340.42 ^N	6.1400	6/22/2018	312.15	5.6300	-28.27	-8.30	LONG
0.9700	MHYIX	MHYIX	8/1/2014 c	5.86 ^N	6.0400	6/22/2018	5.46	5.6300	-0.40	-6.83	LONG
33,408.00	MHYIX	MHYIX	8/19/2014 c	203.12 ^N	6.0800	6/22/2018	188.09	5.6300	-15.03	-7.40	LONG
1,1600	MHYIX	MHYIX	9/2/2014 c	7.04 ^N	6.0700	6/22/2018	6.53	5.6300	-0.51	-7.24	LONG
1,1980	MHYIX	MHYIX	9/30/2014 c	7.08 ^N	5.9100	6/22/2018	6.74	5.6300	-0.34	-4.80	LONG
1,1990	MHYIX	MHYIX	11/3/2014 c	7.12 ^N	5.9400	6/22/2018	6.75	5.6300	-0.37	-5.20	LONG
1,2200	MHYIX	MHYIX	12/1/2014 c	7.16 ^N	5.8700	6/22/2018	6.86	5.6300	-0.30	-4.19	LONG
1,2830	MHYIX	MHYIX	12/17/2014 c	7.20 ^N	5.6100	6/22/2018	7.22	5.6300	0.02	0.28	LONG
1,1430	MHYIX	MHYIX	2/2/2015 c	6.56 ^N	5.7400	6/22/2018	6.43	5.6300	-0.13	-1.98	LONG
1,1300	MHYIX	MHYIX	3/3/2015 c	6.60 ^N	5.8400	6/22/2018	6.36	5.6300	-0.24	-3.64	LONG
1,1450	MHYIX	MHYIX	4/1/2015 c	6.63 ^N	5.7900	6/22/2018	6.44	5.6300	-0.19	-2.87	LONG
1,1420	MHYIX	MHYIX	5/1/2015 c	6.67 ^N	5.8400	6/22/2018	6.42	5.6300	-0.25	-3.75	LONG
1,1470	MHYIX	MHYIX	6/1/2015 c	6.70 ^N	5.8400	6/22/2018	6.45	5.6300	-0.25	-3.73	LONG
1,1700	MHYIX	MHYIX	7/1/2015 c	6.74 ^N	5.7600	6/22/2018	6.58	5.6300	-0.16	-2.37	LONG
1,1850	MHYIX	MHYIX	8/3/2015 c	6.78 ^N	5.7200	6/22/2018	6.67	5.6300	-0.11	-1.62	LONG
224,914.00	MHYIX	MHYIX	8/17/2015 c	1,275.26 ^N	5.6700	6/22/2018	1,266.35	5.6300	-8.91	-0.70	LONG
2,4530	MHYIX	MHYIX	9/1/2015 c	13.76 ^N	5.6100	6/22/2018	13.81	5.6300	0.05	0.36	LONG
2,5210	MHYIX	MHYIX	10/1/2015 c	13.84 ^N	5.4900	6/22/2018	14.19	5.6300	0.35	2.53	LONG
2,4950	MHYIX	MHYIX	11/2/2015 c	13.92 ^N	5.5800	6/22/2018	14.04	5.6300	0.12	0.86	LONG
2,5580	MHYIX	MHYIX	12/1/2015 c	13.99 ^N	5.4700	6/22/2018	14.40	5.6300	0.41	2.93	LONG
2,6550	MHYIX	MHYIX	12/17/2015 c	14.07 ^N	5.3000	6/22/2018	14.94	5.6300	0.87	6.18	LONG
2,7320	MHYIX	MHYIX	2/1/2016 c	14.15 ^N	5.1800	6/22/2018	15.38	5.6300	1.23	8.69	LONG
2,7490	MHYIX	MHYIX	3/1/2016 c	14.24 ^N	5.1800	6/22/2018	15.47	5.6300	1.23	8.64	LONG
2,6820	MHYIX	MHYIX	4/1/2016 c	14.32 ^N	5.3400	6/22/2018	15.10	5.6300	0.78	5.45	LONG
2,6200	MHYIX	MHYIX	5/2/2016 c	14.41 ^N	5.5000	6/22/2018	14.75	5.6300	0.34	2.36	LONG
2,6300	MHYIX	MHYIX	6/1/2016 c	14.49 ^N	5.5100	6/22/2018	14.80	5.6300	0.31	2.14	LONG
2,6250	MHYIX	MHYIX	7/1/2016 c	14.57 ^N	5.5500	6/22/2018	14.78	5.6300	0.21	1.44	LONG
2,5930	MHYIX	MHYIX	8/1/2016 c	14.65 ^N	5.6500	6/22/2018	14.60	5.6300	-0.05	-0.34	LONG
2,5760	MHYIX	MHYIX	9/1/2016 c	14.73 ^N	5.7300	6/22/2018	14.47	5.6300	-0.26	-1.77	LONG
2,5770	MHYIX	MHYIX	10/3/2016 c	14.51 ^N	5.7600	6/22/2018	14.18	5.6300	-0.33	-2.27	LONG
2,5190	MHYIX	MHYIX	11/1/2016 c	14.59 ^N	5.7500	6/22/2018	14.28	5.6300	-0.31	-2.12	LONG
2,5370	MHYIX	MHYIX	12/1/2016 c	14.66 ^N	5.6900	6/22/2018	14.50	5.6300	-0.16	-1.09	LONG
2,5710	MHYIX	MHYIX	12/16/2016 c	14.74 ^N	5.7200	6/22/2018	14.51	5.6300	-0.23	-1.56	LONG
2,5600	MHYIX	MHYIX	21/2017 c	14.82 ^N	5.7900	6/22/2018	14.41	5.6300	-0.41	-2.77	LONG
2,5600	MHYIX	MHYIX	3/1/2017 c	14.90 ^N	5.8200	6/22/2018	14.41	5.6300	-0.49	-3.29	LONG
2,5960	MHYIX	MHYIX	4/3/2017 c	14.98 ^N	5.7700	6/22/2018	14.62	5.6300	-0.36	-2.40	LONG
2,5970	MHYIX	MHYIX	5/1/2017 c	15.06 ^N	5.8000	6/22/2018	14.62	5.6300	-0.44	-2.92	LONG
2,6060	MHYIX	MHYIX	6/1/2017 c	15.14 ^N	5.8100	6/22/2018	14.67	5.6300	-0.47	-3.10	LONG

Closed Holdings
01/01/2017 - 09/05/2018

Prepared For:
8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Realized Price	% Gain or Loss	Term	
2,6420	MHYIX	MHYIX	7/3/2017 ^c	15.22 ^N	5.7600	6/22/2018	14.88	5.6300	-0.34	-2.23	SHORT
2,6380	MHYIX	MHYIX	8/1/2017 ^c	15.30 ^N	5.8000	6/22/2018	14.85	5.6300	-0.45	-2.94	SHORT
2,6630	MHYIX	MHYIX	9/1/2017 ^c	15.39 ^N	5.7800	6/22/2018	14.99	5.6300	-0.40	-2.60	SHORT
2,6670	MHYIX	MHYIX	10/2/2017 ^c	15.47 ^N	5.8000	6/22/2018	15.02	5.6300	-0.45	-2.91	SHORT
2,6900	MHYIX	MHYIX	11/1/2017 ^c	15.55 ^N	5.7800	6/22/2018	15.15	5.6300	-0.40	-2.57	SHORT
2,7180	MHYIX	MHYIX	12/1/2017 ^c	15.63 ^N	5.7500	6/22/2018	15.31	5.6300	-0.32	-2.05	SHORT
9,2430	MHYIX	MHYIX	12/7/2017 ^c	53.15 ^N	5.7500	6/22/2018	52.06	5.6300	-1.09	-2.05	SHORT
2,7920	MHYIX	MHYIX	12/22/2017 ^c	16.00 ^N	5.7300	6/22/2018	15.72	5.6300	-0.28	-1.75	SHORT
2,5030	MHYIX	MHYIX	2/1/2018 ^c	14.42 ^N	5.7600	6/22/2018	14.10	5.6300	-0.32	-2.22	SHORT
2,5470	MHYIX	MHYIX	3/1/2018 ^c	14.49 ^N	5.9000	6/22/2018	14.35	5.6300	-0.14	-0.97	SHORT
2,5770	MHYIX	MHYIX	4/2/2018 ^c	14.56 ^N	5.6500	6/22/2018	14.52	5.6300	-0.04	-0.27	SHORT
2,5940	MHYIX	MHYIX	5/1/2018 ^c	14.63 ^N	5.6400	6/22/2018	14.61	5.6300	-0.02	-0.14	SHORT
2,6270	MHYIX	MHYIX	6/1/2018 ^c	14.71 ^N	5.6000	6/22/2018	14.81	5.6300	0.10	0.68	SHORT
				<u>3,120.72</u>			<u>3,003.77</u>		<u>-116.95</u>		
321,3750	METROPOLITAN WEST	MWTTX	12/11/2012 ^c	3,573.69 ^N	11.1200	6/22/2018	3,328.44	10.3600	-244.25	-6.83	LONG
5,0820	FDS ¹	MWTTX	12/17/2012 ^c	55.39 ^N	10.9000	6/22/2018	52.64	10.3600	-2.75	-4.96	LONG
2,2420	MWTTX	MWTTX	12/17/2012 ^c	24.44 ^N	10.9000	6/22/2018	23.22	10.3600	-1.22	-4.99	LONG
0,6870	MWTTX	MWTTX	1/2/2013 ^c	7.48 ^N	10.8900	6/22/2018	7.11	10.3600	-0.37	-4.95	LONG
0,9220	MWTTX	MWTTX	2/1/2013 ^c	10.03 ^N	10.8800	6/22/2018	9.55	10.3600	-0.48	-4.79	LONG
0,9430	MWTTX	MWTTX	3/1/2013 ^c	10.29 ^N	10.9100	6/22/2018	9.76	10.3600	-0.53	-5.15	LONG
0,9370	MWTTX	MWTTX	4/1/2013 ^c	10.23 ^N	10.9200	6/22/2018	9.70	10.3600	-0.53	-5.18	LONG
1,1100	MWTTX	MWTTX	5/1/2013 ^c	12.23 ^N	11.0200	6/22/2018	11.49	10.3600	-0.74	-6.05	LONG
0,9360	MWTTX	MWTTX	6/3/2013 ^c	10.15 ^N	10.8400	6/22/2018	9.69	10.3600	-0.46	-4.53	LONG
0,7820	MWTTX	MWTTX	7/1/2013 ^c	8.27 ^N	10.5800	6/22/2018	8.10	10.3600	-0.17	-2.06	LONG
0,9300	MWTTX	MWTTX	8/1/2013 ^c	9.86 ^N	10.6000	6/22/2018	9.63	10.3600	-0.23	-2.33	LONG
0,9430	MWTTX	MWTTX	9/3/2013 ^c	9.92 ^N	10.5200	6/22/2018	9.76	10.3600	-0.16	-1.61	LONG
7,9900	MWTTX	MWTTX	9/11/2013 ^c	83.66 ^N	10.4700	6/22/2018	82.77	10.3600	-0.89	-1.06	LONG
0,9420	MWTTX	MWTTX	10/1/2013 ^c	10.00 ^N	10.6200	6/22/2018	9.75	10.3600	-0.25	-2.50	LONG
0,8720	MWTTX	MWTTX	11/1/2013 ^c	9.34 ^N	10.7100	6/22/2018	9.03	10.3600	-0.31	-3.32	LONG
0,7740	MWTTX	MWTTX	12/2/2013 ^c	8.27 ^N	10.6800	6/22/2018	8.01	10.3600	-0.26	-3.14	LONG
2,1220	MWTTX	MWTTX	12/6/2013 ^c	22.43 ^N	10.5700	6/22/2018	21.98	10.3600	-0.45	-2.01	LONG
0,5300	MWTTX	MWTTX	1/2/2014 ^c	5.59 ^N	10.5500	6/22/2018	5.49	10.3600	-0.10	-1.79	LONG
0,5990	MWTTX	MWTTX	2/3/2014 ^c	6.40 ^N	10.6800	6/22/2018	6.20	10.3600	-0.20	-3.13	LONG
0,6810	MWTTX	MWTTX	3/3/2014 ^c	7.29 ^N	10.7100	6/22/2018	7.05	10.3600	-0.24	-3.29	LONG
0,7750	MWTTX	MWTTX	4/1/2014 ^c	8.28 ^N	10.6800	6/22/2018	8.02	10.3600	-0.26	-3.14	LONG
0,7920	MWTTX	MWTTX	5/1/2014 ^c	8.51 ^N	10.7400	6/22/2018	8.20	10.3600	-0.31	-3.64	LONG
0,7720	MWTTX	MWTTX	6/2/2014 ^c	8.38 ^N	10.8500	6/22/2018	7.99	10.3600	-0.39	-4.65	LONG

Closed Holdings
01/01/2017 - 09/05/2018Prepared For:
8581-0743KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain or Loss	% Gain or Loss	Term
0.7260		MMTIX	7/1/2014 ^c	7.87 ⁿ	10.8400	6/22/2018	7.52	10.3600	-0.35	-4.45	LONG
181.3110		MMTIX	7/1/2014 ^c	1,961.79 ⁿ	10.8200	6/22/2018	1,878.42	10.3600	-83.37	-4.25	LONG
0.9340		MMTIX	8/1/2014 ^c	10.10 ⁿ	10.8100	6/22/2018	9.67	10.3600	-0.43	-4.26	LONG
98.1420		MMTIX	8/1/2014 ^c	1,065.82 ⁿ	10.8600	6/22/2018	1,016.78	10.3600	-49.04	-4.60	LONG
2.4690		MMTIX	8/28/2014 ^c	26.89 ⁿ	10.8900	6/22/2018	25.57	10.3600	-1.32	-4.91	LONG
1.0870		MMTIX	9/2/2014 ^c	11.84 ⁿ	10.8900	6/22/2018	11.26	10.3600	-0.58	-4.90	LONG
1.1890		MMTIX	10/1/2014 ^c	12.85 ⁿ	10.8100	6/22/2018	12.31	10.3600	-0.54	-4.20	LONG
100.5660		MMTIX	10/3/2014 ^c	1,090.14 ⁿ	10.8400	6/22/2018	1,041.90	10.3600	-48.24	-4.43	LONG
1.1660		MMTIX	11/3/2014 ^c	12.69 ⁿ	10.8800	6/22/2018	12.08	10.3600	-0.61	-4.81	LONG
1.2020		MMTIX	12/1/2014 ^c	13.14 ⁿ	10.9300	6/22/2018	12.45	10.3600	-0.69	-5.25	LONG
1.2440		MMTIX	12/15/2014 ^c	13.58 ⁿ	10.9200	6/22/2018	12.88	10.3600	-0.70	-5.15	LONG
0.5870		MMTIX	12/15/2014 ^c	6.41 ⁿ	10.9200	6/22/2018	6.08	10.3600	-0.33	-5.15	LONG
1.2060		MMTIX	1/2/2015 ^c	13.08 ⁿ	10.9000	6/22/2018	12.43	10.3600	-0.65	-4.97	LONG
0.9680		MMTIX	2/2/2015 ^c	10.74 ⁿ	11.0900	6/22/2018	10.02	10.3600	-0.72	-6.70	LONG
0.9520		MMTIX	3/3/2015 ^c	10.45 ⁿ	10.9800	6/22/2018	9.86	10.3600	-0.59	-5.65	LONG
0.9500		MMTIX	4/1/2015 ^c	10.46 ⁿ	11.0100	6/22/2018	9.84	10.3600	-0.62	-5.93	LONG
1.0650		MMTIX	5/1/2015 ^c	11.68 ⁿ	10.9700	6/22/2018	11.03	10.3600	-0.65	-5.57	LONG
1.3600		MMTIX	6/1/2015 ^c	14.86 ⁿ	10.9300	6/22/2018	14.09	10.3600	-0.77	-5.18	LONG
1.2090		MMTIX	7/1/2015 ^c	13.07 ⁿ	10.8100	6/22/2018	12.52	10.3600	-0.55	-4.21	LONG
1.2440		MMTIX	8/3/2015 ^c	13.50 ⁿ	10.8500	6/22/2018	12.88	10.3600	-0.62	-4.59	LONG
4.4970		MMTIX	8/17/2015 ^c	48.75 ⁿ	10.8400	6/22/2018	46.59	10.3600	-2.16	-4.43	LONG
1.2190		MMTIX	9/1/2015 ^c	13.18 ⁿ	10.8100	6/22/2018	12.62	10.3600	-0.56	-4.25	LONG
1.0320		MMTIX	10/1/2015 ^c	11.18 ⁿ	10.8300	6/22/2018	10.69	10.3600	-0.49	-4.38	LONG
1.0720		MMTIX	11/2/2015 ^c	11.60 ⁿ	10.8200	6/22/2018	11.10	10.3600	-0.50	-4.31	LONG
1.1270		MMTIX	12/1/2015 ^c	12.16 ⁿ	10.7900	6/22/2018	11.67	10.3600	-0.49	-4.03	LONG
4.7600		MMTIX	12/15/2015 ^c	50.60 ⁿ	10.6300	6/22/2018	49.31	10.3600	-1.29	-2.55	LONG
3.6860		MMTIX	12/15/2015 ^c	39.18 ⁿ	10.6300	6/22/2018	38.19	10.3600	-0.99	-2.53	LONG
1.2740		MMTIX	1/4/2016 ^c	13.53 ⁿ	10.6200	6/22/2018	13.19	10.3600	-0.34	-2.51	LONG
1.2500		MMTIX	2/1/2016 ^c	11.92 ⁿ	10.7300	6/22/2018	11.51	10.3600	-0.41	-3.44	LONG
1.1110		MMTIX	3/1/2016 ^c	10.50 ⁿ	10.7600	6/22/2018	10.11	10.3600	-0.39	-3.71	LONG
0.9760		MMTIX	4/1/2016 ^c	14.77 ⁿ	10.8300	6/22/2018	14.07	10.3600	-0.64	-4.35	LONG
1.3580		MMTIX	5/2/2016 ^c	13.58 ⁿ	10.8600	6/22/2018	12.95	10.3600	-0.63	-4.64	LONG
1.3700		MMTIX	6/1/2016 ^c	14.85 ⁿ	10.8400	6/22/2018	14.19	10.3600	-0.66	-4.44	LONG
1.2580		MMTIX	7/1/2016 ^c	13.83 ⁿ	10.9900	6/22/2018	13.03	10.3600	-0.80	-5.78	LONG
1.3980		MMTIX	8/1/2016 ^c	15.45 ⁿ	11.0500	6/22/2018	14.48	10.3600	-0.97	-6.28	LONG
1.2090		MMTIX	9/1/2016 ^c	13.33 ⁿ	11.0300	6/22/2018	12.52	10.3600	-0.81	-6.08	LONG
10.8940		MMTIX	9/7/2016 ^c	118.17 ⁿ	11.0500	6/22/2018	110.80	10.3600	-7.37	-6.24	LONG
1.0490		MMTIX	10/3/2016 ^c	11.56 ⁿ	11.0200	6/22/2018	10.86	10.3600	-0.70	-6.06	LONG

Closed Holdings
01/01/2017 - 09/05/2018

Prepared For:

8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain or Loss	% Gain or Loss	Term
1.1450		MWTFX	11/1/2016 ^c	12.51 ⁿ	10.9300	6/22/2018	11.86	10.3600	-0.65	-5.20	LONG
1.1140		MWTFX	12/1/2016 ^c	11.91 ⁿ	10.5900	6/22/2018	11.54	10.3600	-0.37	-3.11	LONG
10.2340		MWTFX	12/13/2016 ^c	107.46 ⁿ	10.5000	6/22/2018	106.03	10.3600	-1.43	-1.33	LONG
1.1900		MWTFX	12/3/2016 ^c	12.50 ⁿ	10.5000	6/22/2018	12.33	10.3600	-0.17	-1.36	LONG
1.2910		MWTFX	1/3/2017 ^c	13.59 ⁿ	10.5300	6/22/2018	13.37	10.3600	-0.22	-1.62	LONG
1.3160		MWTFX	2/1/2017 ^c	13.87 ⁿ	10.5400	6/22/2018	13.63	10.3600	-0.24	-1.73	LONG
1.2810		MWTFX	3/1/2017 ^c	13.57 ⁿ	10.5900	6/22/2018	13.27	10.3600	-0.30	-2.21	LONG
1.6230		MWTFX	4/3/2017 ^c	17.16 ⁿ	10.5700	6/22/2018	16.81	10.3600	-0.35	-2.04	LONG
1.4480		MWTFX	5/1/2017 ^c	15.39 ⁿ	10.6300	6/22/2018	15.00	10.3600	-0.39	-2.53	LONG
1.4940		MWTFX	6/1/2017 ^c	15.96 ⁿ	10.6800	6/22/2018	15.48	10.3600	-0.48	-3.01	LONG
1.3900		MWTFX	7/3/2017 ^c	14.80 ⁿ	10.6500	6/22/2018	14.40	10.3600	-0.40	-2.70	SHORT
1.4490		MWTFX	8/1/2017 ^c	15.46 ⁿ	10.6700	6/22/2018	15.01	10.3600	-0.45	-2.91	SHORT
12.3900		MWTFX	8/30/2017 ^c	132.94 ⁿ	10.7300	6/22/2018	120.38	10.3600	-4.56	-3.43	SHORT
1.4830		MWTFX	9/1/2017 ^c	15.94 ⁿ	10.7500	6/22/2018	15.36	10.3600	-0.58	-3.64	SHORT
1.3720		MWTFX	10/2/2017 ^c	14.65 ⁿ	10.6800	6/22/2018	14.21	10.3600	-0.44	-3.00	SHORT
1.5950		MWTFX	11/1/2017 ^c	17.00 ⁿ	10.6600	6/22/2018	16.52	10.3600	-0.48	-2.82	SHORT
1.5480		MWTFX	12/1/2017 ^c	16.47 ⁿ	10.6400	6/22/2018	16.04	10.3600	-0.43	-2.61	SHORT
69.3040		MWTFX	1/27/2017 ^c	738.78 ⁿ	10.6600	6/22/2018	718.12	10.3600	-20.66	-2.80	SHORT
1.7040		MWTFX	1/2/2018 ^c	18.16 ⁿ	10.6600	6/22/2018	17.65	10.3600	-0.51	-2.81	SHORT
1.6980		MWTFX	2/1/2018 ^c	17.88 ⁿ	10.5300	6/22/2018	17.59	10.3600	-0.29	-1.62	SHORT
1.6510		MWTFX	3/1/2018 ^c	17.19 ⁿ	10.4100	6/22/2018	17.11	10.3600	-0.08	-0.47	SHORT
1.9890		MWTFX	4/2/2018 ^c	20.80 ⁿ	10.4600	6/22/2018	20.61	10.3600	-0.19	-0.91	SHORT
1.9980		MWTFX	5/1/2018 ^c	20.72 ⁿ	10.3700	6/22/2018	20.70	10.3600	-0.02	-0.10	SHORT
2.0870		MWTFX	6/1/2018 ^c	21.75 ⁿ	10.4200	6/22/2018	21.64	10.3600	-0.11	-0.51	SHORT
				<u>10,005.63</u>			<u>9,504.71</u>		<u>-500.92</u>		
13.0300	MFS VALUE I	MEIX	12/1/2012 ^c	331.75 ⁿ	25.4600	12/7/2017	543.10	41.6800	211.35	63.71	LONG
17.9190		MEIX	12/1/2012 ^c	456.21 ⁿ	25.4600	6/22/2018	708.15	39.5200	251.94	55.22	LONG
6.0400		MEIX	3/27/2013 ^c	170.69 ⁿ	28.2800	6/22/2018	238.70	39.5200	68.01	39.84	LONG
0.2650		MEIX	3/28/2013 ^c	7.48 ⁿ	28.2800	6/22/2018	10.47	39.5200	2.99	39.97	LONG
0.0090		MEIX	3/28/2013 ^c	0.25 ⁿ	28.2800	6/22/2018	0.35	39.5200	0.10	40.00	LONG
0.3560		MEIX	6/27/2013 ^c	10.45 ⁿ	29.3900	6/22/2018	14.06	39.5200	3.61	34.55	LONG
0.2510		MEIX	9/26/2013 ^c	7.80 ⁿ	31.0800	6/22/2018	9.91	39.5200	2.11	27.05	LONG
0.8790		MEIX	12/1/2013 ^c	28.42 ⁿ	32.3400	6/22/2018	34.73	39.5200	6.31	22.20	LONG
0.3340		MEIX	12/1/2013 ^c	10.79 ⁿ	32.3400	6/22/2018	13.19	39.5200	2.40	22.24	LONG
0.2770		MEIX	12/1/2013 ^c	8.96 ⁿ	32.3400	6/22/2018	10.94	39.5200	1.98	22.10	LONG
0.5000		MEIX	3/28/2014 ^c	16.52 ⁿ	33.0400	6/22/2018	19.76	39.5200	3.24	19.61	LONG
0.3200		MEIX	6/27/2014 ^c	11.04 ⁿ	34.5000	6/22/2018	12.64	39.5200	1.60	14.49	LONG
24.5980		MEIX	7/14/2014 ^c	856.00 ⁿ	34.9300	6/22/2018	972.12	39.5200	116.12	13.57	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

8581-0743

KATHRYN GELLISS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	CLOSE DATE	CLOSE AMT	CLOSE PRICE	REALIZED PROFIT	% GAIN OR LOSS	TERM
18.0340	MEIX	MEIX	8/19/2014 ^c	622.91 ⁿ	34.5400	6/22/2018	712.71	39.5200	89.80	14.42	LONG
17.3360	MEIX	MEIX	8/28/2014 ^c	603.12 ⁿ	34.7900	6/22/2018	685.12	39.5200	82.00	13.60	LONG
0.7070	MEIX	MEIX	9/26/2014 ^c	24.21 ⁿ	34.2200	6/22/2018	27.94	39.5200	3.73	15.41	LONG
3.0360	MEIX	MEIX	12/12/2014 ^c	105.54 ⁿ	34.7600	6/22/2018	119.98	39.5200	14.44	13.68	LONG
0.6580	MEIX	MEIX	12/12/2014 ^c	22.88 ⁿ	34.7600	6/22/2018	26.00	39.5200	3.12	13.64	LONG
0.3380	MEIX	MEIX	12/12/2014 ^c	11.74 ⁿ	34.7600	6/22/2018	13.35	39.5200	1.61	13.71	LONG
0.8410	MEIX	MEIX	3/27/2015 ^c	28.34 ⁿ	34.8800	6/22/2018	33.23	39.5200	3.89	13.26	LONG
0.6210	MEIX	MEIX	6/26/2015 ^c	22.21 ⁿ	35.7900	6/22/2018	24.54	39.5200	2.33	10.49	LONG
0.5080	MEIX	MEIX	9/29/2015 ^c	16.22 ⁿ	31.9000	6/22/2018	20.07	39.5200	3.85	23.74	LONG
3.8380	MEIX	MEIX	12/11/2015 ^c	127.31 ⁿ	33.1700	6/22/2018	151.68	39.5200	24.37	19.14	LONG
0.6040	MEIX	MEIX	12/11/2015 ^c	20.02 ⁿ	33.1700	6/22/2018	23.87	39.5200	3.85	19.23	LONG
0.1410	MEIX	MEIX	12/11/2015 ^c	4.67 ⁿ	33.1700	6/22/2018	5.57	39.5200	0.90	19.27	LONG
0.4320	MEIX	MEIX	3/30/2016 ^c	14.47 ⁿ	33.4800	6/22/2018	17.07	39.5200	2.60	17.97	LONG
0.5720	MEIX	MEIX	6/29/2016 ^c	19.28 ⁿ	33.6800	6/22/2018	22.60	39.5200	3.32	17.22	LONG
54.5580	MEIX	MEIX	9/7/2016 ^c	1,977.18 ⁿ	36.2400	6/22/2018	2,156.20	39.5200	179.02	9.05	LONG
0.6140	MEIX	MEIX	9/28/2016 ^c	21.73 ⁿ	35.3700	6/22/2018	24.26	39.5200	2.53	11.64	LONG
2.8330	MEIX	MEIX	12/14/2016 ^c	104.27 ⁿ	36.8800	6/22/2018	111.96	39.5200	7.69	7.38	LONG
1.3500	MEIX	MEIX	12/14/2016 ^c	49.68 ⁿ	36.8800	6/22/2018	53.35	39.5200	3.67	7.39	LONG
0.6550	MEIX	MEIX	3/30/2017 ^c	24.81 ⁿ	37.8600	6/22/2018	25.88	39.5200	1.07	4.31	LONG
0.6830	MEIX	MEIX	6/29/2017 ^c	26.89 ⁿ	39.3600	6/22/2018	26.99	39.5200	0.10	0.37	SHORT
0.6050	MEIX	MEIX	9/28/2017 ^c	24.24 ⁿ	40.9000	6/22/2018	23.91	39.5200	-0.33	-1.36	SHORT
4.2820	MEIX	MEIX	12/22/2017 ^c	175.14 ⁿ	40.9000	6/22/2018	169.25	39.5200	-5.89	-3.36	SHORT
0.8020	MEIX	MEIX	12/22/2017 ^c	32.82 ⁿ	40.9000	6/22/2018	31.70	39.5200	-1.12	-3.41	SHORT
0.4680	MEIX	MEIX	12/22/2017 ^c	19.13 ⁿ	40.9000	6/22/2018	18.50	39.5200	-0.63	-3.29	SHORT
0.5990	MEIX	MEIX	3/28/2018 ^c	23.35 ⁿ	38.9500	6/22/2018	23.68	39.5200	0.33	1.41	SHORT
5,000 MICROSOFT 4.2% 06/01/19 554918-AC-8			9/30/2011ⁿ	5,691.50ⁿ	113.7300	6/27/2018	6,039.52	7,137.53	1,098.01		
Miscellaneous											

Closed Holdings
01/01/2017 - 09/05/2018

Prepared For:

8581-0743

KATHRYN GELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain or Loss	% Gain or Loss	Term
13.0990		FARCX	7/14/2014 ^c	305.99 ⁿ	23.3600	8/29/2017	293.04	22.3700	-12.95	-4.23	LONG
7.6140		FARCX	8/19/2014 ^c	180.91 ⁿ	23.7600	8/29/2017	170.33	22.3700	-10.58	-5.85	LONG
0.3200		FARCX	10/1/2014 ^c	7.13 ⁿ	22.2900	8/29/2017	7.15	22.3700	0.02	0.28	LONG
1.1690		FARCX	10/3/2014 ^c	26.19 ⁿ	22.4000	8/29/2017	26.15	22.3700	-0.04	-0.15	LONG
1.6620		FARCX	12/16/2014 ^c	39.25 ⁿ	23.6100	8/29/2017	37.18	22.3700	-2.07	-5.27	LONG
0.7330		FARCX	12/16/2014 ^c	17.31 ⁿ	23.6100	8/29/2017	16.39	22.3700	-0.92	-5.31	LONG
0.5180		FARCX	12/31/2014 ^c	12.69 ⁿ	24.4800	8/29/2017	11.58	22.3700	-1.11	-8.75	LONG
0.3080		FARCX	4/1/2015 ^c	7.70 ⁿ	24.9700	8/29/2017	6.89	22.3700	-0.81	-10.52	LONG
0.5500		FARCX	7/1/2015 ^c	12.25 ⁿ	22.2800	8/29/2017	12.30	22.3700	0.05	0.41	LONG
0.5950		FARCX	9/17/2015 ^c	13.26 ⁿ	22.3000	8/29/2017	13.31	22.3700	0.05	0.38	LONG
0.3440		FARCX	10/1/2015 ^c	7.72 ⁿ	22.4100	8/29/2017	7.69	22.3700	-0.03	-0.39	LONG
2.3030		FARCX	12/16/2015 ^c	52.12 ⁿ	22.6300	8/29/2017	51.53	22.3700	-0.59	-1.13	LONG
0.4540		FARCX	12/31/2015 ^c	10.50 ⁿ	23.1500	8/29/2017	10.15	22.3700	-0.35	-3.33	LONG
0.4110		FARCX	4/1/2016 ^c	9.82 ⁿ	23.6900	8/29/2017	9.19	22.3700	-0.63	-6.42	LONG
0.3870		FARCX	7/1/2016 ^c	9.78 ⁿ	25.2700	8/29/2017	8.66	22.3700	-1.12	-11.45	LONG
0.6730		FARCX	9/16/2016 ^c	16.22 ⁿ	24.9900	8/29/2017	15.06	22.3700	-1.16	-7.15	SHORT
0.4570		FARCX	10/3/2016 ^c	11.14 ⁿ	24.3900	8/29/2017	10.22	22.3700	-0.92	-8.26	SHORT
2.2280		FARCX	12/16/2016 ^c	48.08 ⁿ	21.5800	8/29/2017	49.86	22.3700	1.78	3.70	SHORT
1.1510		FARCX	12/16/2016 ^c	24.84 ⁿ	21.5800	8/29/2017	25.76	22.3700	0.92	3.70	SHORT
0.5400		FARCX	12/30/2016 ^c	11.78 ⁿ	21.8300	8/29/2017	12.08	22.3700	0.29	2.46	SHORT
0.3350		FARCX	4/3/2017 ^c	7.39 ⁿ	22.9400	8/29/2017	7.50	22.3700	0.11	1.49	SHORT
0.3710		FARCX	7/3/2017 ^c	8.31 ⁿ	22.3900	8/29/2017	8.31	22.3700
				1,333.73			1,304.91		-28.82		
216.7110	PIMCO COMM REAL RETURN ¹	PCRIX	8/29/2017 ^c	1,441.13 ⁿ	6.6500	6/22/2018	1,436.79	6.6300	-4.34	-0.30	SHORT
5.3270		PCRIX	9/1/2017 ^c	35.37 ⁿ	6.6400	6/22/2018	35.31	6.6300	-0.06	-0.17	SHORT
3.9120		PCRIX	12/7/2017 ^c	25.70 ⁿ	6.5700	6/22/2018	25.94	6.6300	0.24	0.93	SHORT
5.0310		PCRIX	12/28/2017 ^c	33.61 ⁿ	6.6800	6/22/2018	33.36	6.6300	-0.25	-0.74	SHORT
4.4410		PCRIX	6/15/2018 ^c	30.02 ⁿ	6.7600	6/22/2018	29.45	6.6300	-0.57	-1.90	SHORT
				1,565.83			1,560.85		-4.98		
176.4790	PIMCO DVRSF INC INSTL PDIX		3/27/2013 ^c	2,147.75 ⁿ	12.1700	6/22/2018	1,872.44	10.6100	-275.31	-12.82	LONG
0.0980		PDIX	4/1/2013 ^c	1.19 ⁿ	12.1600	6/22/2018	1.03	10.6100	-0.16	-13.45	LONG
0.7380		PDIX	5/1/2013 ^c	9.14 ⁿ	12.3900	6/22/2018	7.83	10.6100	-1.31	-14.33	LONG
0.7610		PDIX	6/3/2013 ^c	9.16 ⁿ	12.0400	6/22/2018	8.07	10.6100	-1.09	-11.90	LONG
0.6790		PDIX	7/1/2013 ^c	7.81 ⁿ	11.5100	6/22/2018	7.20	10.6100	-0.61	-7.81	LONG
0.7100		PDIX	8/1/2013 ^c	8.21 ⁿ	11.5700	6/22/2018	7.53	10.6100	-0.68	-8.28	LONG
0.7920		PDIX	9/3/2013 ^c	9.00 ⁿ	11.3600	6/22/2018	8.40	10.6100	-0.60	-6.67	LONG
8.2370		PDIX	9/10/2013 ^c	93.49 ⁿ	11.3500	6/22/2018	87.39	10.6100	-6.10	-6.52	LONG

Closed Holdings
01/01/2017 - 09/05/2018
Prepared For:
 8581-0743

 KATHRYN GELLIS (IRA)
 WFC'S AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized Gain or Loss	% Gain or Loss	Term
0.7500	PDIX	PDIX	10/1/2013 ^c	8.66 ⁿ	11.5400	6/22/2018	7.95	10.6100	-0.71	-8.20	LONG
0.8110	PDIX	PDIX	11/1/2013 ^c	9.49 ⁿ	11.7000	6/22/2018	8.60	10.6100	-0.89	-9.38	LONG
0.8070	PDIX	PDIX	12/2/2013 ^c	9.35 ⁿ	11.5900	6/22/2018	8.56	10.6100	-0.79	-8.45	LONG
1.4980	PDIX	PDIX	12/12/2013 ^c	17.21 ⁿ	11.4900	6/22/2018	15.89	10.6100	-1.32	-7.67	LONG
0.8450	PDIX	PDIX	1/2/2014 ^c	9.71 ⁿ	11.4900	6/22/2018	8.96	10.6100	-0.75	-7.72	LONG
0.9260	PDIX	PDIX	2/3/2014 ^c	10.63 ⁿ	11.4800	6/22/2018	9.82	10.6100	-0.81	-7.62	LONG
0.8380	PDIX	PDIX	3/3/2014 ^c	9.77 ⁿ	11.6600	6/22/2018	8.89	10.6100	-0.88	-9.01	LONG
0.7910	PDIX	PDIX	4/1/2014 ^c	9.20 ⁿ	11.6300	6/22/2018	8.39	10.6100	-0.81	-8.80	LONG
0.8670	PDIX	PDIX	5/1/2014 ^c	10.14 ⁿ	11.7000	6/22/2018	9.19	10.6100	-0.95	-9.37	LONG
0.8670	PDIX	PDIX	6/2/2014 ^c	10.32 ⁿ	11.9000	6/22/2018	9.19	10.6100	-1.13	-10.95	LONG
0.8250	PDIX	PDIX	7/1/2014 ^c	9.87 ⁿ	11.9700	6/22/2018	8.75	10.6100	-1.12	-11.35	LONG
97.8330	PDIX	PDIX	7/14/2014 ^c	1,168.13 ⁿ	11.9400	6/22/2018	1,038.03	10.6100	-130.10	-11.14	LONG
1.0910	PDIX	PDIX	8/1/2014 ^c	12.86 ⁿ	11.7900	6/22/2018	11.57	10.6100	-1.29	-10.03	LONG
60.3610	PDIX	PDIX	8/19/2014 ^c	716.49 ⁿ	11.8700	6/22/2018	640.45	10.6100	-76.04	-10.61	LONG
1.4390	PDIX	PDIX	9/2/2014 ^c	17.09 ⁿ	11.8800	6/22/2018	15.26	10.6100	-1.83	-10.71	LONG
1.5810	PDIX	PDIX	10/1/2014 ^c	18.28 ⁿ	11.5700	6/22/2018	16.77	10.6100	-1.52	-8.31	LONG
1.7570	PDIX	PDIX	11/3/2014 ^c	20.49 ⁿ	11.6600	6/22/2018	18.64	10.6100	-1.85	-9.03	LONG
1.4670	PDIX	PDIX	12/1/2014 ^c	16.94 ⁿ	11.5500	6/22/2018	15.56	10.6100	-1.38	-8.15	LONG
14.4460	PDIX	PDIX	12/11/2014 ^c	155.01 ⁿ	10.7300	6/22/2018	153.28	10.6100	-1.73	-1.12	LONG
4.0050	PDIX	PDIX	12/11/2014 ^c	42.97 ⁿ	10.7300	6/22/2018	42.49	10.6100	-0.48	-1.12	LONG
1.8490	PDIX	PDIX	12/30/2014 ^c	19.75 ⁿ	10.6800	6/22/2018	19.61	10.6100	-0.14	-0.71	LONG
1.9910	PDIX	PDIX	1/2/2015 ^c	21.24 ⁿ	10.6700	6/22/2018	21.12	10.6100	-0.12	-0.56	LONG
1.7510	PDIX	PDIX	2/2/2015 ^c	18.84 ⁿ	10.7600	6/22/2018	18.57	10.6100	-0.27	-1.43	LONG
1.6870	PDIX	PDIX	3/2/2015 ^c	18.35 ⁿ	10.8800	6/22/2018	17.90	10.6100	-0.45	-2.45	LONG
1.6260	PDIX	PDIX	4/1/2015 ^c	17.77 ⁿ	10.8900	6/22/2018	17.25	10.6100	-0.46	-2.60	LONG
1.6050	PDIX	PDIX	5/1/2015 ^c	17.61 ⁿ	10.9700	6/22/2018	17.03	10.6100	-0.58	-3.29	LONG
1.6000	PDIX	PDIX	6/1/2015 ^c	17.52 ⁿ	10.9500	6/22/2018	16.97	10.6100	-0.55	-3.14	LONG
1.5960	PDIX	PDIX	7/1/2015 ^c	17.12 ⁿ	10.7300	6/22/2018	16.93	10.6100	-0.19	-1.11	LONG
1.7980	PDIX	PDIX	8/3/2015 ^c	19.26 ⁿ	10.7600	6/22/2018	18.99	10.6100	-0.27	-1.40	LONG
1.8480	PDIX	PDIX	8/17/2015 ^c	46.09 ⁿ	10.6500	6/22/2018	45.92	10.6100	-0.17	-0.37	LONG
1.7300	PDIX	PDIX	9/1/2015 ^c	17.57 ⁿ	10.5600	6/22/2018	17.65	10.6100	0.08	0.46	LONG
2.2880	PDIX	PDIX	10/1/2015 ^c	18.56 ⁿ	10.3200	6/22/2018	19.07	10.6100	0.51	2.75	LONG
7.4130	PDIX	PDIX	11/2/2015 ^c	19.51 ⁿ	10.5600	6/22/2018	19.60	10.6100	0.09	0.46	LONG
2.1350	PDIX	PDIX	12/1/2015 ^c	18.11 ⁿ	10.4700	6/22/2018	18.35	10.6100	0.24	1.33	LONG
2.2880	PDIX	PDIX	12/17/2015 ^c	23.34 ⁿ	10.2000	6/22/2018	24.27	10.6100	0.93	3.98	LONG
7.4130	PDIX	PDIX	12/30/2015 ^c	74.35 ⁿ	10.0300	6/22/2018	78.66	10.6100	4.31	5.80	LONG
2.1350	PDIX	PDIX	1/4/2016 ^c	21.44 ⁿ	10.0400	6/22/2018	22.65	10.6100	1.21	5.64	LONG
1.6800	PDIX	PDIX	2/1/2016 ^c	16.73 ⁿ	9.9600	6/22/2018	17.82	10.6100	6.52	LONG	

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain or Loss	% Gain or Loss	Term
1.9000	PIMCO INCOME FDI	PDIX	3/1/2016 ^c	18.83 ⁿ	9.9100	6/22/2018	20.16	10.6100	1.33	7.06	LONG
1.8060	PIMIX	PDIX	4/1/2016 ^c	18.33 ⁿ	10.1500	6/22/2018	19.16	10.6100	0.83	4.53	LONG
1.8070	PIMIX	PDIX	5/2/2016 ^c	18.67 ⁿ	10.3300	6/22/2018	19.17	10.6100	0.50	2.68	LONG
1.6360	PIMIX	PDIX	6/1/2016 ^c	16.95 ⁿ	10.3600	6/22/2018	17.36	10.6100	0.41	2.42	LONG
1.5960	PIMIX	PDIX	7/1/2016 ^c	16.82 ⁿ	10.5400	6/22/2018	16.93	10.6100	0.11	0.65	LONG
1.6120	PIMIX	PDIX	8/1/2016 ^c	17.06 ⁿ	10.7100	6/22/2018	17.10	10.6100	-0.16	-0.93	LONG
1.6400	PIMIX	PDIX	9/1/2016 ^c	17.75 ⁿ	10.8200	6/22/2018	17.40	10.6100	-0.35	-1.97	LONG
1.7050	PIMIX	PDIX	10/3/2016 ^c	18.45 ⁿ	10.8200	6/22/2018	18.09	10.6100	-0.36	-1.95	LONG
1.5520	PIMIX	PDIX	11/1/2016 ^c	16.68 ⁿ	10.7500	6/22/2018	16.47	10.6100	-0.21	-1.26	LONG
1.7220	PIMIX	PDIX	12/1/2016 ^c	18.08 ⁿ	10.5000	6/22/2018	18.27	10.6100	0.19	1.05	LONG
1.4790	PIMIX	PDIX	12/29/2016 ^c	15.57 ⁿ	10.5300	6/22/2018	15.69	10.6100	0.12	0.77	LONG
1.8200	PIMIX	PDIX	1/3/2017 ^c	19.22 ⁿ	10.5600	6/22/2018	19.31	10.6100	0.09	0.47	LONG
1.6050	PIMIX	PDIX	2/1/2017 ^c	17.04 ⁿ	10.6200	6/22/2018	17.03	10.6100	-0.01	-0.06	LONG
1.6590	PIMIX	PDIX	3/1/2017 ^c	17.83 ⁿ	10.7500	6/22/2018	17.60	10.6100	-0.23	-1.29	LONG
1.8020	PIMIX	PDIX	4/3/2017 ^c	19.47 ⁿ	10.7800	6/22/2018	19.16	10.6100	-0.31	-1.59	LONG
1.5850	PIMIX	PDIX	5/1/2017 ^c	16.95 ⁿ	10.8800	6/22/2018	16.53	10.6100	-0.42	-2.48	LONG
1.6870	PIMIX	PDIX	6/1/2017 ^c	18.51 ⁿ	10.9700	6/22/2018	17.90	10.6100	-0.51	-3.30	LONG
1.6400	PIMIX	PDIX	7/3/2017 ^c	19.66 ⁿ	10.9100	6/22/2018	19.12	10.6100	-0.54	-2.75	SHORT
1.6380	PIMIX	PDIX	8/1/2017 ^c	17.39 ⁿ	10.9700	6/22/2018	16.82	10.6100	-0.57	-3.28	SHORT
1.6750	PIMIX	PDIX	9/1/2017 ^c	18.41 ⁿ	11.0600	6/22/2018	17.67	10.6100	-0.74	-4.02	SHORT
12.4900	PDIX	PDIX	10/2/2017 ^c	18.09 ⁿ	11.0300	6/22/2018	17.40	10.6100	-0.69	-3.81	SHORT
2.0420	PDIX	PDIX	11/1/2017 ^c	18.08 ⁿ	11.0400	6/22/2018	17.38	10.6100	-0.70	-3.87	SHORT
1.7540	PDIX	PDIX	12/1/2017 ^c	18.39 ⁿ	10.9800	6/22/2018	17.78	10.6100	-0.61	-3.32	SHORT
1.6740	PDIX	PDIX	12/7/2017 ^c	137.27 ⁿ	10.9900	6/22/2018	132.58	10.6100	-4.69	-3.42	SHORT
1.6050	PDIX	PDIX	12/28/2017 ^c	22.34 ⁿ	10.9400	6/22/2018	21.67	10.6100	-0.67	-3.00	SHORT
1.5890	PDIX	PDIX	1/2/2018 ^c	19.21 ⁿ	10.9500	6/22/2018	18.61	10.6100	-0.60	-3.12	SHORT
1.5770	PDIX	PDIX	2/1/2018 ^c	18.20 ⁿ	10.8700	6/22/2018	17.77	10.6100	-0.43	-2.36	SHORT
1.2770	PDIX	PDIX	3/1/2018 ^c	17.22 ⁿ	10.7300	6/22/2018	17.04	10.6100	-0.18	-1.05	SHORT
1.5770	PDIX	PDIX	4/2/2018 ^c	17.11 ⁿ	10.7700	6/22/2018	16.87	10.6100	-0.24	-1.40	SHORT
1.2770	PDIX	PDIX	5/1/2018 ^c	16.89 ⁿ	10.7100	6/22/2018	16.74	10.6100	-0.15	-0.89	SHORT
			6/1/2018 ^c	18.39 ⁿ	10.6500	6/22/2018	18.34	10.6100	-0.05	-0.27	SHORT
				5.670.53			5.153.61		-516.92		

Closed Holdings
01/01/2017 - 09/05/2018Prepared For:
8581-0743KATHRYN GELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized Profit	% Gain or Loss	Term
1.0370	PIMIX		3/1/2017 ^c	12.65 ⁿ	12.2000	6/22/2018	12.42	11.9800	-0.23	-1.82	LONG
1.0470	PIMIX		4/3/2017 ^c	12.82 ⁿ	12.2400	6/22/2018	12.54	11.9800	-0.28	-2.18	LONG
1.0460	PIMIX		5/1/2017 ^c	12.84 ⁿ	12.2700	6/22/2018	12.53	11.9800	-0.31	-2.41	LONG
1.0510	PIMIX		6/1/2017 ^c	12.97 ⁿ	12.3400	6/22/2018	12.59	11.9800	-0.38	-2.93	LONG
1.0400	PIMIX		7/3/2017 ^c	12.85 ⁿ	12.3500	6/22/2018	12.46	11.9800	-0.39	-3.04	SHORT
1.0520	PIMIX		8/1/2017 ^c	13.01 ⁿ	12.3700	6/22/2018	12.60	11.9800	-0.41	-3.15	SHORT
1.0470	PIMIX		9/1/2017 ^c	13.02 ⁿ	12.4300	6/22/2018	12.54	11.9800	-0.48	-3.69	SHORT
1.0510	PIMIX		10/2/2017 ^c	13.07 ⁿ	12.4400	6/22/2018	12.59	11.9800	-0.48	-3.67	SHORT
1.0600	PIMIX		11/1/2017 ^c	13.20 ⁿ	12.4500	6/22/2018	12.70	11.9800	-0.50	-3.79	SHORT
1.0620	PIMIX		12/1/2017 ^c	13.20 ⁿ	12.4300	6/22/2018	12.72	11.9800	-0.48	-3.64	SHORT
1.0770	PIMIX		1/2/2018 ^c	13.37 ⁿ	12.4100	6/22/2018	12.90	11.9800	-0.47	-3.52	SHORT
1.0920	PIMIX		2/1/2018 ^c	13.45 ⁿ	12.3200	6/22/2018	13.09	11.9800	-0.36	-2.68	SHORT
1.1010	PIMIX		3/1/2018 ^c	13.44 ⁿ	12.2100	6/22/2018	13.19	11.9800	-0.25	-1.86	SHORT
1.1230	PIMIX		4/2/2018 ^c	13.44 ⁿ	12.2100	6/22/2018	13.20	11.9800	-0.24	-1.79	SHORT
1.1320	PIMIX		5/1/2018 ^c	13.59 ⁿ	12.1000	6/22/2018	13.46	11.9800	-0.13	-0.96	SHORT
			6/1/2018 ^c	13.63 ⁿ	12.0400	6/22/2018	13.58	11.9800	-0.05	-0.37	SHORT
29.4600	PRINCIPAL SMID DIV INSTS PMDX			2,985.44			2,948.40		-17.04		
108.8810	PMDIX		8/28/2014 ^c	422.76 ⁿ	14.3500	12/7/2017	525.28	17.8300	102.52	24.25	LONG
0.9840	PMDIX		8/28/2014 ^c	1,562.43 ⁿ	14.3500	6/22/2018	1,891.26	17.3700	328.83	21.05	LONG
	PMDIX		10/1/2014 ^c	13.34 ⁿ	13.5600	6/22/2018	17.09	17.3700	3.75	28.11	LONG
6.2690	PMDIX		10/3/2014 ^c	85.13 ⁿ	13.5800	6/22/2018	108.89	17.3700	23.76	27.91	LONG
3.8480	PMDIX		12/18/2014 ^c	52.22 ⁿ	13.5700	6/22/2018	66.83	17.3700	14.61	27.98	LONG
0.3750	PMDIX		12/18/2014 ^c	5.09 ⁿ	13.5700	6/22/2018	6.51	17.3700	1.42	27.90	LONG
1.4870	PMDIX		12/30/2014 ^c	20.78 ⁿ	13.9700	6/22/2018	25.82	17.3700	5.04	24.25	LONG
1.0790	PMDIX		4/1/2015 ^c	15.13 ⁿ	14.0200	6/22/2018	18.74	17.3700	3.61	23.86	LONG
17.1650	PMDIX		4/28/2015 ^c	2,473.14 ⁿ	14.2000	6/22/2018	3,025.27	17.3700	552.13	22.33	LONG
1.8960	PMDIX		7/1/2015 ^c	25.77 ⁿ	13.5900	6/22/2018	32.93	17.3700	7.16	27.78	LONG
9.3630	PMDIX		8/17/2015 ^c	126.68 ⁿ	13.5300	6/22/2018	162.63	17.3700	35.95	28.38	LONG
2.2050	PMDIX		10/1/2015 ^c	27.28 ⁿ	12.3700	6/22/2018	38.30	17.3700	11.02	40.40	LONG
7.6910	PMDIX		12/18/2015 ^c	96.91 ⁿ	12.6000	6/22/2018	133.59	17.3700	36.68	37.85	LONG
3.8420	PMDIX		12/29/2015 ^c	49.4 ⁿ	12.7900	6/22/2018	68.73	17.3700	17.59	35.80	LONG
2.1380	PMDIX		4/1/2016 ^c	28.39 ⁿ	13.2800	6/22/2018	37.13	17.3700	8.74	30.79	LONG
1.9840	PMDIX		7/1/2016 ^c	27.76 ⁿ	13.9900	6/22/2018	34.46	17.3700	6.70	24.14	LONG
1.4170	PMDIX		10/3/2016 ^c	21.16 ⁿ	14.9300	6/22/2018	24.61	17.3700	3.45	16.30	LONG
5.6450	PMDIX		12/29/2016 ^c	90.27 ⁿ	15.9900	6/22/2018	98.06	17.3700	7.79	8.63	LONG
0.6950	PMDIX		3/22/2017 ^c	11.21 ⁿ	16.1400	6/22/2018	12.07	17.3700	0.86	7.67	LONG
0.7000	PMDIX		6/21/2017 ^c	11.74 ⁿ	16.7600	6/22/2018	12.16	17.3700	0.42	3.58	LONG
1.6220	PMDIX		9/19/2017 ^c	27.53 ⁿ	16.9700	6/22/2018	28.17	17.3700	0.64	2.32	SHORT

Closed Holdings 01/01/2017 - 09/05/2018

Prepared For:
8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Closed Price	% Realized Gain or Loss	Term
10.6770		PMDIX	12/21/2017 ^c	183.85 ⁿ	17.2200	8/22/2018	185.48	17.3700	1.63	0.89
4.4760		PMDIX	12/21/2017 ^c	77.08 ⁿ	17.2200	8/22/2018	77.76	17.3700	0.68	0.88
1.5750		PMDIX	12/28/2017 ^c	27.06 ⁿ	17.1800	8/22/2018	27.36	17.3700	0.30	1.11
1.2640		PMDIX	3/20/2018 ^c	21.22 ⁿ	16.7900	6/22/2018	21.96	17.3700	0.74	3.49
0.9520		PMDIX	6/20/2018 ^c	16.51 ⁿ	17.3500	6/22/2018	16.54	17.3700	0.03	0.18
				<u>5,519.58</u>			<u>6,695.63</u>		<u>1,176.05</u>	
12.9330	T ROWE PRICE BLE CHP GRW	TRBCX	3/27/2013 ^c	635.38 ⁿ	49.1300	12/7/2017	1,269.74	98.1800	634.36	99.84
1.6720		TRBCX	3/27/2013 ^c	82.15 ⁿ	49.1300	7/13/2018	187.44	112.1000	105.29	128.17
				<u>717.53</u>			<u>1,457.18</u>		<u>739.65</u>	
20.000	WELLS FARGO 0% 09/02/22 94986T-M4-6		2/28/2017 ^c	20,000.00 ⁿ	100.0000	6/21/2018				
4.0450	WELLS FARGO EMKT INSTL	EMGNX	9/10/2013 ^c	89.03 ⁿ	22.0100	12/7/2017	102.71	25.3900	13.68	15.37
2.1510		EMGNX	9/1/2013 ^c	47.34 ⁿ	22.0100	6/22/2018	54.67	25.4200	7.33	15.48
0.1810		EMGNX	12/31/2013 ^c	3.97 ⁿ	21.9800	6/22/2018	4.60	25.4200	0.63	15.87
23.6020		EMGNX	7/1/2014 ^c	548.74 ⁿ	23.2500	6/22/2018	599.96	25.4200	51.22	9.33
10.5430		EMGNX	8/1/2014 ^c	252.29 ⁿ	23.9300	6/22/2018	268.00	25.4200	15.71	6.23
5.6770		EMGNX	10/3/2014 ^c	125.35 ⁿ	22.0800	6/22/2018	144.31	25.4200	18.96	15.13
1.0140		EMGNX	12/31/2014 ^c	20.99 ⁿ	20.7000	6/22/2018	25.77	25.4200	4.78	22.77
13.5550		EMGNX	8/1/2015 ^c	257.55 ⁿ	19.0000	6/22/2018	344.53	25.4200	87.03	33.79
1.2220		EMGNX	12/31/2015 ^c	21.78 ⁿ	17.8200	6/22/2018	31.06	25.4200	9.28	42.61
0.6730		EMGNX	12/30/2016 ^c	13.26 ⁿ	19.7100	6/22/2018	17.11	25.4200	3.85	29.03
0.4270		EMGNX	12/29/2017 ^c	11.25 ⁿ	26.3300	6/22/2018	10.86	25.4200	-0.39	-3.47
				<u>1,391.55</u>			<u>1,603.63</u>		<u>212.08</u>	
										Miscellaneous

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Price	Realized	% Gain or Loss	Term
Report Summary										
				145,117.51			72,998.40		2,573.38	

Realized Gains or Losses	
Short Term	Long Term
-157.27	2,730.66

<u>Legend</u>	
(*) Security Held Away	
Open Date	
C - Covered Tax Lot	
N - Noncovered Tax Lot	
M - Net Row Contains Both Covered and Noncovered Tax Lots	
W - Open Date Reflects Wash Sale Date	
<u>Cost Amount</u>	
E - Pre Effective	
O - Post Effective	
N - Not Average Cost	
M - Net Row Contains Tax Lots with Multiple Elections	

Covered Tax Lot-Cost information for this tax lot is covered by IRS reporting requirements.

Noncovered Tax Lot-Cost information for this tax lot is not covered by IRS reporting requirements.

Wash Sale Date-The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.

CSI-Cash Standing Instructions indicates the disposition of stock and money on cash accounts

MMF Code-The MMF Code in the report header indicates how money market account balances are treated.

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Investments in securities and insurance products are:

NOT FDIC - INSURED NOT BANK GUARANTEED MAY LOSE VALUE.

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Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

8581-0743

KATHRYN G ELLIS (IRAY)

WFCS AS CUSTODIAN

208 BARRINGTON PL

FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	% Gain or Loss
Short										
0.4840	INVESSCO GLBL REAL ESTY	ARGYX	9/15/2017	6.51 ^N	13.4600	6/22/2018	6.50	13.4400	-0.01	-0.15
14.5740	INVESSCO GLBL REAL ESTY	ARGYX	12/7/2017	196.17 ^N	13.4600	6/22/2018	195.90	13.4400	-0.27	-0.14
2.2610	INVESSCO GLBL REAL ESTY	ARGYX	12/14/2017	30.28 ^N	13.3900	6/22/2018	30.39	13.4400	0.11	0.36
1.0070	INVESSCO GLBL REAL ESTY	ARGYX	12/14/2017	13.48 ^N	13.3900	6/22/2018	13.53	13.4400	0.05	0.37
0.5670	INVESSCO GLBL REAL ESTY	ARGYX	3/23/2018	7.31 ^N	12.9000	6/22/2018	7.62	13.4400	0.31	4.24
0.9150	INVESSCO GLBL REAL ESTY	ARGYX	6/22/2018	12.20 ^N	13.3400	6/22/2018	12.31	13.4400	0.11	0.90
17.3950	DEUTSCHE ENH CMDTY INSTL	SKIRX	9/7/2016	211.52 ^N	12.1600	8/29/2017	195.35	11.2300	-16.17	-7.64
3.9510	DEUTSCHE ENH CMDTY INSTL	SKIRX	9/26/2016	46.38 ^N	11.7400	8/29/2017	44.37	11.2300	-2.01	-4.33
3.9080	DEUTSCHE ENH CMDTY INSTL	SKIRX	12/16/2016	45.64 ^N	11.6800	8/29/2017	43.89	11.2300	-1.75	-3.83
0.1110	DEUTSCHE ENH CMDTY INSTL	SKIRX	3/27/2017	1.26 ^N	11.3400	8/29/2017	1.24	11.2300	-0.02	-1.59
0.1190	DEUTSCHE ENH CMDTY INSTL	SKIRX	6/26/2017	1.29 ^N	10.8200	8/29/2017	1.35	11.2300	0.06	4.65
1.8100	BLACKROCK CORE BOND I	BFMCX	7/3/2017	17.45 ^N	9.6400	6/22/2018	16.83	9.3000	-0.62	-3.55
1.9080	BLACKROCK CORE BOND I	BFMCX	8/1/2017	18.41 ^N	9.6500	6/22/2018	17.74	9.3000	-0.67	-3.64
1.9250	BLACKROCK CORE BOND I	BFMCX	9/1/2017	18.69 ^N	9.7100	6/22/2018	17.90	9.3000	-0.79	-4.23
1.8750	BLACKROCK CORE BOND I	BFMCX	10/2/2017	18.09 ^N	9.6500	6/22/2018	17.44	9.3000	-0.65	-3.59
1.9440	BLACKROCK CORE BOND I	BFMCX	11/1/2017	18.72 ^N	9.6300	6/22/2018	18.08	9.3000	-0.64	-3.42
1.9830	BLACKROCK CORE BOND I	BFMCX	12/1/2017	19.00 ^N	9.5800	6/22/2018	18.44	9.3000	-0.56	-2.95
85.2330	BLACKROCK CORE BOND I	BFMCX	12/7/2017	818.24 ^N	9.6000	6/22/2018	792.80	9.3000	-25.44	-3.11
2.0160	BLACKROCK CORE BOND I	BFMCX	1/2/2018	19.33 ^N	9.5800	6/22/2018	18.75	9.3000	-0.58	-3.00
2.0230	BLACKROCK CORE BOND I	BFMCX	2/1/2018	19.20 ^N	9.4900	6/22/2018	18.81	9.3000	-0.39	-2.03
1.9900	BLACKROCK CORE BOND I	BFMCX	3/1/2018	18.65 ^N	9.3700	6/22/2018	18.51	9.3000	-0.14	-0.75
2.1270	BLACKROCK CORE BOND I	BFMCX	4/2/2018	19.97 ^N	9.3900	6/22/2018	19.78	9.3000	-0.19	-0.95
2.0460	BLACKROCK CORE BOND I	BFMCX	5/1/2018	19.05 ^N	9.3100	6/22/2018	19.04	9.3000	-0.01	-0.05
2.0630	BLACKROCK CORE BOND I	BFMCX	6/1/2018	19.31 ^N	9.3600	6/22/2018	19.20	9.3000	-0.11	-0.57
16.1130	COHEN & STEERS RLTY SHS	CSRSX	8/29/2017	1,072.13 ^N	66.5400	6/22/2018	1,035.41	64.2600	-36.72	-3.42
0.0960	COHEN & STEERS RLTY SHS	CSRSX	10/2/2017	6.33 ^N	66.0800	6/22/2018	6.16	64.2600	-0.17	-2.69
0.7980	COHEN & STEERS RLTY SHS	CSRSX	12/7/2017	50.82 ^N	63.7000	6/22/2018	51.28	64.2600	0.46	0.91
0.1080	COHEN & STEERS RLTY SHS	CSRSX	12/7/2017	6.87 ^N	63.7000	6/22/2018	6.94	64.2600	0.07	1.02
7.1350	COHEN & STEERS RLTY SHS	CSRSX	12/7/2017	456.42 ^N	63.9700	6/22/2018	458.51	64.2600	2.09	0.46
0.1640	COHEN & STEERS RLTY SHS	CSRSX	4/2/2018	9.80 ^N	59.7600	6/22/2018	10.54	64.2600	0.74	7.55
3.9730	JOHN HANCOCK GLB SHI	JGYX	6/27/2017	44.85 ^N	11.2900	6/22/2018	44.62	11.2300	-0.23	-0.51
1.8510	JOHN HANCOCK GLB SHI	JGYX	9/26/2017	21.30 ^N	11.5100	6/22/2018	20.79	11.2300	-0.51	-2.39
1.9210	JOHN HANCOCK GLB SHI	JGYX	12/18/2017	22.57 ^N	11.7500	6/22/2018	21.58	11.2300	-0.99	-4.39
1.7270	JOHN HANCOCK GLB SHI	JGYX	3/27/2018	19.10 ^N	11.0600	6/22/2018	19.41	11.2300	0.31	1.62

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized % Gain or Loss
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Short - Continued

0.6830	MFS VALUE I	MEIIX	6/29/2017 ^c	26.89 ⁿ	39.3600	6/22/2018	26.99	39.5200	0.10	0.37
0.6050	MFS VALUE I	MEIIX	9/28/2017 ^c	24.24 ⁿ	40.0900	6/22/2018	23.91	39.5200	-0.33	-1.36
4.2820	MFS VALUE I	MEIIX	12/22/2017 ^c	175.14 ⁿ	40.9000	6/22/2018	169.25	39.5200	-5.89	-3.36
0.8020	MFS VALUE I	MEIIX	12/22/2017 ^c	32.82 ⁿ	40.9000	6/22/2018	31.70	39.5200	-1.12	-3.41
0.4680	MFS VALUE I	MEIIX	12/22/2017 ^c	19.13 ⁿ	40.9000	6/22/2018	18.50	39.5200	-0.63	-3.29
0.5990	MFS VALUE I	MEIIX	3/28/2018 ^c	23.35 ⁿ	38.9500	6/22/2018	23.68	39.5200	0.33	1.41
2.6420	MAINSTAY MACKAY H/Y BD I	MHYIX	7/3/2017 ^c	15.22 ⁿ	5.7600	6/22/2018	14.88	5.6300	-0.34	-2.23
2.6380	MAINSTAY MACKAY H/Y BD I	MHYIX	8/1/2017 ^c	15.30 ⁿ	5.8000	6/22/2018	14.85	5.6300	-0.45	-2.94
2.6630	MAINSTAY MACKAY H/Y BD I	MHYIX	9/1/2017 ^c	15.39 ⁿ	5.7800	6/22/2018	14.99	5.6300	-0.40	-2.60
2.6670	MAINSTAY MACKAY H/Y BD I	MHYIX	10/2/2017 ^c	15.47 ⁿ	5.8000	6/22/2018	15.02	5.6300	-0.45	-2.91
2.6900	MAINSTAY MACKAY H/Y BD I	MHYIX	11/1/2017 ^c	15.55 ⁿ	5.7800	6/22/2018	15.15	5.6300	-0.40	-2.57
2.7180	MAINSTAY MACKAY H/Y BD I	MHYIX	12/1/2017 ^c	15.63 ⁿ	5.7500	6/22/2018	15.31	5.6300	-0.32	-2.05
9.2430	MAINSTAY MACKAY H/Y BD I	MHYIX	12/7/2017 ^c	53.15 ⁿ	5.7500	6/22/2018	52.06	5.6300	-1.09	-2.05
2.7920	MAINSTAY MACKAY H/Y BD I	MHYIX	12/22/2017 ^c	16.00 ⁿ	5.7300	6/22/2018	15.72	5.6300	-0.28	-1.75
2.5030	MAINSTAY MACKAY H/Y BD I	MHYIX	2/1/2018 ^c	14.42 ⁿ	5.7600	6/22/2018	14.10	5.6300	-0.32	-2.22
2.5470	MAINSTAY MACKAY H/Y BD I	MHYIX	3/1/2018 ^c	14.49 ⁿ	5.6900	6/22/2018	14.35	5.6300	-0.14	-0.97
2.5770	MAINSTAY MACKAY H/Y BD I	MHYIX	4/2/2018 ^c	14.56 ⁿ	5.6500	6/22/2018	14.52	5.6300	-0.04	-0.27
2.5940	MAINSTAY MACKAY H/Y BD I	MHYIX	5/1/2018 ^c	14.63 ⁿ	5.6400	6/22/2018	14.61	5.6300	-0.02	-0.14
2.6270	MAINSTAY MACKAY H/Y BD I	MHYIX	6/1/2018 ^c	14.71 ⁿ	5.6000	6/22/2018	14.81	5.6300	0.10	0.68
1.3900	METROPOLITAN WEST FDS I	MWTIX	7/3/2017 ^c	14.80 ⁿ	10.6500	6/22/2018	14.40	10.3600	-0.40	-2.70
1.4490	METROPOLITAN WEST FDS I	MWTIX	8/1/2017 ^c	15.46 ⁿ	10.6700	6/22/2018	15.01	10.3600	-0.45	-2.91
12.3900	METROPOLITAN WEST FDS I	MWTIX	8/30/2017 ^c	132.94 ⁿ	10.7300	6/22/2018	128.38	10.3600	-4.56	-3.43
1.4830	METROPOLITAN WEST FDS I	MWTIX	9/1/2017 ^c	15.94 ⁿ	10.7500	6/22/2018	15.36	10.3600	-0.58	-3.64
1.3720	METROPOLITAN WEST FDS I	MWTIX	10/2/2017 ^c	14.65 ⁿ	10.6800	6/22/2018	14.21	10.3600	-0.44	-3.00
1.5950	METROPOLITAN WEST FDS I	MWTIX	11/1/2017 ^c	17.00 ⁿ	10.6600	6/22/2018	16.52	10.3600	-0.48	-2.82
1.5480	METROPOLITAN WEST FDS I	MWTIX	12/1/2017 ^c	16.47 ⁿ	10.6400	6/22/2018	16.04	10.3600	-0.43	-2.61
69.3040	METROPOLITAN WEST FDS I	MWTIX	12/7/2017 ^c	738.78 ⁿ	10.6600	6/22/2018	718.12	10.3600	-20.66	-2.80
1.7040	METROPOLITAN WEST FDS I	MWTIX	1/2/2018 ^c	18.16 ⁿ	10.6600	6/22/2018	17.65	10.3600	-0.51	-2.81
1.6980	METROPOLITAN WEST FDS I	MWTIX	2/1/2018 ^c	17.88 ⁿ	10.5300	6/22/2018	17.59	10.3600	-0.29	-1.62
1.6510	METROPOLITAN WEST FDS I	MWTIX	3/1/2018 ^c	17.19 ⁿ	10.4100	6/22/2018	17.11	10.3600	-0.08	-0.47
1.9890	METROPOLITAN WEST FDS I	MWTIX	4/2/2018 ^c	20.80 ⁿ	10.4600	6/22/2018	20.61	10.3600	-0.19	-0.91
1.9980	METROPOLITAN WEST FDS I	MWTIX	5/1/2018 ^c	20.72 ⁿ	10.3700	6/22/2018	20.70	10.3600	-0.02	-0.10
2.0870	METROPOLITAN WEST FDS I	MWTIX	6/1/2018 ^c	21.75 ⁿ	10.4200	6/22/2018	21.64	10.3600	-0.11	-0.51
1.1380	JPMORGAN CORE BOND I	WOBDX	6/29/2017 ^c	13.27 ⁿ	11.6600	6/22/2018	12.81	11.2600	-0.46	-3.47
1.1540	JPMORGAN CORE BOND I	WOBDX	7/28/2017 ^c	13.54 ⁿ	11.6300	6/22/2018	13.10	11.2600	-0.44	-3.25
1.1130	JPMORGAN CORE BOND I	WOBDX	8/30/2017 ^c	13.04 ⁿ	11.7200	6/22/2018	12.53	11.2600	-0.51	-3.91

Schedule of Realized Gains and Losses

Prepared For

8581-0743

KATHRYN ELLIS (LKA)

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Name _____

Quarterly	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain	% Gain
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1.1380	JPMORGAN CORE BOND	WOBDX	9/28/2017 ^F	13.24 ^N	11.6300	6/22/2018	12.81	11.2600	-0.43	-3.25
1.1470	JPMORGAN CORE BOND	WOBDX	10/30/2017 ^F	13.31 ^N	11.6000	6/22/2018	12.91	11.2600	-0.40	-3.01
1.1810	JPMORGAN CORE BOND	WOBDX	11/29/2017 ^F	13.73 ^N	11.6300	6/22/2018	13.30	11.2600	-0.43	-3.13
1.2950	JPMORGAN CORE BOND	WOBDX	12/7/2017 ^F	60.98 ^N	11.6200	6/22/2018	587.36	11.2600	-18.62	-3.07
0.0270	JPMORGAN CORE BOND	WOBDX	12/14/2017 ^F	15.04 ^N	11.6100	6/22/2018	14.58	11.2600	-0.46	-3.06
1.3210	JPMORGAN CORE BOND	WOBDX	12/14/2017 ^F	0.37 ^N	11.6100	6/22/2018	0.30	11.2600	-0.01	-3.23
1.1510	JPMORGAN CORE BOND	WOBDX	1/30/2018 ^F	15.28 ^N	11.5700	6/22/2018	14.88	11.2600	-0.40	-2.62
1.2450	JPMORGAN CORE BOND	WOBDX	2/27/2018 ^F	13.18 ^N	11.4500	6/22/2018	12.98	11.2600	-0.22	-1.67
0.4270	WELLS FARGO EMKT INSTL	WOBDX	3/28/2018 ^F	14.09 ^N	11.3200	6/22/2018	14.02	11.2600	-0.07	-0.50
1.3910	AMER FD\$ EUROPACIFIC F2	WOBDX	4/27/2018 ^F	14.81 ^N	11.3400	6/22/2018	14.71	11.2600	-0.10	-0.68
0.5450	AMER FD\$ EUROPACIFIC F2	AEPFX	5/30/2018 ^F	17.27 ^N	11.2200	6/22/2018	17.34	11.2600	0.07	0.41
1.9860	AMER FD\$ EUROPACIFIC F2	AEPFX	6/14/2018 ^F	15.28 ^N	11.3200	6/22/2018	15.17	11.2600	-0.08	-0.52
0.0490	AMER FD\$ EUROPACIFIC F2	EMGNX	12/29/2017 ^F	11.25 ^N	26.3300	6/22/2018	10.86	25.4200	-0.39	-3.47
0.6730	NUVEEN REAL ESTATE	FARCX	9/16/2018 ^F	77.36 ^N	55.6100	6/22/2018	75.39	54.2000	-1.97	-2.55
0.4570	NUVEEN REAL ESTATE	FARCX	6/14/2018 ^F	30.29 ^N	55.6100	6/22/2018	29.54	54.2000	-0.75	-2.48
2.2280	NUVEEN REAL ESTATE	FARCX	10/3/2018 ^F	11.24 ^N	55.5000	6/22/2018	107.66	54.2000	-2.58	-2.34
1.1510	NUVEEN REAL ESTATE	FARCX	12/16/2018 ^F	24.84 ^N	24.8000	8/29/2017	15.06	22.3700	-0.92	-8.26
0.5400	NUVEEN REAL ESTATE	FARCX	12/30/2018 ^F	11.78 ^N	24.3900	8/29/2017	10.22	22.3700	-1.16	-7.15
0.3350	NUVEEN REAL ESTATE	FARCX	4/3/2019 ^F	7.39 ^N	21.5800	8/29/2017	49.86	22.3700	1.78	3.70
1.7630	JPMORGAN S/C EQUITY FD	VSEIX	12/14/2017 ^F	10.36 ^N	56.9200	6/22/2018	108.32	61.4400	0.92	3.70
0.7570	JPMORGAN S/C EQUITY FD	VSEIX	12/14/2017 ^F	43.08 ^N	56.9200	6/22/2018	46.51	61.4400	3.43	7.96
0.2140	JPMORGAN S/C EQUITY FD	VSEIX	12/21/2017 ^F	12.23 ^N	57.2000	6/22/2018	13.16	61.4400	0.93	7.60
1.0400	PIMCO INCOME FD	PIMMX	7/3/2017 ^F	12.85 ^N	12.3500	6/22/2018	12.46	11.9800	-0.39	-3.04
1.0520	PIMCO INCOME FD	PIMMX	8/1/2017 ^F	13.01 ^N	12.3700	6/22/2018	12.60	11.9800	-0.41	-3.15
1.0470	PIMCO INCOME FD	PIMMX	9/1/2017 ^F	13.02 ^N	12.4300	6/22/2018	12.54	11.9800	-0.48	-3.69
1.0510	PIMCO INCOME FD	PIMMX	10/2/2017 ^F	13.07 ^N	12.4400	6/22/2018	12.59	11.9800	-0.48	-3.67
1.0600	PIMCO INCOME FD	PIMMX	11/1/2017 ^F	13.20 ^N	12.4500	6/22/2018	12.70	11.9800	-0.50	-3.79
1.0620	PIMCO INCOME FD	PIMMX	12/1/2017 ^F	13.20 ^N	12.4300	6/22/2018	12.72	11.9800	-0.48	-3.64
1.0770	PIMCO INCOME FD	PIMMX	1/2/2018 ^F	13.37 ^N	12.4100	6/22/2018	12.90	11.9800	-0.47	-3.52
1.0920	PIMCO INCOME FD	PIMMX	2/1/2018 ^F	13.45 ^N	12.3200	6/22/2018	13.09	11.9800	-0.36	-2.68
1.1010	PIMCO INCOME FD	PIMMX	3/1/2018 ^F	13.44 ^N	12.2100	6/22/2018	13.19	11.9800	-0.25	-1.86
1.1010	PIMCO INCOME FD	PIMMX	4/2/2018 ^F	13.44 ^N	12.2100	6/22/2018	13.20	11.9800	-0.24	-1.79

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:

8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

01/01/2017 - 09/05/2018

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Am.	Close Price	Realized % Gain or Loss
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Short - Continued

1,1230	PIMCO INCOME FD I	PIMIX	5/1/2018 ^c	13.59 ⁿ	12.1000	6/22/2018	13.48	11.9800	-0.13	-0.96
1,1320	PIMCO INCOME FD I	PIMIX	6/1/2018 ^c	13.63 ⁿ	12.0400	6/22/2018	13.58	11.9800	-0.05	-0.37
216,7110	PIMCO COMM REAL RETURN I	PCRIX	8/29/2017 ^c	1,441.13 ⁿ	6.6500	6/22/2018	1,436.79	6.6300	-4.34	-0.30
5,3270	PIMCO COMM REAL RETURN I	PCRIX	9/15/2017 ^c	35.37 ⁿ	6.5400	6/22/2018	35.31	6.6300	-0.06	-0.17
3,9120	PIMCO COMM REAL RETURN I	PCRIX	12/7/2017 ^c	25.70 ⁿ	6.5700	6/22/2018	25.94	6.6300	0.24	0.93
5,0310	PIMCO COMM REAL RETURN I	PCRIX	12/28/2017 ^c	33.61 ⁿ	6.6800	6/22/2018	33.36	6.6300	-0.25	-0.74
4,4410	PIMCO COMM REAL RETURN I	PCRIX	6/15/2018 ^c	30.02 ⁿ	6.7600	6/22/2018	29.45	6.6300	-0.57	-1.90
1,8020	PIMCO DVRSEFD INC INSTL	PDIX	7/3/2017 ^c	19.66 ⁿ	10.9100	6/22/2018	19.12	10.6100	-0.54	-2.75
1,5850	PIMCO DVRSEFD INC INSTL	PDIX	8/1/2017 ^c	17.39 ⁿ	10.9700	6/22/2018	16.82	10.6100	-0.57	-3.28
1,6650	PIMCO DVRSEFD INC INSTL	PDIX	9/1/2017 ^c	18.41 ⁿ	11.0600	6/22/2018	17.67	10.6100	-0.74	-4.02
1,6400	PIMCO DVRSEFD INC INSTL	PDIX	10/2/2017 ^c	18.09 ⁿ	11.0300	6/22/2018	17.40	10.6100	-0.69	-3.81
1,6380	PIMCO DVRSEFD INC INSTL	PDIX	11/1/2017 ^c	18.08 ⁿ	11.0400	6/22/2018	17.38	10.6100	-0.70	-3.87
1,6750	PIMCO DVRSEFD INC INSTL	PDIX	12/1/2017 ^c	18.39 ⁿ	10.9800	6/22/2018	17.78	10.6100	-0.61	-3.32
12,4900	PIMCO DVRSEFD INC INSTL	PDIX	12/7/2017 ^c	137.27 ⁿ	10.9900	6/22/2018	132.58	10.6100	-4.69	-3.42
2,0420	PIMCO DVRSEFD INC INSTL	PDIX	12/28/2017 ^c	22.34 ⁿ	10.9400	6/22/2018	21.67	10.6100	-0.67	-3.00
1,7540	PIMCO DVRSEFD INC INSTL	PDIX	1/2/2018 ^c	19.21 ⁿ	10.9500	6/22/2018	18.61	10.6100	-0.60	-3.12
1,6740	PIMCO DVRSEFD INC INSTL	PDIX	2/1/2018 ^c	18.20 ⁿ	10.8700	6/22/2018	17.77	10.6100	-0.43	-2.36
1,6050	PIMCO DVRSEFD INC INSTL	PDIX	3/1/2018 ^c	17.22 ⁿ	10.7300	6/22/2018	17.04	10.6100	-0.18	-1.05
1,5890	PIMCO DVRSEFD INC INSTL	PDIX	4/2/2018 ^c	17.11 ⁿ	10.7700	6/22/2018	16.87	10.6100	-0.24	-1.40
1,5770	PIMCO DVRSEFD INC INSTL	PDIX	5/1/2018 ^c	16.89 ⁿ	10.7100	6/22/2018	16.74	10.6100	-0.15	-0.89
1,7270	PIMCO DVRSEFD INC INSTL	PDIX	6/1/2018 ^c	18.39 ⁿ	10.6500	6/22/2018	18.34	10.6100	-0.05	-0.27
1,6220	PRINCIPAL SMID DIV INSTS	PMDIX	9/19/2017 ^c	27.53 ⁿ	16.9700	6/22/2018	28.17	17.3700	0.64	2.32
10,6770	PRINCIPAL SMID DIV INSTS	PMDIX	12/21/2017 ^c	183.85 ⁿ	17.2200	6/22/2018	185.48	17.3700	1.63	0.89
4,4760	PRINCIPAL SMID DIV INSTS	PMDIX	12/21/2017 ^c	77.08 ⁿ	17.2200	6/22/2018	77.76	17.3700	0.68	0.88
1,5750	PRINCIPAL SMID DIV INSTS	PMDIX	12/28/2017 ^c	27.06 ⁿ	17.1800	6/22/2018	27.36	17.3700	0.30	1.11
1,2640	PRINCIPAL SMID DIV INSTS	PMDIX	3/20/2018 ^c	21.22 ⁿ	16.7900	6/22/2018	21.96	17.3700	0.74	3.49
0,9520	PRINCIPAL SMID DIV INSTS	PMDIX	6/20/2018 ^c	16.51 ⁿ	17.3500	6/22/2018	16.54	17.3700	0.03	0.18
Long				8,698.32			8,541.05		-157.27	
141,9230	INVESTCO GLBL REAL EST Y	ARGYX	8/17/2015 ^f	1,853.51 ⁿ	13.0600	6/22/2018	1,907.44	13.4400	53.93	2.91
0,5500	INVESTCO GLBL REAL EST Y	ARGYX	9/18/2015 ^f	6.77 ⁿ	12.3100	6/22/2018	7.39	13.4400	0.62	9.16
1,4360	INVESTCO GLBL REAL EST Y	ARGYX	12/14/2015 ^f	17.51 ⁿ	12.1900	6/22/2018	19.30	13.4400	1.79	10.22
0,5300	INVESTCO GLBL REAL EST Y	ARGYX	3/18/2016 ^f	6.84 ⁿ	12.9100	6/22/2018	7.12	13.4400	0.28	4.09
0,6570	INVESTCO GLBL REAL EST Y	ARGYX	6/17/2016 ^f	8.47 ⁿ	12.9000	6/22/2018	8.83	13.4400	0.36	4.25
0,6280	INVESTCO GLBL REAL EST Y	ARGYX	9/16/2016 ^f	8.33 ⁿ	13.2600	6/22/2018	8.44	13.4400	0.11	1.32

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday September 05, 2018
A5V/K MAHN/GOWEN

Prepared For:

8581-0743

KATHRYN GELLIS (IRAN)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Trade Price	Close Date	Close Amt.	Close Price	% Gain or Loss
Long - Continued									
4,0510	INVESCO GLBL REAL ESTY	ARGYX	12/14/2016 ^c	50.07 ⁿ	12.3600	6/22/2018	54.44	13.4400	4.37
0.5130	INVESTOP GLBL REAL ESTY	ARGYX	3/17/2017 ^c	6.47 ⁿ	12.6200	6/22/2018	6.89	13.4400	0.42
0.4910	INVESTOP GLBL REAL ESTY	ARGYX	6/16/2017 ^c	6.43 ⁿ	13.2100	6/22/2018	6.59	13.4400	0.10
93,8090	DEUTSCHE ENH CMDTY INSTL	SKIRX	12/16/2015 ^c	1,067.55 ⁿ	11.3800	8/29/2017	1,053.47	11.2300	-14.08
0.04450	DEUTSCHE ENH CMDTY INSTL	SKIRX	3/24/2016 ^c	0.53 ⁿ	11.6700	8/29/2017	0.50	11.2300	-0.03
0.1000	DEUTSCHE ENH CMDTY INSTL	SKIRX	6/24/2016 ^c	1.28 ⁿ	12.7500	8/29/2017	1.12	11.2300	-0.16
200.1010	BLACKROCK CORE BOND I	BFMCX	12/11/2012 ^c	1,958.99 ⁿ	9.7900	6/22/2018	1,860.93	9.3000	-98.06
0.5380	BLACKROCK CORE BOND I	BFMCX	1/2/2013 ^c	5.27 ⁿ	9.8000	6/22/2018	5.00	9.3000	-0.27
0.8110	BLACKROCK CORE BOND I	BFMCX	2/11/2013 ^c	7.91 ⁿ	9.7500	6/22/2018	7.54	9.3000	-0.37
0.7380	BLACKROCK CORE BOND I	BFMCX	3/11/2013 ^c	7.21 ⁿ	9.7700	6/22/2018	6.86	9.3000	-0.35
0.6750	BLACKROCK CORE BOND I	BFMCX	4/11/2013 ^c	6.59 ⁿ	9.7600	6/22/2018	6.27	9.3000	-0.32
0.5200	BLACKROCK CORE BOND I	BFMCX	5/11/2013 ^c	5.11 ⁿ	9.8300	6/22/2018	4.83	9.3000	-0.28
0.5210	BLACKROCK CORE BOND I	BFMCX	6/3/2013 ^c	5.03 ⁿ	9.6600	6/22/2018	4.84	9.3000	-0.19
0.5100	BLACKROCK CORE BOND I	BFMCX	7/11/2013 ^c	4.82 ⁿ	9.4500	6/22/2018	4.74	9.3000	-0.08
0.5230	BLACKROCK CORE BOND I	BFMCX	8/11/2013 ^c	4.93 ⁿ	9.4300	6/22/2018	4.86	9.3000	-0.07
0.4740	BLACKROCK CORE BOND I	BFMCX	9/3/2013 ^c	4.44 ⁿ	9.3700	6/22/2018	4.40	9.3000	-0.04
0.4720	BLACKROCK CORE BOND I	BFMCX	10/1/2013 ^c	4.46 ⁿ	9.4500	6/22/2018	4.38	9.3000	-0.08
0.4860	BLACKROCK CORE BOND I	BFMCX	11/1/2013 ^c	4.62 ⁿ	9.5100	6/22/2018	4.51	9.3000	-0.11
0.5200	BLACKROCK CORE BOND I	BFMCX	12/2/2013 ^c	4.91 ⁿ	9.4500	6/22/2018	4.83	9.3000	-0.08
0.5480	BLACKROCK CORE BOND I	BFMCX	1/2/2014 ^c	5.15 ⁿ	9.3900	6/22/2018	5.09	9.3000	-0.06
0.5850	BLACKROCK CORE BOND I	BFMCX	2/3/2014 ^c	5.57 ⁿ	9.5200	6/22/2018	5.44	9.3000	-0.13
0.5750	BLACKROCK CORE BOND I	BFMCX	3/3/2014 ^c	5.49 ⁿ	9.5500	6/22/2018	5.34	9.3000	-0.15
0.6010	BLACKROCK CORE BOND I	BFMCX	4/11/2014 ^c	5.73 ⁿ	9.5300	6/22/2018	5.58	9.3000	-0.15
0.6060	BLACKROCK CORE BOND I	BFMCX	5/11/2014 ^c	5.82 ⁿ	9.6000	6/22/2018	5.63	9.3000	-0.19
0.5920	BLACKROCK CORE BOND I	BFMCX	6/12/2014 ^c	5.73 ⁿ	9.6800	6/22/2018	5.50	9.3000	-0.23
0.5530	BLACKROCK CORE BOND I	BFMCX	7/11/2014 ^c	5.39 ⁿ	9.6700	6/22/2018	5.14	9.3000	-0.21
109,5830	BLACKROCK CORE BOND I	BFMCX	7/14/2014 ^c	1,056.38 ⁿ	9.6400	6/22/2018	1,019.13	9.3000	-37.25
0.6790	BLACKROCK CORE BOND I	BFMCX	8/11/2014 ^c	6.54 ⁿ	9.6300	6/22/2018	6.31	9.3000	-0.23
60.1920	BLACKROCK CORE BOND I	BFMCX	8/19/2014 ^c	582.06 ⁿ	9.6700	6/22/2018	559.79	9.3000	-22.27
265.0520	BLACKROCK CORE BOND I	BFMCX	8/28/2014 ^c	2,571.00 ⁿ	9.7000	6/22/2018	2,465.03	9.3000	-105.97
0.8020	BLACKROCK CORE BOND I	BFMCX	9/2/2014 ^c	7.78 ⁿ	9.7000	6/22/2018	7.45	9.3000	-0.33
1.4180	BLACKROCK CORE BOND I	BFMCX	10/1/2014 ^c	13.66 ⁿ	9.6300	6/22/2018	13.18	9.3000	-0.48
111.3490	BLACKROCK CORE BOND I	BFMCX	10/3/2014 ^c	1,074.52 ⁿ	9.6500	6/22/2018	1,035.57	9.3000	-38.95
1.6730	BLACKROCK CORE BOND I	BFMCX	1/3/2014 ^c	16.19 ⁿ	9.6800	6/22/2018	15.55	9.3000	-0.64
1.7290	BLACKROCK CORE BOND I	BFMCX	12/1/2014 ^c	16.82 ⁿ	9.7300	6/22/2018	16.08	9.3000	-0.74
1.7160	BLACKROCK CORE BOND I	BFMCX	1/2/2015 ^c	16.68 ⁿ	9.7200	6/22/2018	15.95	9.3000	-0.73

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:

8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

01/01/2017 - 09/05/2018

Quantity	Name	Security	Open Date	Cost Amount	Cost Adjusted	Trade Price	Close Date	Close Amount	Close Price	Realized	% Gain or Loss
Long - Continued											
1,6560	BLACKROCK CORRE BOND I	BFMCX	2/2/2015 ^f	16.43 ⁿ	9,9200	6/2/2018	15.40	9,3000	-1.03	-6.27	
1,5230	BLACKROCK CORRE BOND I	BFMCX	3/3/2015 ^f	14.97 ⁿ	9,8300	6/2/2018	14.16	9,3000	-0.81	-5.41	
1,3550	BLACKROCK CORRE BOND I	BFMCX	4/1/2015 ^f	13.35 ⁿ	9,8500	6/2/2018	12.60	9,3000	-0.75	-5.62	
1,4890	BLACKROCK CORRE BOND I	BFMCX	5/1/2015 ^f	14.58 ⁿ	9,7900	6/2/2018	13.84	9,3000	-0.74	-5.08	
1,5050	BLACKROCK CORRE BOND I	BFMCX	6/1/2015 ^f	14.67 ⁿ	9,7500	6/2/2018	13.99	9,3000	-0.68	-4.64	
1,5790	BLACKROCK CORRE BOND I	BFMCX	7/1/2015 ^f	15.19 ⁿ	9,6200	6/2/2018	14.68	9,3000	-0.51	-3.36	
1,6010	BLACKROCK CORRE BOND I	BFMCX	8/3/2015 ^f	15.42 ⁿ	9,6500	6/2/2018	14.89	9,3000	-0.56	-3.62	
1,5010	BLACKROCK CORRE BOND I	BFMCX	9/1/2015 ^f	14.42 ⁿ	9,6100	6/2/2018	13.96	9,3000	-0.46	-3.19	
1,4470	BLACKROCK CORRE BOND I	BFMCX	10/1/2015 ^f	13.98 ⁿ	9,6500	6/2/2018	13.45	9,3000	-0.51	-3.65	
1,5120	BLACKROCK CORRE BOND I	BFMCX	11/2/2015 ^f	14.58 ⁿ	9,6400	6/2/2018	14.06	9,3000	-0.52	-3.57	
1,5870	BLACKROCK CORRE BOND I	BFMCX	12/1/2015 ^f	15.22 ⁿ	9,5900	6/2/2018	14.76	9,3000	-0.46	-3.02	
1,2780	BLACKROCK CORRE BOND I	BFMCX	12/24/2015 ^f	12.17 ⁿ	9,5200	6/2/2018	11.88	9,3000	-0.29	-2.38	
1,7340	BLACKROCK CORRE BOND I	BFMCX	1/4/2016 ^f	16.51 ⁿ	9,5200	6/2/2018	16.12	9,3000	-0.39	-2.36	
1,6140	BLACKROCK CORRE BOND I	BFMCX	2/1/2016 ^f	15.53 ⁿ	9,6200	6/2/2018	15.01	9,3000	-0.52	-3.35	
1,5750	BLACKROCK CORRE BOND I	BFMCX	3/1/2016 ^f	15.23 ⁿ	9,6700	6/2/2018	14.64	9,3000	-0.59	-3.87	
1,5640	BLACKROCK CORRE BOND I	BFMCX	4/1/2016 ^f	15.22 ⁿ	9,7300	6/2/2018	14.54	9,3000	-0.68	-4.47	
1,5930	BLACKROCK CORRE BOND I	BFMCX	5/2/2016 ^f	15.55 ⁿ	9,7600	6/2/2018	14.81	9,3000	-0.74	-4.76	
1,5960	BLACKROCK CORRE BOND I	BFMCX	6/1/2016 ^f	15.53 ⁿ	9,7300	6/2/2018	14.84	9,3000	-0.69	-4.44	
1,4980	BLACKROCK CORRE BOND I	BFMCX	7/1/2016 ^f	14.80 ⁿ	9,8800	6/2/2018	13.93	9,3000	-0.87	-5.88	
1,5180	BLACKROCK CORRE BOND I	BFMCX	8/1/2016 ^f	15.06 ⁿ	9,9200	6/2/2018	14.11	9,3000	-0.95	-6.31	
1,5530	BLACKROCK CORRE BOND I	BFMCX	9/1/2016 ^f	15.34 ⁿ	9,8800	6/2/2018	14.44	9,3000	-0.90	-5.87	
12,5580	BLACKROCK CORRE BOND I	BFMCX	9/7/2016 ^f	124.30 ⁿ	9,8900	6/2/2018	116.89	9,3000	-7.41	-5.96	
1,4390	BLACKROCK CORRE BOND I	BFMCX	10/3/2016 ^f	14.19 ⁿ	9,8600	6/2/2018	13.38	9,3000	-0.81	-5.71	
1,6120	BLACKROCK CORRE BOND I	BFMCX	11/1/2016 ^f	15.75 ⁿ	9,7700	6/2/2018	14.99	9,3000	-0.76	-4.83	
1,6840	BLACKROCK CORRE BOND I	BFMCX	12/1/2016 ^f	16.08 ⁿ	9,5500	6/2/2018	15.66	9,3000	-0.42	-2.61	
1,7720	BLACKROCK CORRE BOND I	BFMCX	1/3/2017 ^f	16.92 ⁿ	9,5500	6/2/2018	16.48	9,3000	-0.44	-2.60	
1,7600	BLACKROCK CORRE BOND I	BFMCX	2/1/2017 ^f	16.73 ⁿ	9,5400	6/2/2018	16.37	9,3000	-0.42	-2.50	
1,7860	BLACKROCK CORRE BOND I	BFMCX	3/1/2017 ^f	17.13 ⁿ	9,5900	6/2/2018	16.61	9,3000	-0.52	-3.04	
1,8950	BLACKROCK CORRE BOND I	BFMCX	4/3/2017 ^f	18.12 ⁿ	9,5600	6/2/2018	17.62	9,3000	-0.50	-2.76	
1,8990	BLACKROCK CORRE BOND I	BFMCX	5/1/2017 ^f	17.38 ⁿ	9,6100	6/2/2018	16.82	9,3000	-0.56	-3.22	
1,8250	BLACKROCK CORRE BOND I	BFMCX	6/1/2017 ^f	17.65 ⁿ	9,6600	6/2/2018	16.97	9,3000	-0.66	-3.74	
6,2080	JOHN HANCOCK GLB SHI	JGYIX	3/27/2013 ^f	66.37 ⁿ	10,6900	12/7/2017	73.13	11,7800	6.76	10.19	
8,1650	JOHN HANCOCK GLB SHI	JGYIX	3/27/2013 ^f	92.01 ⁿ	10,6900	6/2/2018	987.53	11,2300	46.53	5.05	
1,2510	JOHN HANCOCK GLB SHI	JGYIX	6/26/2013 ^f	13.28 ⁿ	10,6000	6/2/2018	14.04	11,2300	0.78	5.88	
5,1640	JOHN HANCOCK GLB SHI	JGYIX	9/11/2013 ^f	584.15 ⁿ	11,3100	6/2/2018	500.02	11,2300	-4.13	-0.71	
0,8900	JOHN HANCOCK GLB SHI	JGYIX	9/26/2013 ^f	10.16 ⁿ	11,4100	6/2/2018	9.99	11,2300	-0.17	-1.67	

Schedule of Realized Gains and Losses

Wednesday September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

01/01/2017 - 09/05/2018

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain or Loss	% Gain or Loss
Long - Continued											
5,5610	JOHN HANCOCK GLB SHI	JGYIX	12/17/2013 ^f	62.40 ⁿ	11.2200	6/22/2018	62.45	11.2300	0.05	0.08	
0.9130	JOHN HANCOCK GLB SHI	JGYIX	12/17/2013 ^f	10.24 ⁿ	11.2200	6/22/2018	10.25	11.2300	0.01	0.10	
0.7640	JOHN HANCOCK GLB SHI	JGYIX	3/26/2014 ^f	8.96 ⁿ	11.7600	6/22/2018	8.57	11.2300	-0.42	-4.67	
1.9150	JOHN HANCOCK GLB SHI	JGYIX	6/26/2014 ^f	23.66 ⁿ	12.3700	6/22/2018	21.50	11.2300	-2.19	-9.24	
58,4700	JOHN HANCOCK GLB SHI	JGYIX	7/14/2014 ^f	723.28 ⁿ	12.3700	6/22/2018	656.62	11.2300	-66.66	-9.22	
43.8220	JOHN HANCOCK GLB SHI	JGYIX	8/19/2014 ^f	534.63 ⁿ	12.2000	6/22/2018	492.13	11.2300	-42.50	-7.95	
1.5820	JOHN HANCOCK GLB SHI	JGYIX	9/26/2014 ^f	18.87 ⁿ	11.9300	6/22/2018	17.76	11.2300	-1.11	-5.88	
2,0420	JOHN HANCOCK GLB SHI	JGYIX	12/10/2014 ^f	24.52 ⁿ	12.0100	6/22/2018	22.93	11.2300	-1.59	-6.48	
10.0890	JOHN HANCOCK GLB SHI	JGYIX	12/17/2014 ^f	112.09 ⁿ	11.1100	6/22/2018	113.30	11.2300	1.21	1.08	
2,2010	JOHN HANCOCK GLB SHI	JGYIX	12/17/2014 ^f	24.45 ⁿ	11.1100	6/22/2018	24.71	11.2300	0.26	1.06	
1,4700	JOHN HANCOCK GLB SHI	JGYIX	3/26/2015 ^f	16.87 ⁿ	11.4800	6/22/2018	16.50	11.2300	-0.37	-2.19	
3,3270	JOHN HANCOCK GLB SHI	JGYIX	6/26/2015 ^f	37.66 ⁿ	11.3200	6/22/2018	37.36	11.2300	-0.30	-0.80	
4,0200	JOHN HANCOCK GLB SHI	JGYIX	8/17/2015 ^f	45.06 ⁿ	11.2100	6/22/2018	45.14	11.2300	0.08	0.18	
2,3670	JOHN HANCOCK GLB SHI	JGYIX	9/28/2015 ^f	24.50 ⁿ	10.3500	6/22/2018	26.58	11.2300	2.08	8.49	
13,5930	JOHN HANCOCK GLB SHI	JGYIX	12/17/2015 ^f	136.88 ⁿ	10.0700	6/22/2018	152.66	11.2300	15.78	11.53	
2,0950	JOHN HANCOCK GLB SHI	JGYIX	12/17/2015 ^f	21.10 ⁿ	10.0700	6/22/2018	23.52	11.2300	2.42	11.47	
2,4680	JOHN HANCOCK GLB SHI	JGYIX	3/29/2016 ^f	25.67 ⁿ	10.4000	6/22/2018	27.72	11.2300	2.05	7.99	
3,8900	JOHN HANCOCK GLB SHI	JGYIX	6/28/2016 ^f	39.33 ⁿ	10.1100	6/22/2018	43.69	11.2300	4.36	11.09	
4,5870	JOHN HANCOCK GLB SHI	JGYIX	9/27/2016 ^f	49.59 ⁿ	10.8100	6/22/2018	51.52	11.2300	1.93	3.89	
1,6090	JOHN HANCOCK GLB SHI	JGYIX	9/27/2016 ^f	16.94 ⁿ	10.5300	6/22/2018	18.07	11.2300	1.13	6.67	
1,8730	JOHN HANCOCK GLB SHI	JGYIX	12/19/2016 ^f	19.40 ⁿ	10.3600	6/22/2018	21.03	11.2300	1.63	8.40	
1,8340	JOHN HANCOCK GLB SHI	JGYIX	3/28/2017 ^f	20.10 ⁿ	10.9600	6/22/2018	20.60	11.2300	0.50	2.49	
13,0300	MFS VALUE I	MEIIX	12/11/2012 ^f	331.75 ⁿ	25.4600	12/7/2017	543.10	41.6800	211.35	63.71	
17,9190	MFS VALUE I	MEIIX	12/11/2012 ^f	456.21 ⁿ	25.4600	6/22/2018	708.15	39.5200	251.94	55.22	
6,0400	MFS VALUE I	MEIIX	3/27/2013 ^f	170.66 ⁿ	28.2600	6/22/2018	238.70	39.5200	68.01	39.84	
0.0090	MFS VALUE I	MEIIX	3/28/2013 ^f	0.25 ⁿ	28.2600	6/22/2018	10.47	39.5200	2.99	39.97	
0.2650	MFS VALUE I	MEIIX	3/28/2013 ^f	7.48 ⁿ	28.2600	6/22/2018	0.35	39.5200	0.10	40.00	
0.3560	MFS VALUE I	MEIIX	6/27/2013 ^f	10.45 ⁿ	29.3900	6/22/2018	14.06	39.5200	3.61	34.55	
0.2510	MFS VALUE I	MEIIX	9/26/2013 ^f	7.80 ⁿ	31.0800	6/22/2018	9.91	39.5200	2.11	27.05	
0.8790	MFS VALUE I	MEIIX	12/11/2013 ^f	28.42 ⁿ	32.3400	6/22/2018	34.73	39.5200	6.31	22.20	
0.3340	MFS VALUE I	MEIIX	12/11/2013 ^f	10.79 ⁿ	32.3400	6/22/2018	13.19	39.5200	2.40	22.24	
0.2770	MFS VALUE I	MEIIX	12/11/2013 ^f	8.96 ⁿ	32.3400	6/22/2018	10.94	39.5200	1.98	22.10	
0.5000	MFS VALUE I	MEIIX	3/28/2014 ^f	16.52 ⁿ	33.0400	6/22/2018	19.76	39.5200	3.24	19.61	
0.3200	MFS VALUE I	MEIIX	6/27/2014 ^f	11.04 ⁿ	34.5000	6/22/2018	12.64	39.5200	1.60	14.49	
24.5980	MFS VALUE I	MEIIX	7/14/2014 ^f	856.00 ⁿ	34.8000	6/22/2018	972.12	39.5200	116.12	13.57	
18,0340	MFS VALUE I	MEIIX	8/19/2014 ^f	622.91 ⁿ	34.5400	6/22/2018	712.71	39.5200	89.80	14.42	

Schedule of Realized Gains and Losses

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Prepared For:

8581-0743
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WFCS AS CUSTODIAN

01/01/2017 - 09/05/2018

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain or Loss
Long - Continued										
17.3360	MFS VALUE I	MEIX	8/28/2014 ^d	603.12 ⁿ	34.7900	6/22/2018	685.12	39.5200	82.00	13.60
0.7070	MFS VALUE I	MEIX	9/26/2014 ^d	24.22 ⁿ	34.2200	6/22/2018	27.94	39.5200	3.73	15.41
3.0360	MFS VALUE I	MEIX	12/12/2014 ^d	105.54 ⁿ	34.7600	6/22/2018	119.98	39.5200	14.44	13.68
0.6580	MFS VALUE I	MEIX	12/12/2014 ^d	22.88 ⁿ	34.7600	6/22/2018	26.00	39.5200	3.12	13.64
0.3380	MFS VALUE I	MEIX	12/12/2014 ^d	11.74 ⁿ	34.7600	6/22/2018	13.35	39.5200	1.61	13.71
0.8410	MFS VALUE I	MEIX	3/27/2015 ^d	29.34 ⁿ	34.8800	6/22/2018	33.23	39.5200	3.89	13.26
0.6210	MFS VALUE I	MEIX	6/26/2015 ^d	22.21 ⁿ	35.7900	6/22/2018	24.54	39.5200	2.33	10.49
0.5080	MFS VALUE I	MEIX	9/29/2015 ^d	16.22 ⁿ	31.9000	6/22/2018	20.07	39.5200	3.85	23.74
3.8380	MFS VALUE I	MEIX	12/11/2015 ^d	127.31 ⁿ	33.1700	6/22/2018	151.68	39.5200	24.37	19.14
0.6040	MFS VALUE I	MEIX	12/11/2015 ^d	20.02 ⁿ	33.1700	6/22/2018	23.87	39.5200	3.85	19.23
0.1410	MFS VALUE I	MEIX	12/11/2015 ^d	4.67 ⁿ	33.1700	6/22/2018	5.57	39.5200	0.90	19.27
0.4320	MFS VALUE I	MEIX	3/30/2016 ^d	14.47 ⁿ	33.4800	6/22/2018	17.07	39.5200	2.60	17.97
0.5720	MFS VALUE I	MEIX	6/29/2016 ^d	19.28 ⁿ	33.6800	6/22/2018	22.60	39.5200	3.32	17.22
54.5580	MFS VALUE I	MEIX	9/7/2016 ^d	1,977.18 ⁿ	36.2400	6/22/2018	2,156.20	39.5200	179.02	9.05
0.6140	MFS VALUE I	MEIX	9/28/2016 ^d	21.75 ⁿ	35.3700	6/22/2018	24.26	39.5200	2.53	11.64
2.8330	MFS VALUE I	MEIX	12/14/2016 ^d	104.27 ⁿ	36.8000	6/22/2018	111.96	39.5200	7.69	7.38
1.3500	MFS VALUE I	MEIX	12/14/2016 ^d	49.68 ⁿ	36.8000	6/22/2018	53.35	39.5200	3.67	7.39
0.6850	MFS VALUE I	MEIX	3/30/2017 ^d	24.81 ⁿ	37.8600	6/22/2018	25.88	39.5200	1.07	4.31
98.4130	MAINTSTAY MACKAY HY BD I	MHYX	3/27/2013 ^d	597.75 ⁿ	6.2000	6/22/2018	542.80	5.6300	-54.95	-9.19
0.6250	MAINTSTAY MACKAY HY BD I	MHYX	4/1/2013 ^d	3.85 ⁿ	6.1600	6/22/2018	3.51	5.6300	-0.34	-8.83
0.6240	MAINTSTAY MACKAY HY BD I	MHYX	5/1/2013 ^d	3.86 ⁿ	6.2200	6/22/2018	3.51	5.6300	-0.37	-9.54
0.6330	MAINTSTAY MACKAY HY BD I	MHYX	6/3/2013 ^d	3.90 ⁿ	6.1600	6/22/2018	3.56	5.6300	-0.34	-8.72
0.6570	MAINTSTAY MACKAY HY BD I	MHYX	6/28/2013 ^d	3.92 ⁿ	5.9700	6/22/2018	3.69	5.6300	-0.23	-5.87
0.6540	MAINTSTAY MACKAY HY BD I	MHYX	8/1/2013 ^d	3.95 ⁿ	6.0400	6/22/2018	3.68	5.6300	-0.27	-6.84
0.6640	MAINTSTAY MACKAY HY BD I	MHYX	9/3/2013 ^d	3.97 ⁿ	5.9800	6/22/2018	3.73	5.6300	-0.24	-6.05
0.6650	MAINTSTAY MACKAY HY BD I	MHYX	9/30/2013 ^d	3.99 ⁿ	6.0000	6/22/2018	3.74	5.6300	-0.25	-6.27
0.6610	MAINTSTAY MACKAY HY BD I	MHYX	1/1/2013 ^d	4.02 ⁿ	6.0800	6/22/2018	3.72	5.6300	-0.30	-7.46
0.6670	MAINTSTAY MACKAY HY BD I	MHYX	1/2/2013 ^d	4.04 ⁿ	6.0600	6/22/2018	3.75	5.6300	-0.29	-7.18
0.6740	MAINTSTAY MACKAY HY BD I	MHYX	12/17/2013 ^d	4.07 ⁿ	6.0400	6/22/2018	3.79	5.6300	-0.28	-6.88
0.6330	MAINTSTAY MACKAY HY BD I	MHYX	2/3/2014 ^d	3.84 ⁿ	6.0700	6/22/2018	3.56	5.6300	-0.28	-7.29
0.6300	MAINTSTAY MACKAY HY BD I	MHYX	3/3/2014 ^d	3.86 ⁿ	6.1300	6/22/2018	3.54	5.6300	-0.32	-8.29
0.6370	MAINTSTAY MACKAY HY BD I	MHYX	3/31/2014 ^d	3.89 ⁿ	6.1100	6/22/2018	3.58	5.6300	-0.31	-7.97
0.6390	MAINTSTAY MACKAY HY BD I	MHYX	5/1/2014 ^d	3.91 ⁿ	6.1200	6/22/2018	3.59	5.6300	-0.32	-8.18
0.6410	MAINTSTAY MACKAY HY BD I	MHYX	6/2/2014 ^d	3.93 ⁿ	6.1300	6/22/2018	3.60	5.6300	-0.33	-8.40
0.6430	MAINTSTAY MACKAY HY BD I	MHYX	6/30/2014 ^d	3.95 ⁿ	6.1400	6/22/2018	3.62	5.6300	-0.33	-8.35
55.4430	MAINTSTAY MACKAY HY BD I	MHYX	7/14/2014 ^d	340.42 ⁿ	6.1400	6/22/2018	312.15	5.6300	-28.27	-8.30

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

01/01/2017 - 09/05/2018

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	Realized Gain or Loss	% Gain or Loss
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Long - Continued

0.9700	MAINSTAY MACKAY HY BD!	MHYIX	8/19/2014 ^E	5.86 ^N	6.0400	6/22/2018	5.46	5.6300	-0.40	-6.83
3.4080	MAINSTAY MACKAY HY BD!	MHYIX	9/2/2014 ^E	203.12 ^N	6.0800	6/22/2018	188.09	5.6300	-15.03	-7.40
1.1600	MAINSTAY MACKAY HY BD!	MHYIX	9/2/2014 ^E	7.04 ^N	6.0700	6/22/2018	6.53	5.6300	-0.51	-7.24
1.1980	MAINSTAY MACKAY HY BD!	MHYIX	9/3/2014 ^E	7.08 ^N	5.9100	6/22/2018	6.74	5.6300	-0.34	-4.80
1.1990	MAINSTAY MACKAY HY BD!	MHYIX	11/3/2014 ^E	7.12 ^N	5.9400	6/22/2018	6.75	5.6300	-0.37	-5.20
1.2200	MAINSTAY MACKAY HY BD!	MHYIX	12/1/2014 ^E	7.16 ^N	5.8700	6/22/2018	6.86	5.6300	-0.30	-4.19
1.2830	MAINSTAY MACKAY HY BD!	MHYIX	12/17/2014 ^E	7.20 ^N	5.6100	6/22/2018	7.22	5.6300	0.02	0.28
1.4430	MAINSTAY MACKAY HY BD!	MHYIX	2/2/2015 ^E	6.56 ^N	5.7400	6/22/2018	6.43	5.6300	-0.13	-1.98
1.1300	MAINSTAY MACKAY HY BD!	MHYIX	3/3/2015 ^E	6.60 ^N	5.8400	6/22/2018	6.36	5.6300	-0.24	-3.64
1.1450	MAINSTAY MACKAY HY BD!	MHYIX	4/1/2015 ^E	6.63 ^N	5.7900	6/22/2018	6.44	5.6300	-0.19	-2.87
1.1420	MAINSTAY MACKAY HY BD!	MHYIX	5/1/2015 ^E	6.67 ^N	5.8400	6/22/2018	6.42	5.6300	-0.25	-3.75
1.1470	MAINSTAY MACKAY HY BD!	MHYIX	6/1/2015 ^E	6.70 ^N	5.8400	6/22/2018	6.45	5.6300	-0.25	-3.73
1.1700	MAINSTAY MACKAY HY BD!	MHYIX	7/1/2015 ^E	6.74 ^N	5.7600	6/22/2018	6.58	5.6300	-0.16	-2.37
1.1850	MAINSTAY MACKAY HY BD!	MHYIX	8/3/2015 ^E	6.78 ^N	5.7200	6/22/2018	6.67	5.6300	-0.11	-1.62
224.9140	MAINSTAY MACKAY HY BD!	MHYIX	8/17/2015 ^E	1,275.26 ^N	5.6700	6/22/2018	1,266.35	5.6300	-8.91	-0.70
2.4530	MAINSTAY MACKAY HY BD!	MHYIX	9/1/2015 ^E	13.76 ^N	5.6100	6/22/2018	13.81	5.6300	0.05	0.36
2.5210	MAINSTAY MACKAY HY BD!	MHYIX	10/1/2015 ^E	13.84 ^N	5.4900	6/22/2018	14.19	5.6300	0.35	2.53
2.4950	MAINSTAY MACKAY HY BD!	MHYIX	11/2/2015 ^E	13.92 ^N	5.5800	6/22/2018	14.04	5.6300	0.12	0.86
2.5580	MAINSTAY MACKAY HY BD!	MHYIX	12/1/2015 ^E	13.99 ^N	5.4700	6/22/2018	14.40	5.6300	0.41	2.93
2.6550	MAINSTAY MACKAY HY BD!	MHYIX	12/17/2015 ^E	14.07 ^N	5.3000	6/22/2018	14.94	5.6300	0.87	6.18
2.7320	MAINSTAY MACKAY HY BD!	MHYIX	2/1/2016 ^E	14.15 ^N	5.1800	6/22/2018	15.38	5.6300	1.23	8.69
2.7490	MAINSTAY MACKAY HY BD!	MHYIX	3/1/2016 ^E	14.24 ^N	5.1800	6/22/2018	15.47	5.6300	1.23	8.64
2.6820	MAINSTAY MACKAY HY BD!	MHYIX	4/1/2016 ^E	14.32 ^N	5.3400	6/22/2018	15.10	5.6300	0.78	5.45
2.6200	MAINSTAY MACKAY HY BD!	MHYIX	5/2/2016 ^E	14.41 ^N	5.5000	6/22/2018	14.75	5.6300	0.34	2.36
2.6300	MAINSTAY MACKAY HY BD!	MHYIX	6/1/2016 ^E	14.49 ^N	5.5100	6/22/2018	14.80	5.6300	0.31	2.14
2.6250	MAINSTAY MACKAY HY BD!	MHYIX	7/1/2016 ^E	14.57 ^N	5.5500	6/22/2018	14.78	5.6300	0.21	1.44
2.5930	MAINSTAY MACKAY HY BD!	MHYIX	8/1/2016 ^E	14.65 ^N	5.6500	6/22/2018	14.60	5.6300	-0.05	-0.34
2.5710	MAINSTAY MACKAY HY BD!	MHYIX	9/1/2016 ^E	14.73 ^N	5.7300	6/22/2018	14.47	5.6300	-0.26	-1.77
2.5190	MAINSTAY MACKAY HY BD!	MHYIX	10/3/2016 ^E	14.51 ^N	5.7600	6/22/2018	14.18	5.6300	-0.33	-2.27
2.5370	MAINSTAY MACKAY HY BD!	MHYIX	11/1/2016 ^E	14.59 ^N	5.7500	6/22/2018	14.28	5.6300	-0.31	-2.12
2.5760	MAINSTAY MACKAY HY BD!	MHYIX	12/1/2016 ^E	14.66 ^N	5.6900	6/22/2018	14.50	5.6300	-0.16	-1.09
2.5770	MAINSTAY MACKAY HY BD!	MHYIX	12/16/2016 ^E	14.74 ^N	5.7200	6/22/2018	14.51	5.6300	-0.23	-1.56
2.5600	MAINSTAY MACKAY HY BD!	MHYIX	2/1/2017 ^E	14.82 ^N	5.7900	6/22/2018	14.41	5.6300	-0.41	-2.77
2.5960	MAINSTAY MACKAY HY BD!	MHYIX	3/1/2017 ^E	14.90 ^N	5.8200	6/22/2018	14.41	5.6300	-0.49	-3.29
2.5970	MAINSTAY MACKAY HY BD!	MHYIX	4/3/2017 ^E	14.98 ^N	5.7700	6/22/2018	14.62	5.6300	-0.36	-2.40
			5/1/2017 ^E	15.06 ^N	5.8000	6/22/2018	14.62	5.6300	-0.44	-2.92

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

8581-0743

KATHRYN G ELLIS (IRA)

WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Date	Close Date	Close Amnt.	Close Price	% Gain or Loss
Long - Continued										
2,6060	MAINTSTAY MACKAY H/Y BD I	MWYIX	6/1/2017 ^c	15.14 ⁿ	5.8100	6/22/2018	14.67	5.6300	-0.47	-3.10
321.3750	METROPOLITAN WEST FDS I	MWTIX	12/11/2012 ^c	3,573.65 ⁿ	11.1200	6/22/2018	3,329.44	10.3600	-244.25	-6.83
5.0820	METROPOLITAN WEST FDS I	MWTIX	12/17/2012 ^c	55.39 ⁿ	10.9000	6/22/2018	52.64	10.3600	-2.75	-4.96
2,2420	METROPOLITAN WEST FDS I	MWTIX	12/17/2012 ^c	24.44 ⁿ	10.9000	6/22/2018	23.22	10.3600	-1.22	-4.99
0.6870	METROPOLITAN WEST FDS I	MWTIX	1/2/2013 ^c	7.48 ⁿ	10.8900	6/22/2018	7.11	10.3600	-0.37	-4.95
0.9220	METROPOLITAN WEST FDS I	MWTIX	2/1/2013 ^c	10.03 ⁿ	10.8800	6/22/2018	9.55	10.3600	-0.48	-4.79
0.9430	METROPOLITAN WEST FDS I	MWTIX	3/1/2013 ^c	10.29 ⁿ	10.9100	6/22/2018	9.76	10.3600	-0.53	-5.15
0.9370	METROPOLITAN WEST FDS I	MWTIX	4/1/2013 ^c	10.23 ⁿ	10.9200	6/22/2018	9.70	10.3600	-0.53	-5.18
1.1100	METROPOLITAN WEST FDS I	MWTIX	5/1/2013 ^c	12.23 ⁿ	11.0200	6/22/2018	11.49	10.3600	-0.74	-6.05
0.9360	METROPOLITAN WEST FDS I	MWTIX	6/3/2013 ^c	10.15 ⁿ	10.8400	6/22/2018	9.69	10.3600	-0.46	-4.53
0.7820	METROPOLITAN WEST FDS I	MWTIX	7/1/2013 ^c	8.27 ⁿ	10.5800	6/22/2018	8.10	10.3600	-0.17	-2.06
0.9300	METROPOLITAN WEST FDS I	MWTIX	8/1/2013 ^c	9.86 ⁿ	10.6000	6/22/2018	9.63	10.3600	-0.23	-2.33
0.9430	METROPOLITAN WEST FDS I	MWTIX	9/3/2013 ^c	9.92 ⁿ	10.5200	6/22/2018	9.76	10.3600	-0.16	-1.61
7.9900	METROPOLITAN WEST FDS I	MWTIX	9/11/2013 ^c	83.66 ⁿ	10.4700	6/22/2018	82.77	10.3600	-0.89	-1.06
0.9420	METROPOLITAN WEST FDS I	MWTIX	10/1/2013 ^c	10.00 ⁿ	10.6200	6/22/2018	9.75	10.3600	-0.25	-2.50
0.8720	METROPOLITAN WEST FDS I	MWTIX	11/1/2013 ^c	9.34 ⁿ	10.7100	6/22/2018	9.03	10.3600	-0.31	-3.32
0.7740	METROPOLITAN WEST FDS I	MWTIX	12/2/2013 ^c	8.27 ⁿ	10.6800	6/22/2018	8.01	10.3600	-0.26	-3.14
2.1220	METROPOLITAN WEST FDS I	MWTIX	12/16/2013 ^c	22.43 ⁿ	10.5700	6/22/2018	21.98	10.3600	-0.45	-2.01
0.5300	METROPOLITAN WEST FDS I	MWTIX	1/2/2014 ^c	5.59 ⁿ	10.5500	6/22/2018	5.49	10.3600	-0.10	-1.79
0.5990	METROPOLITAN WEST FDS I	MWTIX	2/3/2014 ^c	6.40 ⁿ	10.6800	6/22/2018	6.20	10.3600	-0.20	-3.13
0.6810	METROPOLITAN WEST FDS I	MWTIX	3/3/2014 ^c	7.29 ⁿ	10.7100	6/22/2018	7.05	10.3600	-0.24	-3.29
0.7750	METROPOLITAN WEST FDS I	MWTIX	4/1/2014 ^c	8.28 ⁿ	10.6800	6/22/2018	8.02	10.3600	-0.26	-3.14
0.7920	METROPOLITAN WEST FDS I	MWTIX	5/1/2014 ^c	8.51 ⁿ	10.7400	6/22/2018	8.20	10.3600	-0.31	-3.64
0.7720	METROPOLITAN WEST FDS I	MWTIX	6/2/2014 ^c	8.38 ⁿ	10.8500	6/22/2018	7.99	10.3600	-0.39	-4.65
0.7260	METROPOLITAN WEST FDS I	MWTIX	7/1/2014 ^c	7.87 ⁿ	10.8400	6/22/2018	7.52	10.3600	-0.35	-4.45
181.3710	METROPOLITAN WEST FDS I	MWTIX	7/14/2014 ^c	1,961.79 ⁿ	10.8200	6/22/2018	1,878.42	10.3600	-83.37	-4.25
0.9340	METROPOLITAN WEST FDS I	MWTIX	8/1/2014 ^c	10.10 ⁿ	10.8100	6/22/2018	9.67	10.3600	-0.43	-4.26
98.1420	METROPOLITAN WEST FDS I	MWTIX	8/19/2014 ^c	1,065.82 ⁿ	10.8600	6/22/2018	1,016.78	10.3600	-49.04	-4.60
2.4690	METROPOLITAN WEST FDS I	MWTIX	8/28/2014 ^c	26.89 ⁿ	10.8900	6/22/2018	25.57	10.3600	-1.32	-4.91
1.0870	METROPOLITAN WEST FDS I	MWTIX	9/2/2014 ^c	11.84 ⁿ	10.8900	6/22/2018	11.26	10.3600	-0.58	-4.90
1.1890	METROPOLITAN WEST FDS I	MWTIX	10/1/2014 ^c	12.85 ⁿ	10.8100	6/22/2018	12.31	10.3600	-0.54	-4.20
100.5660	METROPOLITAN WEST FDS I	MWTIX	10/3/2014 ^c	1,090.14 ⁿ	10.8400	6/22/2018	1,041.90	10.3600	-48.24	-4.43
1.1660	METROPOLITAN WEST FDS I	MWTIX	11/3/2014 ^c	12.89 ⁿ	10.8800	6/22/2018	12.08	10.3600	-0.61	-4.81
1.2020	METROPOLITAN WEST FDS I	MWTIX	12/1/2014 ^c	13.14 ⁿ	10.9300	6/22/2018	12.45	10.3600	-0.69	-5.25
1.2440	METROPOLITAN WEST FDS I	MWTIX	12/15/2014 ^c	13.58 ⁿ	10.9200	6/22/2018	12.88	10.3600	-0.70	-5.15
0.5870	METROPOLITAN WEST FDS I	MWTIX	12/15/2014 ^c	6.41 ⁿ	10.9200	6/22/2018	6.08	10.3600	-0.33	-5.15

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASV/K MAHNGOWEN

Prepared For:

8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Date	Close Date	Close Amt.	Close Price	% Gain or Loss	Realized
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Long - Continued

1,2000	METROPOLITAN WEST FDS I	MWNTIX	1/2/2015	13.08 ⁿ	10.9000	6/22/2018	12.43	10.3600	-0.65	-4.97
0.9880	METROPOLITAN WEST FDS I	MWNTIX	2/22/2015 ^f	10.74 ⁿ	11.0900	6/22/2018	10.02	10.3600	-0.72	-6.70
0.9520	METROPOLITAN WEST FDS I	MWNTIX	3/3/2015 ^f	10.45 ⁿ	10.9800	6/22/2018	9.86	10.3600	-0.59	-5.65
0.9500	METROPOLITAN WEST FDS I	MWNTIX	4/1/2015 ^f	10.46 ⁿ	11.0100	6/22/2018	9.84	10.3600	-0.62	-5.93
1.0650	METROPOLITAN WEST FDS I	MWNTIX	5/1/2015 ^f	11.68 ⁿ	10.9700	6/22/2018	11.03	10.3600	-0.65	-5.57
1.3600	METROPOLITAN WEST FDS I	MWNTIX	6/1/2015 ^f	14.86 ⁿ	10.9300	6/22/2018	14.09	10.3600	-0.77	-5.18
1.2090	METROPOLITAN WEST FDS I	MWNTIX	7/1/2015 ^f	13.07 ⁿ	10.8100	6/22/2018	12.52	10.3600	-0.55	-4.21
1.2440	METROPOLITAN WEST FDS I	MWNTIX	8/3/2015 ^f	13.50 ⁿ	10.8500	6/22/2018	12.88	10.3600	-0.62	-4.59
4.4970	METROPOLITAN WEST FDS I	MWNTIX	8/17/2015 ^f	48.75 ⁿ	10.8400	6/22/2018	46.59	10.3600	-2.16	-4.43
1.2190	METROPOLITAN WEST FDS I	MWNTIX	9/1/2015 ^f	13.18 ⁿ	10.8100	6/22/2018	12.62	10.3600	-0.56	-4.25
1.0320	METROPOLITAN WEST FDS I	MWNTIX	10/1/2015 ^f	11.18 ⁿ	10.8300	6/22/2018	10.69	10.3600	-0.49	-4.38
1.0720	METROPOLITAN WEST FDS I	MWNTIX	11/2/2015 ^f	11.60 ⁿ	10.8200	6/22/2018	11.10	10.3600	-0.50	-4.31
1.1270	METROPOLITAN WEST FDS I	MWNTIX	12/1/2015 ^f	12.16 ⁿ	10.7900	6/22/2018	11.67	10.3600	-0.49	-4.03
4.7600	METROPOLITAN WEST FDS I	MWNTIX	12/15/2015 ^f	50.66 ⁿ	10.6300	6/22/2018	49.31	10.3600	-1.29	-2.55
3.6860	METROPOLITAN WEST FDS I	MWNTIX	1/2/2015 ^f	39.18 ⁿ	10.6300	6/22/2018	38.19	10.3600	-0.99	-2.53
1.2740	METROPOLITAN WEST FDS I	MWNTIX	1/4/2016 ^f	13.53 ⁿ	10.6200	6/22/2018	13.19	10.3600	-0.34	-2.51
1.1110	METROPOLITAN WEST FDS I	MWNTIX	2/1/2016 ^f	11.92 ⁿ	10.7300	6/22/2018	11.51	10.3600	-0.41	-3.44
0.9760	METROPOLITAN WEST FDS I	MWNTIX	3/1/2016 ^f	10.50 ⁿ	10.7600	6/22/2018	10.11	10.3600	-0.39	-3.71
1.3580	METROPOLITAN WEST FDS I	MWNTIX	4/1/2016 ^f	14.71 ⁿ	10.8300	6/22/2018	14.07	10.3600	-0.64	-4.35
1.2500	METROPOLITAN WEST FDS I	MWNTIX	5/2/2016 ^f	13.58 ⁿ	10.8600	6/22/2018	12.95	10.3600	-0.63	-4.64
1.3700	METROPOLITAN WEST FDS I	MWNTIX	6/1/2016 ^f	14.85 ⁿ	10.8400	6/22/2018	14.19	10.3600	-0.66	-4.44
1.2580	METROPOLITAN WEST FDS I	MWNTIX	7/1/2016 ^f	13.83 ⁿ	10.9900	6/22/2018	13.03	10.3600	-0.80	-5.78
1.3980	METROPOLITAN WEST FDS I	MWNTIX	8/11/2016 ^f	15.45 ⁿ	11.0500	6/22/2018	14.48	10.3600	-0.97	-6.28
1.2090	METROPOLITAN WEST FDS I	MWNTIX	9/1/2016 ^f	13.33 ⁿ	11.0300	6/22/2018	12.52	10.3600	-0.81	-6.08
10.6940	METROPOLITAN WEST FDS I	MWNTIX	9/7/2016 ^f	118.17 ⁿ	11.0500	6/22/2018	110.80	10.3600	-7.37	-6.24
1.0490	METROPOLITAN WEST FDS I	MWNTIX	10/3/2016 ^f	11.56 ⁿ	11.0200	6/22/2018	10.86	10.3600	-0.70	-6.06
1.1450	METROPOLITAN WEST FDS I	MWNTIX	11/1/2016 ^f	12.51 ⁿ	10.9300	6/22/2018	11.86	10.3600	-0.65	-5.20
1.1140	METROPOLITAN WEST FDS I	MWNTIX	12/1/2016 ^f	11.91 ⁿ	10.6900	6/22/2018	11.54	10.3600	-0.37	-3.11
10.2340	METROPOLITAN WEST FDS I	MWNTIX	12/13/2016 ^f	107.46 ⁿ	10.5000	6/22/2018	106.03	10.3600	-1.43	-1.33
1.1900	METROPOLITAN WEST FDS I	MWNTIX	1/2/2017 ^f	12.50 ⁿ	10.5000	6/22/2018	12.33	10.3600	-0.17	-1.36
1.2910	METROPOLITAN WEST FDS I	MWNTIX	1/3/2017 ^f	13.59 ⁿ	10.5300	6/22/2018	13.37	10.3600	-0.22	-1.62
1.3160	METROPOLITAN WEST FDS I	MWNTIX	2/1/2017 ^f	13.87 ⁿ	10.5400	6/22/2018	13.63	10.3600	-0.24	-1.73
1.2810	METROPOLITAN WEST FDS I	MWNTIX	3/1/2017 ^f	13.57 ⁿ	10.5900	6/22/2018	13.27	10.3600	-0.30	-2.21
1.6230	METROPOLITAN WEST FDS I	MWNTIX	4/3/2017 ^f	17.16 ⁿ	10.5700	6/22/2018	16.81	10.3600	-0.35	-2.04
1.4480	METROPOLITAN WEST FDS I	MWNTIX	5/1/2017 ^f	15.39 ⁿ	10.6300	6/22/2018	15.00	10.3600	-0.39	-2.53
1.4940	METROPOLITAN WEST FDS I	MWNTIX	6/1/2017 ^f	15.48 ⁿ	10.6800	6/22/2018	15.48	10.3600	-0.48	-3.01

Schedule of Realized Gains and Losses

Wednesday September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

01/01/2017 - 09/05/2018

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Trade Price	Close Date	Close Amt.	Close Price	Realized % Gain or Loss	
									Cost Amount	Trade Price
Long - Continued										
35,832.0	JPMORGAN CORE BOND I	WOBDX	3/27/2013 ^e	443.08 ⁿ	12.0300	6/2/2018	414.72	11.2600	-28.36	-6.40
0.7420	JPMORGAN CORE BOND I	WOBDX	4/1/2013 ^e	8.90 ⁿ	12.0000	6/2/2018	8.35	11.2600	-0.55	-6.18
0.7390	JPMORGAN CORE BOND I	WOBDX	5/1/2013 ^e	8.92 ⁿ	12.0700	6/2/2018	8.32	11.2600	-0.60	-6.73
0.7630	JPMORGAN CORE BOND I	WOBDX	6/3/2013 ^e	8.98 ⁿ	11.8700	6/2/2018	8.47	11.2600	-0.47	-5.26
0.7980	JPMORGAN CORE BOND I	WOBDX	7/1/2013 ^e	9.31 ⁿ	11.6700	6/2/2018	8.98	11.2600	-0.33	-3.54
0.8020	JPMORGAN CORE BOND I	WOBDX	8/1/2013 ^e	9.35 ⁿ	11.6400	6/2/2018	9.03	11.2600	-0.30	-3.22
0.8080	JPMORGAN CORE BOND I	WOBDX	9/3/2013 ^e	9.35 ⁿ	11.5700	6/2/2018	9.09	11.2600	-0.26	-2.78
0.5710	JPMORGAN CORE BOND I	WOBDX	10/1/2013 ^e	6.64 ⁿ	11.6300	6/2/2018	6.42	11.2600	-0.22	-3.31
0.6580	JPMORGAN CORE BOND I	WOBDX	11/1/2013 ^e	7.68 ⁿ	11.6800	6/2/2018	7.40	11.2600	-0.28	-3.65
0.5730	JPMORGAN CORE BOND I	WOBDX	12/2/2013 ^e	6.67 ⁿ	11.6400	6/2/2018	6.45	11.2600	-0.22	-3.30
1.3710	JPMORGAN CORE BOND I	WOBDX	12/13/2013 ^e	15.81 ⁿ	11.5300	6/2/2018	15.43	11.2600	-0.38	-2.40
0.0260	JPMORGAN CORE BOND I	WOBDX	12/13/2013 ^e	0.30 ⁿ	11.5300	6/2/2018	0.29	11.2600	-0.01	-3.33
0.7220	JPMORGAN CORE BOND I	WOBDX	1/2/2014 ^e	8.28 ⁿ	11.4700	6/2/2018	8.12	11.2600	-0.16	-1.93
0.4920	JPMORGAN CORE BOND I	WOBDX	2/3/2014 ^e	5.71 ⁿ	11.6100	6/2/2018	5.53	11.2600	-0.18	-3.15
0.5140	JPMORGAN CORE BOND I	WOBDX	3/3/2014 ^e	5.98 ⁿ	11.6400	6/2/2018	5.78	11.2600	-0.20	-3.34
0.5840	JPMORGAN CORE BOND I	WOBDX	4/1/2014 ^e	6.77 ⁿ	11.5900	6/2/2018	6.57	11.2600	-0.20	-2.95
0.4930	JPMORGAN CORE BOND I	WOBDX	5/1/2014 ^e	5.74 ⁿ	11.6500	6/2/2018	5.55	11.2600	-0.19	-3.31
0.5570	JPMORGAN CORE BOND I	WOBDX	6/2/2014 ^e	6.54 ⁿ	11.7400	6/2/2018	6.27	11.2600	-0.27	-4.13
0.6260	JPMORGAN CORE BOND I	WOBDX	7/1/2014 ^e	7.33 ⁿ	11.7100	6/2/2018	7.04	11.2600	-0.29	-3.96
142.6030	JPMORGAN CORE BOND I	WOBDX	7/14/2014 ^e	1,668.45 ⁿ	11.7000	6/2/2018	1,605.73	11.2600	-62.72	-3.76
0.9370	JPMORGAN CORE BOND I	WOBDX	8/1/2014 ^e	10.94 ⁿ	11.6700	6/2/2018	10.55	11.2600	-0.39	-3.56
74.3900	JPMORGAN CORE BOND I	WOBDX	8/19/2014 ^e	873.34 ⁿ	11.7400	6/2/2018	837.64	11.2600	-35.70	-4.09
0.9800	JPMORGAN CORE BOND I	WOBDX	9/2/2014 ^e	11.53 ⁿ	11.7600	6/2/2018	11.03	11.2600	-0.50	-4.34
0.9910	JPMORGAN CORE BOND I	WOBDX	10/1/2014 ^e	11.56 ⁿ	11.6600	6/2/2018	11.15	11.2600	-0.41	-3.55
203.2680	JPMORGAN CORE BOND I	WOBDX	10/13/2014 ^e	2,378.24 ⁿ	11.7000	6/2/2018	2,288.85	11.2600	-89.39	-3.76
1.4030	JPMORGAN CORE BOND I	WOBDX	11/13/2014 ^e	16.46 ⁿ	11.7300	6/2/2018	15.79	11.2600	-0.67	-4.07
1.3400	JPMORGAN CORE BOND I	WOBDX	12/1/2014 ^e	15.80 ⁿ	11.7900	6/2/2018	15.08	11.2600	-0.72	-4.56
0.7990	JPMORGAN CORE BOND I	WOBDX	12/15/2014 ^e	9.43 ⁿ	11.8000	6/2/2018	8.99	11.2600	-0.44	-4.67
0.0660	JPMORGAN CORE BOND I	WOBDX	12/15/2014 ^e	0.78 ⁿ	11.8000	6/2/2018	0.74	11.2600	-0.04	-5.13
1.7030	JPMORGAN CORE BOND I	WQBDX	1/23/2015 ^e	19.99 ⁿ	11.7400	6/2/2018	19.17	11.2600	-0.82	-4.10
1.2170	JPMORGAN CORE BOND I	WQBDX	1/30/2015 ^e	14.51 ⁿ	11.9200	6/2/2018	13.70	11.2600	-0.81	-5.58
1.1110	JPMORGAN CORE BOND I	WQBDX	2/26/2015 ^e	13.15 ⁿ	11.8400	6/2/2018	12.51	11.2600	-0.64	-4.87
1.1690	JPMORGAN CORE BOND I	WQBDX	3/30/2015 ^e	13.87 ⁿ	11.8600	6/2/2018	13.16	11.2600	-0.71	-5.12
1.2310	JPMORGAN CORE BOND I	WQBDX	4/29/2015 ^e	14.59 ⁿ	11.8500	6/2/2018	13.86	11.2600	-0.73	-5.00
1.3030	JPMORGAN CORE BOND I	WQBDX	5/28/2015 ^e	15.31 ⁿ	11.7500	6/2/2018	14.67	11.2600	-0.64	-4.18
1.5040	JPMORGAN CORE BOND I	WQBDX	6/29/2015 ^e	17.43 ⁿ	11.5900	6/2/2018	16.93	11.2600	-0.50	-2.87

Schedule of Realized Gains and Losses

Wednesday September 05, 2018
A5VK MAHNGOWEN

Prepared For:

8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

01/01/2017 - 09/05/2018

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Trade Price	Close Date	Close Amt.	Close Price	Realized Gain or Loss	% Gain or Loss
Long - Continued										
1.3170	JPMORGAN CORE BOND I	WOBDX	7/30/2015 ^F	15.37 ^N	11.6700	6/22/2018	14.83	11.2600	-0.54	-3.51
0.9570	JPMORGAN CORE BOND I	WOBDX	8/28/2015 ^F	11.18 ^N	11.6800	6/22/2018	10.77	11.2600	-0.41	-3.67
0.9560	JPMORGAN CORE BOND I	WOBDX	9/29/2015 ^F	11.20 ^N	11.7200	6/22/2018	10.76	11.2600	-0.44	-3.93
1.1250	JPMORGAN CORE BOND I	WOBDX	10/29/2015 ^F	13.17 ^N	11.7100	6/22/2018	12.66	11.2600	-0.51	-3.87
1.0090	JPMORGAN CORE BOND I	WOBDX	11/27/2015 ^F	11.74 ^N	11.6300	6/22/2018	11.36	11.2600	-0.38	-3.24
0.9040	JPMORGAN CORE BOND I	WOBDX	12/14/2015 ^F	10.52 ^N	11.6400	6/22/2018	10.18	11.2600	-0.34	-3.23
0.0550	JPMORGAN CORE BOND I	WOBDX	12/14/2015 ^F	0.64 ^N	11.6400	6/22/2018	0.61	11.2600	-0.03	-4.69
0.9800	JPMORGAN CORE BOND I	WOBDX	12/30/2015 ^F	11.29 ^N	11.5200	6/22/2018	11.03	11.2600	-0.26	-2.30
0.9870	JPMORGAN CORE BOND I	WOBDX	1/28/2016 ^F	10.33 ^N	11.6400	6/22/2018	9.98	11.2600	-0.35	-3.39
0.8800	JPMORGAN CORE BOND I	WOBDX	2/26/2016 ^F	10.35 ^N	11.7600	6/22/2018	9.91	11.2600	-0.44	-4.25
1.0070	JPMORGAN CORE BOND I	WOBDX	3/30/2016 ^F	11.85 ^N	11.7700	6/22/2018	11.34	11.2600	-0.51	-4.30
1.0080	JPMORGAN CORE BOND I	WOBDX	4/28/2016 ^F	11.87 ^N	11.7800	6/22/2018	11.35	11.2600	-0.52	-4.38
1.0090	JPMORGAN CORE BOND I	WOBDX	5/27/2016 ^F	11.90 ^N	11.7900	6/22/2018	11.36	11.2600	-0.54	-4.54
0.8690	JPMORGAN CORE BOND I	WOBDX	6/29/2016 ^F	10.43 ^N	12.0000	6/22/2018	9.78	11.2600	-0.65	-6.23
0.9940	JPMORGAN CORE BOND I	WOBDX	7/28/2016 ^F	11.94 ^N	12.0100	6/22/2018	11.19	11.2600	-0.75	-6.28
1.0400	JPMORGAN CORE BOND I	WOBDX	8/30/2016 ^F	12.47 ^N	11.9900	6/22/2018	11.71	11.2600	-0.76	-6.09
5.1890	JPMORGAN CORE BOND I	WOBDX	9/7/2016 ^F	62.32 ^N	12.0100	6/22/2018	58.43	11.2600	-3.89	-6.24
1.0120	JPMORGAN CORE BOND I	WOBDX	9/29/2016 ^F	12.12 ^N	11.9800	6/22/2018	11.39	11.2600	-0.73	-6.02
1.0250	JPMORGAN CORE BOND I	WOBDX	10/28/2016 ^F	12.14 ^N	11.8400	6/22/2018	11.54	11.2600	-0.60	-4.94
1.0940	JPMORGAN CORE BOND I	WOBDX	11/29/2016 ^F	12.67 ^N	11.5800	6/22/2018	12.32	11.2600	-0.35	-2.76
1.8240	JPMORGAN CORE BOND I	WOBDX	12/15/2016 ^F	20.83 ^N	11.4200	6/22/2018	20.54	11.2600	-0.29	-1.39
0.1020	JPMORGAN CORE BOND I	WOBDX	12/15/2016 ^F	1.16 ^N	11.4200	6/22/2018	1.14	11.2600	-0.02	-1.72
1.1580	JPMORGAN CORE BOND I	WOBDX	12/29/2016 ^F	13.26 ^N	11.4500	6/22/2018	13.04	11.2600	-0.22	-1.66
0.9340	JPMORGAN CORE BOND I	WOBDX	1/30/2017 ^F	10.72 ^N	11.4800	6/22/2018	10.51	11.2600	-0.21	-1.96
1.0530	JPMORGAN CORE BOND I	WOBDX	2/27/2017 ^F	12.18 ^N	11.5700	6/22/2018	11.85	11.2600	-0.33	-2.71
1.1330	JPMORGAN CORE BOND I	WOBDX	3/30/2017 ^F	13.06 ^N	11.5300	6/22/2018	12.76	11.2600	-0.30	-2.30
1.3080	JPMORGAN CORE BOND I	WOBDX	4/27/2017 ^F	15.13 ^N	11.5700	6/22/2018	14.73	11.2600	-0.40	-2.64
1.1720	JPMORGAN CORE BOND I	WOBDX	5/30/2017 ^F	13.62 ^N	11.6200	6/22/2018	13.19	11.2600	-0.43	-3.16
4.0450	WELLS FARGO EMKT INSTL	EMGNX	9/10/2013 ^F	89.03 ^N	22.0100	12/7/2017	102.71	25.3900	13.68	15.37
2.1510	WELLS FARGO EMKT INSTL	EMGNX	9/10/2013 ^F	47.34 ^N	22.0100	6/22/2018	54.67	25.4200	7.33	15.48
0.1810	WELLS FARGO EMKT INSTL	EMGNX	12/31/2013 ^F	3.97 ^N	21.9800	6/22/2018	4.60	25.4200	0.63	15.87
23.6020	WELLS FARGO EMKT INSTL	EMGNX	7/14/2014 ^F	548.74 ^N	23.2500	6/22/2018	599.96	25.4200	51.22	9.33
10.5430	WELLS FARGO EMKT INSTL	EMGNX	8/19/2014 ^F	252.28 ^N	23.9300	6/22/2018	268.00	25.4200	15.71	6.23
5.6770	WELLS FARGO EMKT INSTL	EMGNX	10/3/2014 ^F	125.35 ^N	22.0800	6/22/2018	144.31	25.4200	18.96	15.13
1.0140	WELLS FARGO EMKT INSTL	EMGNX	12/31/2014 ^F	20.99 ^N	20.7000	6/22/2018	25.77	25.4200	4.78	22.77
13.5550	WELLS FARGO EMKT INSTL	EMGNX	8/17/2015 ^F	254.52 ^N	19.0000	6/22/2018	344.58	25.4200	87.03	33.79

Schedule of Realized Gains and Losses

Wednesday September 05, 2018
A5VK MAHNGOWEN

Prepared For:

8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

01/01/2017 - 09/05/2018

Security Name	Security Description	Open Date	Cost Amount	Adjusted Trade Price	Close Date	Close Amt.	Close Price	Realized Gain or Loss	% Gain or Loss
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Long - Continued

0.6730 WELLS FARGO EMKT INSTL	EMGNX	12/31/2015	21.78 ⁿ	17.8200	6/22/2018	31.06	25.4200	9.28	42.61
6.0680 AMER FD\$ EUROPACIFIC F2	AEPFX	12/11/2012 ^c	251.15 ⁿ	41.3872	12/7/2017	341.82	56.3300	90.67	36.10
12.7930 AMER FD\$ EUROPACIFIC F2	AEPFX	12/11/2012 ^c	520.48 ⁿ	41.3872	6/22/2018	693.38	54.2000	163.90	30.95
0.4040 AMER FD\$ EUROPACIFIC F2	AEPFX	12/27/2012 ^c	16.51 ⁿ	40.9049	6/22/2018	21.89	54.2000	5.38	32.59
15.1050 AMER FD\$ EUROPACIFIC F2	AEPFX	3/27/2013 ^c	637.16 ⁿ	42.1810	6/22/2018	818.69	54.2000	181.53	28.49
0.3340 AMER FD\$ EUROPACIFIC F2	AEPFX	12/27/2013 ^c	16.08 ⁿ	48.3604	6/22/2018	18.10	54.2000	2.02	12.56
15.6160 AMER FD\$ EUROPACIFIC F2	AEPFX	7/14/2014 ^c	786.28 ⁿ	50.3500	6/22/2018	846.39	54.2000	60.11	7.64
10.3130 AMER FD\$ EUROPACIFIC F2	AEPFX	8/19/2014 ^c	515.54 ⁿ	49.9900	6/22/2018	558.96	54.2000	43.42	8.42
1.9550 AMER FD\$ EUROPACIFIC F2	AEPFX	10/3/2014 ^c	93.42 ⁿ	47.7800	6/22/2018	105.96	54.2000	12.54	13.42
1.0770 AMER FD\$ EUROPACIFIC F2	AEPFX	12/29/2014 ^c	51.16 ⁿ	47.4900	6/22/2018	58.37	54.2000	7.21	14.09
1.2880 AMER FD\$ EUROPACIFIC F2	AEPFX	12/24/2015 ^c	58.81 ⁿ	45.6500	6/22/2018	69.81	54.2000	11.00	18.70
0.8230 AMER FD\$ EUROPACIFIC F2	AEPFX	12/24/2015 ^c	37.56 ⁿ	45.6500	6/22/2018	44.60	54.2000	7.04	18.74
3.8550 AMER FD\$ EUROPACIFIC F2	AEPFX	9/7/2016 ^c	187.62 ⁿ	48.6700	6/22/2018	208.94	54.2000	21.32	11.36
1.0750 AMER FD\$ EUROPACIFIC F2	AEPFX	12/23/2016	48.25 ⁿ	44.8800	6/22/2018	58.26	54.2000	10.01	20.75
1.3130 AMER FD\$ EUROPACIFIC F2	AEPFX	6/15/2017 ^c	68.09 ⁿ	51.8600	6/22/2018	71.17	54.2000	3.08	4.52
0.2070 AMER FD\$ EUROPACIFIC F2	AEPFX	6/15/2017 ^c	10.74 ⁿ	51.8600	6/22/2018	11.22	54.2000	0.48	4.47
18.9970 NUVEEN REAL ESTATE I	FARCX	3/27/2013 ^c	429.90 ⁿ	22.6300	8/29/2017	424.96	22.3700	-4.94	-1.15
0.1590 NUVEEN REAL ESTATE I	FARCX	4/11/2013 ^c	3.58 ⁿ	22.5800	8/29/2017	3.55	22.3700	-0.03	-0.84
0.1650 NUVEEN REAL ESTATE I	FARCX	7/11/2013 ^c	3.65 ⁿ	22.0900	8/29/2017	3.69	22.3700	0.04	1.10
0.0950 NUVEEN REAL ESTATE I	FARCX	9/17/2013 ^c	2.03 ⁿ	21.4800	8/29/2017	2.12	22.3700	0.09	4.43
0.0600 NUVEEN REAL ESTATE I	FARCX	9/17/2013 ^c	1.29 ⁿ	21.4800	8/29/2017	1.34	22.3700	0.05	3.88
0.2010 NUVEEN REAL ESTATE I	FARCX	10/1/2013 ^c	4.28 ⁿ	21.2600	8/29/2017	4.49	22.3700	0.21	4.91
1.2870 NUVEEN REAL ESTATE I	FARCX	12/16/2013 ^c	25.05 ⁿ	19.4700	8/29/2017	28.79	22.3700	3.74	14.93
0.4850 NUVEEN REAL ESTATE I	FARCX	12/16/2013 ^c	9.45 ⁿ	19.4700	8/29/2017	10.84	22.3700	1.39	14.71
0.2660 NUVEEN REAL ESTATE I	FARCX	12/31/2013 ^c	5.26 ⁿ	19.8000	8/29/2017	5.95	22.3700	0.69	13.12
0.1910 NUVEEN REAL ESTATE I	FARCX	4/11/2014 ^c	4.13 ⁿ	21.6400	8/29/2017	4.27	22.3700	0.14	3.39
0.2050 NUVEEN REAL ESTATE I	FARCX	7/11/2014 ^c	4.72 ⁿ	23.0800	8/29/2017	4.58	22.3700	-0.14	-2.97
13.0990 NUVEEN REAL ESTATE I	FARCX	7/14/2014 ^c	305.99 ⁿ	23.3600	8/29/2017	293.04	22.3700	-12.95	-4.23
7.6140 NUVEEN REAL ESTATE I	FARCX	8/19/2014 ^c	180.91 ⁿ	23.7600	8/29/2017	170.33	22.3700	-10.58	-5.85
0.3200 NUVEEN REAL ESTATE I	FARCX	10/11/2014 ^c	7.13 ⁿ	22.2900	8/29/2017	7.15	22.3700	0.02	0.28
1.1690 NUVEEN REAL ESTATE I	FARCX	10/3/2014 ^c	26.19 ⁿ	22.4000	8/29/2017	26.15	22.3700	-0.04	-0.15
1.6620 NUVEEN REAL ESTATE I	FARCX	12/16/2014 ^c	39.25 ⁿ	23.6100	8/29/2017	37.18	22.3700	-2.07	-5.27
0.7330 NUVEEN REAL ESTATE I	FARCX	12/16/2014 ^c	17.31 ⁿ	23.6100	8/29/2017	16.39	22.3700	-0.92	-5.31
0.5180 NUVEEN REAL ESTATE I	FARCX	12/31/2014 ^c	12.69 ⁿ	24.4800	8/29/2017	11.58	22.3700	-1.11	-8.75
0.3080 NUVEEN REAL ESTATE I	FARCX	4/12/2015 ^c	7.70 ⁿ	24.9700	8/29/2017	6.89	22.3700	-0.81	-10.52

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

01/01/2017 - 09/05/2018

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized Gain or Loss	% Gain or Loss
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Long - Continued

0.5500	NUVEEN REAL ESTATE I	FARCX	7/1/2015 ^c	12.25 ⁿ	22.2800	8/29/2017	12.30	22.3700	0.05	0.41
0.5950	NUVEEN REAL ESTATE I	FARCX	9/17/2015 ^c	13.26 ⁿ	22.3000	8/29/2017	13.31	22.3700	0.05	0.38
0.3440	NUVEEN REAL ESTATE I	FARCX	10/1/2015 ^c	7.72 ⁿ	22.4100	8/29/2017	7.69	22.3700	-0.03	-0.39
2.3030	NUVEEN REAL ESTATE I	FARCX	12/16/2015 ^c	52.12 ⁿ	22.6300	8/29/2017	51.53	22.3700	-0.59	-1.13
0.4540	NUVEEN REAL ESTATE I	FARCX	12/31/2015 ^c	10.50 ⁿ	23.1500	8/29/2017	10.15	22.3700	-0.35	-3.33
0.4110	NUVEEN REAL ESTATE I	FARCX	4/1/2016 ^c	9.82 ⁿ	23.8900	8/29/2017	9.19	22.3700	-0.63	-6.42
0.3870	NUVEEN REAL ESTATE I	FARCX	7/1/2016 ^c	9.78 ⁿ	25.2700	8/29/2017	8.66	22.3700	-1.12	-11.45
4.6960	JPMORGAN S/C EQUITY FDI	VSEIX	9/7/2016 ^c	238.19 ⁿ	50.7200	12/7/2017	281.70	59.9800	43.51	18.27
40.9110	JPMORGAN S/C EQUITY FDI	VSEIX	9/7/2016 ^c	2,075.02 ⁿ	50.7200	6/22/2018	2,513.56	61.4400	438.54	21.13
7.6150	JPMORGAN S/C EQUITY FDI	VSEIX	9/8/2016 ^c	384.58 ⁿ	50.5000	6/22/2018	467.87	61.4400	83.29	21.66
1.2600	JPMORGAN S/C EQUITY FDI	VSEIX	12/15/2016 ^c	66.26 ⁿ	52.5800	6/22/2018	77.41	61.4400	11.15	16.83
0.2180	JPMORGAN S/C EQUITY FDI	VSEIX	12/21/2016 ^c	11.58 ⁿ	53.0000	6/22/2018	13.39	61.4400	1.81	15.63
224.0100	PIMCO INCOME FDI	PIMIX	9/7/2016 ^c	2,694.84 ⁿ	12.0300	6/22/2018	2,683.64	11.9800	-11.20	-0.42
0.3080	PIMCO INCOME FDI	PIMIX	10/3/2016 ^c	9.75 ⁿ	12.0600	6/22/2018	9.67	11.9800	-0.08	-0.82
1.0340	PIMCO INCOME FDI	PIMIX	11/1/2016 ^c	12.47 ⁿ	12.0600	6/22/2018	12.38	11.9800	-0.09	-0.72
1.0480	PIMCO INCOME FDI	PIMIX	12/1/2016 ^c	12.56 ⁿ	11.9900	6/22/2018	12.55	11.9800	-0.01	-0.08
1.0410	PIMCO INCOME FDI	PIMIX	1/3/2017 ^c	12.56 ⁿ	12.0600	6/22/2018	12.47	11.9800	-0.09	-0.72
1.0500	PIMCO INCOME FDI	PIMIX	2/1/2017 ^c	12.71 ⁿ	12.1100	6/22/2018	12.58	11.9800	-0.13	-1.02
1.0370	PIMCO INCOME FDI	PIMIX	3/1/2017 ^c	12.65 ⁿ	12.2000	6/22/2018	12.42	11.9800	-0.23	-1.82
1.0470	PIMCO INCOME FDI	PIMIX	4/3/2017 ^c	12.82 ⁿ	12.2400	6/22/2018	12.54	11.9800	-0.28	-2.18
1.0460	PIMCO INCOME FDI	PIMIX	5/1/2017 ^c	12.84 ⁿ	12.2700	6/22/2018	12.53	11.9800	-0.31	-2.41
1.0510	PIMCO INCOME FDI	PIMIX	6/1/2017 ^c	12.97 ⁿ	12.3400	6/22/2018	12.59	11.9800	-0.38	-2.93
176.4790	PIMCO DRSFDF INC INSTL	PDIIX	3/27/2013 ^c	2,147.75 ⁿ	12.1700	6/22/2018	1,872.44	10.6100	-275.31	-12.82
0.0980	PIMCO DRSFDF INC INSTL	PDIIX	4/1/2013 ^c	1.19 ⁿ	12.1600	6/22/2018	1.03	10.6100	-0.16	-13.45
0.7380	PIMCO DRSFDF INC INSTL	PDIIX	5/1/2013 ^c	9.14 ⁿ	12.3900	6/22/2018	7.83	10.6100	-1.31	-14.33
0.7610	PIMCO DRSFDF INC INSTL	PDIIX	6/3/2013 ^c	9.16 ⁿ	12.0400	6/22/2018	8.07	10.6100	-1.09	-11.90
0.6790	PIMCO DRSFDF INC INSTL	PDIIX	7/1/2013 ^c	7.81 ⁿ	11.5100	6/22/2018	7.20	10.6100	-0.61	-7.81
0.7100	PIMCO DRSFDF INC INSTL	PDIIX	8/1/2013 ^c	8.21 ⁿ	11.5700	6/22/2018	7.53	10.6100	-0.68	-8.28
0.7920	PIMCO DRSFDF INC INSTL	PDIIX	9/3/2013 ^c	9.00 ⁿ	11.3600	6/22/2018	8.40	10.6100	-0.60	-6.67
8.2370	PIMCO DRSFDF INC INSTL	PDIIX	9/10/2013 ^c	93.49 ⁿ	11.3500	6/22/2018	87.39	10.6100	-6.10	-6.52
0.7500	PIMCO DRSFDF INC INSTL	PDIIX	10/1/2013 ^c	8.66 ⁿ	11.5400	6/22/2018	7.95	10.6100	-0.71	-8.20
0.8110	PIMCO DRSFDF INC INSTL	PDIIX	11/1/2013 ^c	9.49 ⁿ	11.7000	6/22/2018	8.60	10.6100	-0.89	-9.38
0.8070	PIMCO DRSFDF INC INSTL	PDIIX	12/2/2013 ^c	9.35 ⁿ	11.5900	6/22/2018	8.56	10.6100	-0.79	-8.45
1.4980	PIMCO DRSFDF INC INSTL	PDIIX	12/12/2013 ^c	17.21 ⁿ	11.4900	6/22/2018	15.89	10.6100	-1.32	-7.67
0.8450	PIMCO DRSFDF INC INSTL	PDIIX	1/2/2014 ^c	9.71 ⁿ	11.4900	6/22/2018	8.96	10.6100	-0.75	-7.72
0.9260	PIMCO DRSFDF INC INSTL	PDIIX	2/3/2014 ^c	10.63 ⁿ	11.4800	6/22/2018	9.82	10.6100	-0.81	-7.62

Schedule of Realized Gains and Losses

Wednesday September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

01/01/2017 - 09/05/2018

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amount	Realized Gain or Loss	% Gain or Loss
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Long - Continued

0.8380	PIMCO DVRSFDF INC INSTL	PDIIX	3/3/2014 ^f	9.77 ^m	11.6600	6/22/2018	8.89	10.6100	-0.88	-9.01
0.7910	PIMCO DVRSFDF INC INSTL	PDIIX	4/1/2014 ^f	9.20 ^m	11.6300	6/22/2018	8.39	10.6100	-0.81	-8.80
0.8670	PIMCO DVRSFDF INC INSTL	PDIIX	5/1/2014 ^f	10.14 ^m	11.7000	6/22/2018	9.19	10.6100	-0.95	-9.37
0.8670	PIMCO DVRSFDF INC INSTL	PDIIX	6/2/2014 ^f	10.32 ^m	11.9000	6/22/2018	9.19	10.6100	-1.13	-10.95
0.8350	PIMCO DVRSFDF INC INSTL	PDIIX	7/1/2014 ^f	9.87 ^m	11.9700	6/22/2018	8.75	10.6100	-1.12	-11.35
97.8330	PIMCO DVRSFDF INC INSTL	PDIIX	7/14/2014 ^f	1,168.13 ^m	11.9400	6/22/2018	1,038.03	10.6100	-130.10	-11.14
1.0910	PIMCO DVRSFDF INC INSTL	PDIIX	8/1/2014 ^f	12.86 ^m	11.7900	6/22/2018	11.57	10.6100	-1.29	-10.03
60.3610	PIMCO DVRSFDF INC INSTL	PDIIX	8/19/2014 ^f	716.45 ^m	11.8700	6/22/2018	640.45	10.6100	-76.04	-10.61
1.4390	PIMCO DVRSFDF INC INSTL	PDIIX	9/2/2014 ^f	17.06 ^m	11.8800	6/22/2018	15.26	10.6100	-1.83	-10.71
1.5810	PIMCO DVRSFDF INC INSTL	PDIIX	10/1/2014 ^f	18.28 ^m	11.5700	6/22/2018	16.77	10.6100	-1.52	-8.31
1.7570	PIMCO DVRSFDF INC INSTL	PDIIX	11/3/2014 ^f	20.49 ^m	11.6600	6/22/2018	18.64	10.6100	-1.85	-9.03
1.4670	PIMCO DVRSFDF INC INSTL	PDIIX	12/1/2014 ^f	16.94 ^m	11.5500	6/22/2018	15.56	10.6100	-1.38	-8.15
14.4460	PIMCO DVRSFDF INC INSTL	PDIIX	12/11/2014 ^f	155.01 ^m	10.7300	6/22/2018	153.28	10.6100	-1.73	-1.12
4.0050	PIMCO DVRSFDF INC INSTL	PDIIX	12/11/2014 ^f	42.97 ^m	10.7300	6/22/2018	42.49	10.6100	-0.48	-1.12
1.8490	PIMCO DVRSFDF INC INSTL	PDIIX	12/30/2014 ^f	19.75 ^m	10.6800	6/22/2018	19.61	10.6100	-0.14	-0.71
1.9910	PIMCO DVRSFDF INC INSTL	PDIIX	1/2/2015 ^f	21.24 ^m	10.6700	6/22/2018	21.12	10.6100	-0.12	-0.56
1.7510	PIMCO DVRSFDF INC INSTL	PDIIX	2/2/2015 ^f	18.84 ^m	10.7600	6/22/2018	18.57	10.6100	-0.27	-1.43
1.5870	PIMCO DVRSFDF INC INSTL	PDIIX	3/2/2015 ^f	18.35 ^m	10.8800	6/22/2018	17.90	10.6100	-0.45	-2.45
1.6260	PIMCO DVRSFDF INC INSTL	PDIIX	4/1/2015 ^f	17.71 ^m	10.8900	6/22/2018	17.25	10.6100	-0.46	-2.60
1.6050	PIMCO DVRSFDF INC INSTL	PDIIX	5/1/2015 ^f	17.61 ^m	10.9700	6/22/2018	17.03	10.6100	-0.58	-3.29
1.6000	PIMCO DVRSFDF INC INSTL	PDIIX	6/1/2015 ^f	17.52 ^m	10.9500	6/22/2018	16.97	10.6100	-0.55	-3.14
1.5960	PIMCO DVRSFDF INC INSTL	PDIIX	7/1/2015 ^f	17.12 ^m	10.7300	6/22/2018	16.93	10.6100	-0.19	-1.11
1.7900	PIMCO DVRSFDF INC INSTL	PDIIX	8/3/2015 ^f	19.26 ^m	10.7600	6/22/2018	18.99	10.6100	-0.27	-1.40
4.3280	PIMCO DVRSFDF INC INSTL	PDIIX	8/17/2015 ^f	46.09 ^m	10.6500	6/22/2018	45.92	10.6100	-0.17	-0.37
1.6640	PIMCO DVRSFDF INC INSTL	PDIIX	9/1/2015 ^f	17.57 ^m	10.5600	6/22/2018	17.65	10.6100	0.08	0.46
1.7980	PIMCO DVRSFDF INC INSTL	PDIIX	10/1/2015 ^f	18.56 ^m	10.3200	6/22/2018	19.07	10.6100	0.51	2.75
1.8480	PIMCO DVRSFDF INC INSTL	PDIIX	11/2/2015 ^f	19.51 ^m	10.5600	6/22/2018	19.60	10.6100	0.09	0.46
1.7300	PIMCO DVRSFDF INC INSTL	PDIIX	12/1/2015 ^f	18.11 ^m	10.4700	6/22/2018	18.35	10.6100	0.24	1.33
2.2880	PIMCO DVRSFDF INC INSTL	PDIIX	12/17/2015 ^f	23.34 ^m	10.2000	6/22/2018	24.27	10.6100	0.93	3.98
7.4130	PIMCO DVRSFDF INC INSTL	PDIIX	12/30/2015 ^f	74.35 ^m	10.0300	6/22/2018	78.66	10.6100	4.31	5.80
2.1350	PIMCO DVRSFDF INC INSTL	PDIIX	1/4/2016 ^f	21.44 ^m	10.0400	6/22/2018	22.65	10.6100	1.21	5.64
1.6800	PIMCO DVRSFDF INC INSTL	PDIIX	2/1/2016 ^f	16.73 ^m	9.9600	6/22/2018	17.82	10.6100	1.09	6.52
1.9000	PIMCO DVRSFDF INC INSTL	PDIIX	3/1/2016 ^f	18.83 ^m	9.9100	6/22/2018	20.16	10.6100	1.33	7.06
1.8060	PIMCO DVRSFDF INC INSTL	PDIIX	4/1/2016 ^f	18.33 ^m	10.1500	6/22/2018	19.16	10.6100	0.83	4.53
1.8070	PIMCO DVRSFDF INC INSTL	PDIIX	5/2/2016 ^f	18.67 ^m	10.3300	6/22/2018	19.17	10.6100	0.50	2.68
1.6360	PIMCO DVRSFDF INC INSTL	PDIIX	6/1/2016 ^f	10.3600	6/22/2018	17.36	10.6100	0.41	2.42	

Schedule of Realized Gains and Losses

Wednesday September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

8581-0743

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

01/01/2017 - 09/05/2018

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized		
										Cost	Price	
Long - Continued												
1.5960	PIMCO DVRSFDF INC INSTL	PDIIX	7/1/2016 ^c	16.82 ⁿ	10.5400	6/22/2018	16.93	10.6100	0.11	0.65		
1.6120	PIMCO DVRSFDF INC INSTL	PDIIX	8/1/2016 ^c	17.26 ⁿ	10.7100	6/22/2018	17.10	10.6100	-0.16	-0.93		
1.6400	PIMCO DVRSFDF INC INSTL	PDIIX	9/1/2016 ^c	17.75 ⁿ	10.8200	6/22/2018	17.40	10.6100	-0.35	-1.97		
1.7050	PIMCO DVRSFDF INC INSTL	PDIIX	10/3/2016 ^c	18.45 ⁿ	10.8200	6/22/2018	18.09	10.6100	-0.36	-1.95		
1.5520	PIMCO DVRSFDF INC INSTL	PDIIX	11/1/2016 ^c	16.68 ⁿ	10.7500	6/22/2018	16.47	10.6100	-0.21	-1.26		
1.7220	PIMCO DVRSFDF INC INSTL	PDIIX	12/1/2016 ^c	18.08 ⁿ	10.5000	6/22/2018	18.27	10.6100	0.19	1.05		
1.4790	PIMCO DVRSFDF INC INSTL	PDIIX	12/29/2016 ^c	15.57 ⁿ	10.5300	6/22/2018	15.69	10.6100	0.12	0.77		
1.8200	PIMCO DVRSFDF INC INSTL	PDIIX	1/3/2017 ^c	19.22 ⁿ	10.5600	6/22/2018	19.31	10.6100	0.09	0.47		
1.6050	PIMCO DVRSFDF INC INSTL	PDIIX	2/1/2017 ^c	17.04 ⁿ	10.6200	6/22/2018	17.03	10.6100	-0.01	-0.06		
1.6590	PIMCO DVRSFDF INC INSTL	PDIIX	3/1/2017 ^c	17.83 ⁿ	10.7500	6/22/2018	17.60	10.6100	-0.23	-1.29		
1.8060	PIMCO DVRSFDF INC INSTL	PDIIX	4/3/2017 ^c	19.47 ⁿ	10.7800	6/22/2018	19.16	10.6100	-0.31	-1.59		
1.5580	PIMCO DVRSFDF INC INSTL	PDIIX	5/1/2017 ^c	16.95 ⁿ	10.8800	6/22/2018	16.53	10.6100	-0.42	-2.48		
1.6870	PIMCO DVRSFDF INC INSTL	PDIIX	6/1/2017 ^c	18.51 ⁿ	10.9700	6/22/2018	17.90	10.6100	-0.61	-3.30		
29.4600	PRINCIPAL SMID DIV INSTS	PMDIX	8/28/2014 ^c	422.76 ⁿ	14.3500	12/7/2017	525.28	17.8300	102.52	24.25		
108.8810	PRINCIPAL SMID DIV INSTS	PMDIX	8/28/2014 ^c	1,563.43 ⁿ	14.3500	6/22/2018	1,891.26	17.3700	328.83	21.05		
0.9840	PRINCIPAL SMID DIV INSTS	PMDIX	10/1/2014 ^c	13.34 ⁿ	13.5600	6/22/2018	17.09	17.3700	3.75	28.11		
6.2690	PRINCIPAL SMID DIV INSTS	PMDIX	10/3/2014 ^c	85.13 ⁿ	13.5800	6/22/2018	108.89	17.3700	23.76	27.91		
3.8480	PRINCIPAL SMID DIV INSTS	PMDIX	12/18/2014 ^c	52.22 ⁿ	13.5700	6/22/2018	66.83	17.3700	14.61	27.98		
0.3750	PRINCIPAL SMID DIV INSTS	PMDIX	12/18/2014 ^c	5.09 ⁿ	13.5700	6/22/2018	6.51	17.3700	1.42	27.90		
1.4870	PRINCIPAL SMID DIV INSTS	PMDIX	12/30/2014 ^c	20.78 ⁿ	13.9700	6/22/2018	25.82	17.3700	5.04	24.25		
1.0790	PRINCIPAL SMID DIV INSTS	PMDIX	4/1/2015 ^c	15.13 ⁿ	14.0200	6/22/2018	18.74	17.3700	3.61	23.86		
174.1650	PRINCIPAL SMID DIV INSTS	PMDIX	4/28/2015 ^c	2,473.14 ⁿ	14.2000	6/22/2018	3,025.27	17.3700	552.13	22.33		
1.8960	PRINCIPAL SMID DIV INSTS	PMDIX	7/1/2015 ^c	25.77 ⁿ	13.5900	6/22/2018	32.93	17.3700	7.16	27.78		
9.3630	PRINCIPAL SMID DIV INSTS	PMDIX	8/17/2015 ^c	126.68 ⁿ	13.5300	6/22/2018	162.63	17.3700	35.95	28.38		
2.2050	PRINCIPAL SMID DIV INSTS	PMDIX	10/11/2015 ^c	27.28 ⁿ	12.3700	6/22/2018	38.30	17.3700	11.02	40.40		
7.6910	PRINCIPAL SMID DIV INSTS	PMDIX	12/18/2015 ^c	96.91 ⁿ	12.6000	6/22/2018	133.59	17.3700	36.68	37.85		
3.8420	PRINCIPAL SMID DIV INSTS	PMDIX	12/29/2015 ^c	49.14 ⁿ	12.7900	6/22/2018	66.73	17.3700	17.59	35.80		
2.1380	PRINCIPAL SMID DIV INSTS	PMDIX	4/1/2016 ^c	28.39 ⁿ	13.2800	6/22/2018	37.13	17.3700	8.74	30.79		
1.9840	PRINCIPAL SMID DIV INSTS	PMDIX	7/1/2016 ^c	27.76 ⁿ	13.9900	6/22/2018	34.46	17.3700	6.70	24.14		
1.4170	PRINCIPAL SMID DIV INSTS	PMDIX	10/3/2016 ^c	21.16 ⁿ	14.9300	6/22/2018	24.61	17.3700	3.45	16.30		
5.6450	PRINCIPAL SMID DIV INSTS	PMDIX	12/29/2016 ^c	90.27 ⁿ	15.9900	6/22/2018	98.06	17.3700	7.79	8.63		
0.6950	PRINCIPAL SMID DIV INSTS	PMDIX	3/22/2017 ^c	11.21 ⁿ	16.1400	6/22/2018	12.07	17.3700	0.86	7.67		
0.7000	PRINCIPAL SMID DIV INSTS	PMDIX	6/2/2017 ^c	11.74 ⁿ	16.7600	6/22/2018	12.16	17.3700	0.42	3.58		
12.9330	T ROWE PRICE BLE CHP GRW	TRBCX	3/27/2013 ^c	635.38 ⁿ	49.1300	12/7/2017	1,269.74	98.1800	634.36	99.84		
1.6720	T ROWE PRICE BLE CHP GRW	TRBCX	3/27/2013 ^c	82.15 ⁿ	7/13/2018	187.44	112.1000	105.29	128.17			

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018

Prepared For:

KATHRYN ELLIS (IRA)
WEFS AS CUSTODIAN
8581-0/43

Quantity	Name	Security	Open Date	Cost / Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized Gain or Loss	% Gain or Loss
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75,518.35

826.85

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

KATHRYN GELLIS (IRA)

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized Gain or Loss	% Gain or Loss
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Report Summary

145,117.51	0.00	2,999.40	2,513.39
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Realized Gains or Losses	
Short Term	-157.27
Long Term	2,730.66

Legend

(*) Security Held Away	Open Date	Cost Amount
C - Covered Tax Lot		E - Pre Effective
N - Noncovered Tax Lot		O - Post Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots		N - Not Average Cost
W - Open Date Reflects Wash Sale Date		M - Net Row Contains Tax Lots with Multiple Elections

Covered Tax Lot - Cost information for this tax lot is covered by IRS reporting requirements.

covered tax law communication for his tax law covered by his reporting requirements.

What Sets Tax Law - Most information for this tax law is not covered by IRS reporting requirements. The few items that have been addressed due to much earlier conflicts are defined by the IRS regulations.

Wash Sale Date—The cost for this tax lot has been adjusted due to Wash sale activity as determined by the IRS. The cost of this tax lot is now \$1,000.

U.S.-Class Standing instructions indicates the disposition of stock and money on trust accounts.

MWIF Code - The MWIF Code in the report header indicates how money market account balances are treated.

This report is made available through the efforts of the Missouri Department of Health.

This report is not the official record of your account. However, it has been prepared to assist you with your investment planning.

This report is not the official record of your account. However, it has been prepared to assist you with your investment planning and is for informational purposes only. Your Wells Fargo Advisors Client Statement is the official record of your account. Therefore, if there are any discrepancies between this report and your Client Statement, you should rely on the Client Statement and call your local Branch Manager with any questions. Cost data and acquisition dates provided by you are not verified by Wells Fargo Advisors. Transactions requiring tax consideration should be reviewed carefully with your accountant or tax advisor. Unless otherwise indicated, market prices/values are the most recent closing prices available at the time of this report, and are subject to change. Prices may not reflect the value at which securities could be sold. Past performance does not guarantee future results.

Institutional costs in accounting and insurance products analysis

NOT FDIC – INSURED/NOT BANK GUARANTEED/MAY LOSE VALUE
Wells Fargo Clearing Services, LLC, Member SiPC is a registered broker-dealer.

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

2257-9642

KATHRYN G ELLIS LVG TR
KATHRYN G ELLIS TTEE
U/A DTD 05/31/1994

208 BARRINGTON PL
FESTUS MO 63026-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Date	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
14,000	GE CAPITAL BK	361617-F3-4	4/25/2014 ^c	14,000.00 ⁿ	100.0000	5/2/2017	14,000.00	100.0000			Miscellaneous

Report Summary

Legend

(I) Security Held Away	Cost Amount
Open Date	E - Pre Effective
C - Covered Tax Lot	O - Post Effective
N - Noncovered Tax Lot	M - Net Average Cost
M - Net Row Contains Both Covered and Noncovered Tax Lots	N - Net Row Contains Tax Lots with Multiple Elections
W - Open Date Reflects Wash Sale Date	

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.
 Noncovered Tax Lot-Cost information for this tax lot is not covered by IRS reporting requirements.

Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.

CSI-Cash Standing Instructions indicates the disposition of stock and money on cash accounts

MMF Code- The MMF Code in the report header indicates how money market account balances are treated.

This report is not the official record of your account. However, it has been prepared to assist you with your investment planning and is for informational purposes only. Your Wells Fargo Advisors Client Statement is the official record of your account. Therefore, if there are any discrepancies between this report and your Client Statement, you should rely on the Client Statement and call your local Branch Manager with any questions. Cost data and acquisition dates provided by you are not verified by Wells Fargo Advisors. Transactions requiring tax consideration should be reviewed carefully with your accountant or tax advisor. Unless otherwise indicated, market prices/values are the most recent closing prices available at the time of this report, and are subject to change. Prices may not reflect the value at which securities could be sold. Past performance does not guarantee future results.

Investments in securities and insurance products are:
 NOT FDIC - INSURED NOT BANK GUARANTEED MAY LOSE VALUE.

Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliate of Wells Fargo & Company.

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

2257-9642
KATHRYN G ELLIS LVG TR
KATHRYN G ELLIS TTEE
U/A DTD 05/31/1994
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized Gain or Loss
Misc										

14,000	GECAPITAL BK 1.1%050217	36161T-F34	4/25/2014	14,000.00*	100.0000	5/2/2017	14,000.00	100.0000		
				14,000.00	0.00			14,000.00		

Report Summary	
Cost Amount	14,000.00

<u>Legend</u>	
(*) Security Held Away	
Open Date	
C - Covered Tax Lot	Cost Amount
N - Noncovered Tax Lot	E - Pre Effective
W - Open Date Reflects Wash Sale Date	O - Post Effective
	M - Net Row Contains Tax Lots with Multiple Elections

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.

Noncovered Tax Lot -Cost information for this tax lot is not covered by IRS reporting requirements.

Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.

CSI-Cash Standing Instructions indicates the disposition of stock and money on cash accounts

MMF Code -The MMF Code in the report header indicates how money market account balances are treated.

This report is not the official record of your account. However, it has been prepared to assist you with your investment planning and is for informational purposes only. Your Wells Fargo Advisors Client Statement is the official record of your account. Therefore, if there are any discrepancies between this report and your Client Statement, you should rely on the Client Statement and call your local Branch Manager with any questions. Cost data and acquisition dates provided by you are not verified by Wells Fargo Advisors. Transactions requiring tax consideration should be reviewed carefully with your accountant or tax advisor. Unless otherwise indicated, market prices/values are the most recent closing prices available at the time of this report, and are subject to change. Prices may not reflect the value at which securities could be sold. Past performance does not guarantee future results.

Investments in securities and insurance products are:

NOT FDIC - INSURED/NOT BANK GUARANTEED/MAY LOSE VALUE.

Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliate of Wells Fargo & Company.

Closed Holdings

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:

7220-1842

KATHRYN G ELLIS (IRAY)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS
MO 63028-5475

01/01/2017 - 09/05/2018

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term	
1	AIR PRODUCTS & CHEMICALS	APD	4/28/2016 ^c	136.97 ⁿ	136.9664	4/16/2018	164.69	164.6894	27.72	20.24	LONG	
10		APD	4/28/2016 ^c	1,369.56 ⁿ	136.9664	6/22/2018	1,576.74	157.6770	207.08	15.12	LONG	
3		APD	7/6/2016 ^c	380.50 ⁿ	126.8315	6/22/2018	473.03	157.6770	92.53	24.32	LONG	
				<u>1,887.13</u>			<u>2,214.46</u>		<u>327.33</u>			
3	ALERIAN MLP	ETP	12/24/2014 ^c	52.47 ⁿ	17.4899	4/12/2017	38.05	12.6828	-14.42	-27.48	LONG	
10		AMLP	12/24/2014 ^c	174.90 ⁿ	17.4899	4/16/2018	99.80	9.9800	-75.10	-42.94	LONG	
240		AMLP	12/24/2014 ^c	4,197.56 ⁿ	17.4899	6/22/2018	2,487.44	10.3645	-1,710.12	-40.74	LONG	
65		AMLP	3/10/2015 ^c	1,075.14 ⁿ	16.5406	6/22/2018	673.69	10.3645	-401.45	-37.34	LONG	
				<u>5,500.07</u>			<u>3,288.98</u>		<u>-2,201.09</u>			
1	AMERICAN ELECTRIC POWER	AEP	12/24/2014 ^c	61.14 ⁿ	61.1360	4/12/2017	67.70	67.6950	6.56	10.73	LONG	
16		AEP	12/24/2014 ^c	978.17 ⁿ	61.1360	6/22/2018	1,079.25	67.4540	101.08	10.33	LONG	
				<u>1,039.31</u>			<u>1,146.95</u>		<u>107.64</u>			
1,000	ANHEUSER-Busch	ABE	12/24/2014 ^c	1,001.25 ⁿ	100.5380	2/27/2018	995.58	99.5580	-5.67	-0.56	LONG	
12	ANHEUSER BUSCH INBEV	BUD	4/18/2016 ^c	1,534.09 ⁿ	127.8409	3/1/2018	1,312.49	109.3764	-221.60	-14.45	LONG	
1	CISCO SYSTEMS INC	CSCO	12/24/2014 ^c	28.58 ⁿ	28.5753	4/12/2017	32.58	32.5843	4.00	14.00	LONG	
1		CSCO	12/24/2014 ^c	28.58 ⁿ	28.5753	4/16/2018	43.35	43.3474	14.77	51.68	LONG	
49		CSCO	12/24/2014 ^c	1,400.18 ⁿ	28.5753	6/22/2018	2,115.79	43.1800	715.61	51.11	LONG	
				<u>1,457.34</u>			<u>2,191.72</u>		<u>734.38</u>			
1	CRACKER BARREL OLD COUNTRY	CBRL	12/24/2014 ^c	138.37 ⁿ	138.3629	4/16/2018	164.79	164.7876	26.42	19.09	LONG	
8		CBRL	12/24/2014 ^c	1,106.89 ⁿ	138.3629	6/22/2018	1,331.98	166.5000	225.09	20.34	LONG	
2		CBRL	2/19/2016 ^c	278.42 ⁿ	139.2118	6/22/2018	332.99	166.5000	54.57	19.60	LONG	
3		CBRL	10/14/2016 ^c	400.96 ⁿ	133.6530	6/22/2018	499.50	166.5000	98.54	24.58	LONG	
				<u>1,924.64</u>			<u>2,329.26</u>		<u>404.62</u>			
12	EDISON INTERNATIONAL	EIX	1/29/2015 ^c	821.66 ⁿ	68.4720	6/22/2018	736.84	61.4046	-84.82	-10.32	LONG	
10		EIX	1/19/2016 ^c	600.53 ⁿ	60.0928	6/22/2018	614.04	61.4046	13.11	2.18	LONG	
				<u>1,422.59</u>			<u>1,350.88</u>		<u>-71.71</u>			
2,000	GENERAL ELECTRIC	GE	3/6/2014-BQ-5	71/2/2016 ⁿ	2,154.00 ⁿ	107.7000	6/22/2018	1,945.30	97.2650	-208.70	-9.69	LONG
1	GLOBAL X FUNDS	ETF	MLPA	12/24/2014 ^c	15.99 ⁿ	15.9900	4/12/2017	11.58	11.5778	-4.41	-27.58	LONG
6		MLPA	12/24/2014 ^c	95.94 ⁿ	15.9900	4/16/2018	53.88	8.9800	-42.06	-43.84	LONG	
22		MLPA	12/24/2014 ^c	351.78 ⁿ	15.9900	6/22/2018	204.68	9.3042	-147.10	-41.82	LONG	
107		MLPA	3/10/2015 ^c	1,630.04 ⁿ	15.2340	6/22/2018	995.54	9.3042	-634.50	-38.93	LONG	

Closed Holdings

Wednesday, September 03, 2014
A5VK MAHN/GOWEN

Prepared For:

77220-1842
KATHRYN G ELLIS (IRA)

WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost/Amount	Trade Price	Close Date	Close Amt	Closes Price	Realized	% Gain or Loss	Term
34		MLPA	9/23/2016 ^c	391.49 ⁿ	11.5143	8/22/2018	316.34	9.3042	-75.15	-19.20	LONG
4	GRAINGER W W INC	GWW	12/24/2014 ^c	2,485.24				1,582.02		-903.22	
3		GWW	24/2015 ^c	1,033.60 ⁿ	256.4000	1/5/2018	950.61	237.6595	-82.99	-8.03	LONG
				719.98 ⁿ	239.9918	1/5/2018	712.97	237.6595	-7.01	-0.97	LONG
				1,753.58			1,663.58		-90.00		
11	HOME DEPOT INC	HD	12/24/2014 ^c	1,144.64 ⁿ	104.0586	8/22/2018	2,195.60	199.6027	1,050.96	91.82	LONG
4		HD	7/16/2015 ^c	459.15 ⁿ	114.7879	6/22/2018	798.40	199.6027	339.25	73.89	LONG
				1,603.79			2,984.00		1,390.21		
13	ILL TOOL WORKS INC	ITW	12/24/2014 ^c	1,265.60 ⁿ	97.3541	6/22/2018	1,851.89	142.4552	586.29	46.33	LONG
3		ITW	2/19/2016 ^c	286.49 ⁿ	95.4966	6/22/2018	427.36	142.4552	140.87	49.17	LONG
				1,552.09			2,279.25		727.16		
131	INVESTOP II FUND MTL ETF PHB	PHB	7/30/2015 ^c	2,434.68 ⁿ	18.5854	6/22/2018	2,414.84	18.4342	-19.84	-0.81	LONG
22		PHB	2/19/2016 ^c	372.02 ⁿ	16.9101	6/22/2018	405.54	18.4342	33.52	9.01	LONG
128		PHB	2/24/2016 ^c	2,179.38 ⁿ	17.0264	6/22/2018	2,359.55	18.4342	180.17	8.27	LONG
97		PHB	7/6/2016 ^c	1,789.46 ⁿ	18.4480	6/22/2018	1,788.11	18.4342	-1.35	-0.08	LONG
				6,775.54			6,968.04		192.50		
122	INVESTCO TR II PFD ETF	PGX	12/24/2014 ^c	1,775.68 ⁿ	14.5548	6/22/2018	1,770.70	14.5142	-4.98	-0.28	LONG
159		PGX	3/10/2015 ^c	2,354.47 ⁿ	14.8080	6/22/2018	2,307.73	14.5142	-46.74	-1.99	LONG
26		PGX	2/19/2016 ^c	379.53 ⁿ	14.5973	6/22/2018	377.36	14.5142	-2.17	-0.57	LONG
37		PGX	1/24/2018 ^c	541.87 ⁿ	14.6451	6/22/2018	537.03	14.5142	-4.84	-0.89	SHORT
				5,051.55			4,992.82		-58.73		
1	ISHARES CORE US AGG ETF	AGG	1/19/2016 ^c	108.82 ⁿ	108.8194	4/12/2017	109.01	109.0140	0.19	0.17	LONG
13		AGG	1/19/2016 ^c	1,414.65 ⁿ	108.8194	6/22/2018	1,376.68	105.9001	-37.97	-2.68	LONG
22		AGG	1/29/2016 ^c	2,406.18 ⁿ	109.3720	6/22/2018	2,329.77	105.9001	-76.41	-3.18	LONG
				3,929.65			3,815.46		-114.19		
11	ISHARES IBOXX&INV'T ETF	LQD	1/11/2016 ^c	1,324.48 ⁿ	120.4075	6/22/2018	1,253.79	113.9825	-70.69	-5.34	LONG
3		LQD	1/22/2016 ^c	349.04 ⁿ	116.3464	6/22/2018	341.95	113.9825	-7.09	-2.03	LONG
				1,673.52			1,595.74		-77.78		
10	ISHARES MBS ETF	MBB	2/28/2018 ^c	1,043.73 ⁿ	104.3727	6/22/2018	1,037.71	103.7725	-6.02	-0.58	SHORT
5		MBB	2/28/2018 ^c	521.86 ⁿ	104.3727	6/22/2018	518.86	103.7725	-3.00	-0.57	SHORT
				1,565.59			1,556.57		-9.02		
1	JOHNSON & JOHNSON	JNJ	7/14/2017 ^c	132.48 ⁿ	132.4725	4/16/2018	132.01	132.0142	-0.47	-0.35	SHORT
9		JNJ		1,192.25 ⁿ	132.4725	6/22/2018	1,106.35	122.9285	-85.90	-7.20	SHORT

Closed Holdings

Wednesday, September 03, 2014
A5VK MAHNGOWEN

01/01/2017 - 09/05/2018

Prepared For:
7220-1842

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized Gain or Loss	% Gain or Loss	Term
				1,324.73			1,238.36		-86.37		
10	KIMBERLY-CLARK CORP	KMB	12/24/2014 ^c	1,183.96 ^N	118.3965	6/22/2018	1,014.09	101.4100	-169.87	-14.35	LONG
1	LAMAR ADVERTISING CO NEW	LAMR	12/24/2014 ^c	54.28 ^N	54.2706	4/12/2017	73.24	73.2417	18.96	34.93	LONG
22		LAMR	12/24/2014 ^c	1,193.94 ^N	54.2706	6/22/2018	1,540.64	70.0300	346.70	29.04	LONG
				1,248.22			1,613.88		365.68		
37	MATTEL INC	MAT	10/21/2016 ^c	1,205.00 ^N	32.5677	5/4/2017	805.22	21.7632	-399.78	-33.18	SHORT
1	NATIONAL RETAIL PPTYS	NNN	12/24/2014 ^c	40.28 ^N	40.2762	4/12/2017	44.85	44.8501	4.57	11.35	LONG
34		NNN	12/24/2014 ^c	1,369.39 ^N	40.2762	6/22/2018	1,475.23	43.3900	105.84	7.73	LONG
11		NNN	10/2/2017 ^c	460.43 ^N	41.8569	6/22/2018	477.29	43.3900	16.86	3.66	SHORT
				1,870.10			1,997.37		127.27		
2	NEW MOUNTAIN FINANCE	NMFC	12/24/2014 ^c	29.80 ^N	14.8985	4/12/2017	29.55	14.7750	-0.25	-0.84	LONG
99	CRP	NMFC	12/24/2014 ^c	1,474.93 ^N	14.8985	6/22/2018	1,327.26	13.4068	-147.67	-10.01	LONG
				1,504.73			1,356.81		-147.92		
2	PEOPLE'S UNITED FINANCL	PBCT	12/24/2014 ^c	30.51 ^N	15.2532	4/16/2018	37.11	18.5534	6.60	21.63	LONG
71		PBCT	12/24/2014 ^c	1,082.97 ^N	15.2532	6/22/2018	1,332.24	18.7642	249.27	23.02	LONG
52		PBCT	8/10/2016 ^c	801.39 ^N	15.4113	6/22/2018	975.73	18.7642	174.34	21.75	LONG
				1,914.87			2,345.08		430.21		
1	Pfizer Incorporated	PFE	3/1/2018 ^c	35.49 ^N	35.4822	4/16/2018	36.51	36.5100	1.02	2.87	SHORT
48		PFE	3/1/2018 ^c	1,703.14 ^N	35.4822	6/22/2018	1,755.82	36.5800	52.68	3.09	SHORT
				1,738.63			1,792.33		53.70		
10	PIMCO 0-5 YEAR HY ETF	HYS	7/6/2016 ^c	966.74 ^N	96.6740	6/22/2018	1,002.34	100.2350	35.60	3.68	LONG
1	PNC FINL PREP VAR P FPD	PNC.P	12/24/2014 ^N	27.58 ^N	27.5726	4/16/2018	27.56	27.5621	-0.02	-0.07	LONG
33		PNC.P	12/24/2014 ^N	909.88 ^N	27.5726	6/22/2018	896.93	27.1801	-12.95	-1.42	LONG
				937.46			924.49		-12.97		
4	PROCTER & GAMBLE CO	PG	12/29/2015 ^c	342.54 ^N	85.6333	9/28/2017	303.62	90.9085	21.08	6.15	LONG
1		PG	12/29/2015 ^c	85.64 ^N	85.6333	4/16/2018	78.49	78.4930	-7.15	-8.35	LONG
4		PG	12/29/2015 ^c	342.52 ^N	85.6333	6/22/2018	309.85	77.4642	-32.67	-9.54	LONG
5		PG	2/25/2015 ^c	432.53 ^N	86.5051	6/22/2018	387.31	77.4642	-45.22	-10.45	LONG
5		PG	3/10/2015 ^c	409.92 ^N	81.9840	6/22/2018	387.32	77.4642	-22.60	-5.51	LONG
10		PG	6/1/2015 ^c	795.05 ^N	79.5054	6/22/2018	774.64	77.4642	-20.41	-2.57	LONG
				2,408.20			2,301.23		-106.97		
1	PROGRESSIVE CORP OHIO	PGR	7/16/2015 ^c	30.28 ^N	30.2705	4/16/2018	61.02	61.0234	30.74	101.52	LONG

Closed Holdings

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

01/01/2017 - 09/05/2018

Prepared For:
7220-1842

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Realized Price	% Gain or Loss	Term	
38		PGR	7/16/2015 ^a	1,150.27 ⁿ	30.2705	6/22/2018	2,321.01	61,0800	1,170.74	101.78	
				<u>1,180.55</u>			<u>2,382.03</u>		<u>1,201.48</u>		
5	PWRSR H/Y CORP BD	73936T-55-7	7/30/2015 ^c	82.93 ⁿ	18.5854	4/12/2017	94.40	18,8800	1.47	1.58	
2		73936T-55-7	7/30/2015 ^c	<u>37.18ⁿ</u>	<u>18.5854</u>	<u>4/16/2018</u>	<u>37.32</u>	<u>18,6600</u>	<u>0.14</u>	<u>0.38</u>	
				<u>130.11</u>			<u>131.72</u>		<u>1.61</u>		
5	PWRSR PREFERRED PORT ETF	73936T-56-5	12/24/2014 ^c	72.78 ⁿ	14.5548	4/12/2017	74.70	14,9401	1.92	2.64	
3	REINSURANCE GROUP6.2%PFD	RZA	12/24/2014 ⁿ	82.13 ⁿ	28.1226	1/31/2017	84.34	28,1131	2.21	2.62	
7		RZA	12/24/2014 ⁿ	190.98 ⁿ	28.1226	4/26/2017	205.01	29,2876	14.03	7.13	
2	RZA	RZA	12/24/2014 ⁿ	54.37 ⁿ	28.1226	8/2/2017	58.91	29,4535	4.54	8.07	
23		12/24/2014 ⁿ	617.24 ⁿ	28.1226	6/22/2018	631.28	27.4476		14.04	2.17	
			<u>944.72</u>			<u>979.54</u>		<u>34.82</u>			
1	ROCKWELL AUTOMATION INC	ROK	12/24/2014 ^c	113.20 ⁿ	113.1963	4/16/2018	174.22	174,2204	61.02	53.90	
6		ROK	12/24/2014 ^c	679.17 ⁿ	113.1963	6/22/2018	1,014.00	169,0034	334.83	49.30	
5	ROK	ROK	3/10/2015 ^c	556.49 ⁿ	111.2983	6/22/2018	845.01	169,0034	288.52	51.85	
3		ROK	2/19/2016 ^c	305.00 ⁿ	101.6657	6/22/2018	507.01	169,0034	202.01	66.23	
			<u>1,653.86</u>		<u>2,540.24</u>		<u>886.38</u>				
37	SPDR BLOOMBERG H/Y ETF	JNK	4/7/2017 ^c	1,362.38 ⁿ	36.8212	6/22/2018	1,327.32	35,8742	-35.06	-2.57	
30		JNK	11/20/2017 ^c	1,104.85 ⁿ	36.8282	6/22/2018	1,076.21	35,8742	-28.64	-2.59	
39		JNK	12/4/2018 ^c	1,439.30 ⁿ	36.9205	6/22/2018	1,399.09	35,8742	-40.81	-2.83	
			<u>3,907.13</u>		<u>3,802.62</u>		<u>-104.51</u>				
19	SPIRE INC	SR	9/23/2016 ^c	1,246.22 ⁿ	65.5905	6/22/2018	1,363.02	71,2125	106.80	8.57	
5		SR	11/2/2016 ^c	305.98 ⁿ	61.1168	6/22/2018	356.06	71,2125	50.48	16.52	
			<u>1,551.80</u>		<u>1,709.08</u>		<u>157.28</u>				
5	TCP CAPITAL CORP	87238Q-10-3	12/24/2014 ^c	81.59 ⁿ	16.3180	2/1/2017	82.45	16,4896	0.86	1.05	
7		87238Q-10-3	12/24/2014 ^c	114.43 ⁿ	16.3180	2/21/2017	119.61	17,0865	5.38	4.71	
8	87238Q-10-3	87238Q-10-3	12/24/2014 ^c	130.55 ⁿ	16.3180	4/18/2017	138.28	17,2855	7.73	5.92	
12	87238Q-10-3	87238Q-10-3	12/24/2014 ^c	195.82 ⁿ	16.3180	2/7/2018	177.58	14,7985	-18.24	-9.31	
85		87238Q-10-3	12/24/2014 ^c	1,387.00 ⁿ	16.3180	6/22/2018	1,227.24	14,4384	-159.76	-11.52	
			<u>1,909.19</u>		<u>1,745.16</u>		<u>-164.03</u>				
1	TPG SPECIALTY LENDING	TSIX	12/24/2014 ^c	16.92 ⁿ	16.9130	4/12/2017	20.56	20,5600	3.64	21.51	
1		TSIX	12/24/2014 ^c	16.92 ⁿ	16.9130	4/16/2018	17.70	17,7041	0.78	4.61	
8	TSIX	12/24/2014 ^c	135.29 ⁿ	16.9130	6/22/2018	143.68	17,9604	8.39	6.20	LONG	
61		TSIX	12/1/2015 ^c	1,040.73 ⁿ	17.0611	6/22/2018	1,095.57	17,9604	54.84	5.27	LONG

Closed Holdings

Wednesday, September 05, 2018
A5V/K MAHN/GOWEN

01/01/2017 - 09/05/2018

Prepared For:
7220-1842

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized Gain or Loss	% Gain or Loss	Term
1	US BANCORP NEW	USB	6/1/2015 ^c	44.95 ⁿ	44.9455	4/12/2017	50.63	50.6250	5.68	12.64	LONG
23		USB	6/1/2015 ^c	1,033.74 ⁿ	44.9455	6/22/2018	1,177.91	51.2142	144.17	13.95	LONG
10		USB	2/19/2016 ^c	395.40 ⁿ	39.5404	6/22/2018	512.14	51.2142	116.74	29.52	LONG
				<u>1,474.09</u>			<u>1,740.68</u>		<u>266.59</u>		
32	US BANCORP PERP VAR	USB.M	12/24/2014 ⁿ	945.08 ⁿ	29.5341	6/22/2018	895.25	27.9769	-49.83	-5.27	LONG
		PFD									
35	VANGUARD INT'L TRM VCT		11/20/2015 ^c	2,983.29 ⁿ	85.2369	6/22/2018	2,913.52	83.2447	-69.77	-2.34	LONG
		ETF									
15	VANGUARD SHRT TRM CP VCSH		8/11/2016 ^c	1,211.85 ⁿ	80.7900	6/22/2018	1,170.34	78.0242	-41.51	-3.43	LONG
		ETF									
18		VCSH	12/22/2016 ^c	1,427.40 ⁿ	79.3000	6/22/2018	1,404.43	78.0242	-22.97	-1.61	LONG
				<u>2,639.25</u>			<u>2,574.77</u>		<u>-64.48</u>		
1	VERIZON COMMUNICATIONS	VZ	12/24/2014 ^c	47.35 ⁿ	47.5451	4/12/2017	48.71	48.7146	1.16	2.44	LONG
21			12/24/2014 ^c	998.44 ⁿ	47.5451	6/22/2018	1,040.82	49.5633	42.38	4.24	LONG
				<u>1,045.99</u>			<u>1,089.53</u>		<u>43.54</u>		
1	VF CORPORATION	VFC	11/24/2015 ^c	66.26 ⁿ	66.2558	4/16/2018	77.85	77.8542	11.59	17.49	LONG
13		VFC	11/24/2015 ^c	861.32 ⁿ	66.2558	6/22/2018	1,071.96	82.4600	210.64	24.46	LONG
5		VFC	2/19/2016 ^c	295.01 ⁿ	59.0025	6/22/2018	412.39	82.4600	117.28	39.75	LONG
7		VFC	10/13/2016 ^c	380.51 ⁿ	54.3581	6/22/2018	577.22	82.4600	196.71	51.70	LONG
6		VFC	12/22/2016 ^c	335.37 ⁿ	55.9944	6/22/2018	494.76	82.4600	158.79	47.26	LONG
				<u>1,939.07</u>			<u>2,634.08</u>		<u>695.01</u>		
1	WALMART INC	WMT	12/24/2014 ^c	86.99 ⁿ	86.9851	4/16/2018	87.25	87.2542	0.26	0.30	LONG
17		WMT	12/24/2014 ^c	1,478.74 ⁿ	86.9851	6/22/2018	1,439.92	84.7023	-38.82	-2.63	LONG
4		WMT	7/6/2016 ^c	295.02 ⁿ	73.7553	6/22/2018	338.81	84.7023	43.79	14.84	LONG
				<u>1,860.75</u>			<u>1,865.98</u>		<u>5.23</u>		
10	WATSCO INC	WSO	12/24/2014 ^c	1,072.20 ⁿ	107.2199	6/22/2018	1,827.87	182.7900	755.67	70.48	LONG
4		WSO	3/10/2015 ^c	468.86 ⁿ	117.2161	6/22/2018	731.15	182.7900	262.29	55.94	LONG
2		WSO	10/24/2016 ^c	285.59 ⁿ	142.8474	6/22/2018	365.58	182.7900	79.89	27.96	LONG
				<u>1,826.75</u>			<u>2,924.60</u>		<u>1,097.85</u>		
1	XCEL ENERGY INC	XEL	2/5/2016 ^c	39.38 ⁿ	39.3732	4/12/2017	44.84	44.8444	5.48	13.86	LONG
36			2/5/2016 ^c	1,417.43 ⁿ	39.3732	6/22/2018	1,594.78	44.0223	167.35	11.81	LONG
				<u>1,456.81</u>			<u>1,629.62</u>		<u>172.81</u>		

Closed Holdings

Wednesday, September 05, 2018
ASV/K MAHN/GOWEN

01/01/2017 - 09/05/2018

Prepared For:
7220-1842

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Price	Close Amount	Realized	% Gain or Loss	Term
Report Summary											
				89,344.69		93,554.93		4,190.24			

Realized Gains or Losses	
Short Term	Long Term
-498.90	4,689.14

Legend

(*) Security Held Away	Open Date
	C - Covered Tax Lot
	N - Noncovered Tax Lot
	H - Net Row Contains Both Covered and Noncovered Tax Lots
	W - Open Date Reflects Wash Sale Date

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.

Noncovered Tax Lot- Cost information for this tax lot is not covered by IRS reporting requirements.

Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.

CSI -Cash Standing Instructions indicates the disposition of stock and money on cash accounts

MMF Code -The MMF Code in the report header indicates how money market account balances are treated.

This report is not the official record of your account. However, it has been prepared to assist you with your investment planning and is for informational purposes only. Your Wells Fargo Advisors Client Statement is the official record of your account. Therefore, if there are any discrepancies between this report and your Client Statement, you should rely on the Client Statement and call your local Branch Manager with any questions. Cost data and acquisition dates provided by you are not verified by Wells Fargo Advisors. Transactions requiring tax consideration should be reviewed carefully with your accountant or tax advisor. Unless otherwise indicated, market prices/values are the most recent closing prices available at the time of this report, and are subject to change. Prices may not reflect the value at which securities could be sold. Past performance does not guarantee future results.

Investments in securities and insurance products are:

NOT FDIC - INSURED/NOT BANK GUARANTEED/MAY LOSE VALUE.

Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliate of Wells Fargo & Company.

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
A5VK MAHNGOWEN

01/01/2017 - 09/05/2018

Prepared For:

7220-1842

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN
208 BARRINGTON PL

FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost	Amount	Ajusted	Trade Date	Close Date	Close Amt.	Close Price	Realized % Gain or Loss
Short											
10	ISHARES MBS	MBB	2/28/2018	1,043.73 ⁿ	104.3727	6/22/2018	1,037.71	103.7725	-6.02	-0.58	
5	ISHARES MBS	MBB	2/28/2018	521.86 ⁿ	104.3727	6/22/2018	518.86	103.7725	3.00	-0.57	
37	INVESTCO TR II PFD	PGX	1/24/2018	541.87 ⁿ	14.6451	6/22/2018	537.03	14.5142	-4.84	-0.89	
1	JOHNSON & JOHNSON	JNJ	7/14/2017 ^c	132.48 ⁿ	132.4725	4/16/2018	132.01	132.0142	-0.47	-0.35	
9	JOHNSON & JOHNSON	JNJ	7/14/2017 ^c	1,192.25 ⁿ	132.4725	6/22/2018	1,106.35	122.9285	-85.90	-7.20	
37	MATTEL INC	MAT	10/21/2018 ^c	1,205.00 ⁿ	32.5677	5/4/2017	805.22	21.7632	-399.78	-33.18	
11	NATIONAL RETAIL PPTYS	NNN	10/22/2017 ^c	460.43 ⁿ	41.8569	6/22/2018	477.29	43.3900	16.86	3.66	
1	Pfizer Incorporated	PFE	3/1/2018 ^c	35.49 ⁿ	4/16/2018	36.51	36.5100	1.02	2.87		
48	Pfizer Incorporated	PFE	3/1/2018 ^c	1,703.14 ⁿ	35.4822	6/22/2018	1,755.82	36.5800	52.68	3.09	
30	SPDR BLOOMBERG HY	JNK	1/10/2017 ^c	1,104.85 ⁿ	36.8282	6/22/2018	1,076.21	35.8742	-28.64	-2.59	
39	SPDR BLOOMBERG HY	JNK	1/24/2018 ^c	1,439.90 ⁿ	36.9205	6/22/2018	1,399.09	35.8742	-40.81	-2.83	
Long											
1	AIR PRODUCTS & CHEMICALS	APD	4/28/2016 ^c	136.97 ⁿ	136.9664	4/16/2018	164.69	164.6894	27.72	20.24	
10	AIR PRODUCTS & CHEMICALS	APD	4/28/2016 ^c	1,369.66 ⁿ	136.9664	6/22/2018	1,576.74	157.6770	207.08	15.12	
3	AIR PRODUCTS & CHEMICALS	APD	7/6/2016 ^c	380.50 ⁿ	126.8315	6/22/2018	473.03	157.6770	92.53	24.32	
3	ALERRAN MLP	AMLP	12/24/2014 ^c	52.47 ⁿ	17.4899	4/12/2017	38.05	12.6828	-14.42	-27.48	
10	ALERRAN MLP	AMLP	12/24/2014 ^c	174.90 ⁿ	17.4899	4/16/2018	99.80	9.9800	-75.10	-42.94	
240	ALERRAN MLP	AMLP	12/24/2014 ^c	4,197.58 ⁿ	17.4899	6/22/2018	2,487.44	10.3645	-1,710.12	-40.74	
65	ALERIAN MLP	AMLP	3/10/2015 ^c	1,075.14 ⁿ	16.5406	6/22/2018	673.69	10.3645	-401.45	-37.34	
1	AMERICAN ELECTRIC POWER	AEP	12/24/2014 ^c	61.14 ⁿ	61.1360	4/12/2017	67.70	67.6950	6.56	10.73	
16	AMERICAN ELECTRIC POWER	AEP	12/24/2014 ^c	978.17 ⁿ	61.1360	6/22/2018	1,079.25	67.4540	101.08	10.33	
12	ANHEUSER BUSCH INBEV ADR	BUD	4/18/2016 ^c	1,534.09 ⁿ	127.8409	3/1/2018	1,312.49	109.3764	-221.60	-14.45	
1	CRACKER BARREL OLD CNTRY	CBRL	12/24/2014 ^c	138.37 ⁿ	138.3629	4/16/2018	164.79	164.7876	26.42	19.09	
8	CRACKER BARREL OLD CNTRY	CBRL	12/24/2014 ^c	1,106.89 ⁿ	138.3629	6/22/2018	1,331.98	166.5000	225.09	20.34	
2	CRACKER BARREL OLD CNTRY	CBRL	2/19/2018 ^c	278.42 ⁿ	139.2118	6/22/2018	332.99	166.5000	54.57	19.60	
3	CRACKER BARREL OLD CNTRY	CBRL	10/14/2016 ^c	400.96 ⁿ	133.6530	6/22/2018	499.50	166.5000	98.54	24.58	
1	CISCO SYSTEMS INC	CSCO	12/24/2014 ^c	28.58 ⁿ	28.5753	4/12/2017	32.58	32.5843	4.00	14.00	
1	CISCO SYSTEMS INC	CSCO	12/24/2014 ^c	28.58 ⁿ	28.5753	4/16/2018	43.35	43.3474	14.77	51.68	
49	CISCO SYSTEMS INC	CSCO	12/24/2014 ^c	1,400.18 ⁿ	28.5753	6/22/2018	2,115.79	43.1800	715.61	51.11	
12	EDISON INTL	EIX	1/29/2015 ^c	821.66 ⁿ	68.4720	6/22/2018	736.84	61.4046	-84.82	-10.32	
10	EDISON INTL	EIX	1/19/2016 ^c	600.93 ⁿ	60.0928	6/22/2018	614.04	61.4046	13.11	2.18	
1	GLOBAL X FUND	MLPA	12/24/2014 ^c	15.99 ⁿ	15.9900	4/12/2017	11.58	11.5778	-4.41	-27.58	
6	GLOBAL X FUND	MLPA	12/24/2014 ^c	15.99 ⁿ	4/16/2018	53.88	8.9800	-42.06	-43.84		

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
A5VK MAHNGOWEN

01/01/2017 - 09/05/2018

Prepared For:

7220-1842

KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	% Gain or Loss
Long - Continued										
22	GLOBAL X FUND	ETF	MLPA	12/24/2014 ^c	351.78 ⁿ	15,9900	6/22/2018	204.68	9,3042	-147.10
107	GLOBAL X FUND	ETF	MLPA	3/10/2015 ^f	1,630.04 ⁿ	15,2340	6/22/2018	995.54	9,3042	-634.50
34	GLOBAL X FUND	ETF	MLPA	9/23/2016 ^c	391.49 ⁿ	11,5143	6/22/2018	316.34	9,3042	-38.93
4	GRAINGER W W INC	GWW	GWW	12/24/2014 ^c	1,033.60 ⁿ	258.4000	1/5/2018	950.61	237.6595	-75.15
3	GRAINGER W W INC	GWW	GWW	2/4/2015 ^f	719.98 ⁿ	239.9918	1/5/2018	712.97	237.6595	-82.99
11	HOME DEPOT INC	HD	HD	12/24/2014 ^c	1,144.64 ⁿ	104.0586	6/22/2018	2,195.60	199.6027	-7.01
4	HOME DEPOT INC	HD	HD	7/16/2015 ^f	459.15 ⁿ	14.7879	6/22/2018	798.40	199.6027	-70.69
11	ISHARES IBOXX&INV	ETF	LQD	1/1/2016 ^c	1,324.48 ⁿ	120.4075	6/22/2018	1,253.79	113.9825	-5.34
3	ISHARES IBOXX&INV	ETF	LQD	12/2/2016 ^c	349.04 ⁿ	116.3464	6/22/2018	341.95	113.9825	-7.09
13	ILL TOOL WORKS INC	ITW	ITW	12/24/2014 ^c	97.3541	6/22/2018	1,851.89	142.4552	586.29	
3	ILL TOOL WORKS INC	ITW	ITW	21/9/2016 ^c	95.4966	6/22/2018	427.36	142.4552	46.33	
122	INVEESCO TR II PFD	ETF	PGX	12/24/2014 ^c	1,775.68 ⁿ	6/22/2018	1,770.70	14.5142	-4.98	
159	INVEESCO TR II PFD	ETF	PGX	3/10/2015 ^c	2,354.47 ⁿ	14.8080	6/22/2018	2,307.73	14.5142	-46.74
26	INVEESCO TR II PFD	ETF	PGX	2/19/2016 ^c	379.53 ⁿ	14.5973	6/22/2018	377.36	14.5142	-2.17
131	INVEESCO II FNDMNTL	ETF	PHB	7/30/2015 ^c	2,434.68 ⁿ	18.5854	6/22/2018	2,414.84	18.4342	-19.84
22	INVEESCO II FNDMNTL	ETF	PHB	2/19/2016 ^c	372.02 ⁿ	16.9101	6/22/2018	405.54	18.4342	33.52
128	INVESCO II FNDMNTL	ETF	PHB	2/24/2016 ^c	2,179.38 ⁿ	17.0264	6/22/2018	2,359.55	18.4342	180.17
97	INVESCO II FNDMNTL	ETF	PHB	7/6/2016 ^c	1,789.46 ⁿ	18.4480	6/22/2018	1,788.11	18.4342	-1.35
1	ISHARES CORE US AGG	ETF	AGG	1/19/2016 ^c	108.82 ⁿ	108.8194	4/12/2017	109.01	109.0140	0.19
13	ISHARES CORE US AGG	ETF	AGG	1/19/2016 ^c	1,414.65 ⁿ	108.8194	6/22/2018	1,376.68	105.9001	-37.97
22	ISHARES CORE US AGG	ETF	AGG	1/29/2016 ^c	2,406.18 ⁿ	109.3720	6/22/2018	2,329.77	105.9001	-76.41
10	KIMBERLY-CLARK CORP	KMB	KMB	12/24/2014 ^c	1,183.96 ⁿ	118.3965	6/22/2018	1,014.09	101.4100	-169.87
1	LAMAR ADVERTISING CO NEW	LAMR	LAMR	12/24/2014 ^c	54.28 ⁿ	54.2706	4/12/2017	73.24	73.2417	18.98
22	LAMAR ADVERTISING CO NEW	LAMR	LAMR	12/24/2014 ^c	1,193.94 ⁿ	54.2706	6/22/2018	1,540.64	70.0300	346.70
1	NATIONAL RETAIL PPTYS	NNN	NNN	12/24/2014 ^c	40.28 ⁿ	40.2762	4/12/2017	44.85	44.8501	4.57
34	NATIONAL RETAIL PPTYS	NNN	NNN	12/24/2014 ^c	1,369.39 ⁿ	40.2762	6/22/2018	1,475.23	43.3900	105.84
2	NEW MOUNTAIN FINANCE CRP	NMFC	NMFC	12/24/2014 ^c	29.80 ⁿ	14.8985	4/12/2017	29.55	14.7750	-0.25
99	NEW MOUNTAIN FINANCE CRP	NMFC	NMFC	12/24/2014 ^c	1,474.93 ⁿ	14.8985	6/22/2018	1,327.26	13.4068	-147.67
1	PNC FINL PREP VAR P PFD	PNC.P	PNC.P	12/24/2014 ^c	27.58 ⁿ	27.5726	4/16/2018	27.56	27.5621	-0.02
33	PNC FINL PREP VAR P PFD	PNC.P	PNC.P	12/24/2014 ^c	909.88 ⁿ	27.5726	6/22/2018	896.93	27.1801	-12.95
2	PEOPLE'S UNITED FINANCIAL	PBCT	PBCT	12/24/2014 ^c	30.51 ⁿ	15.2532	4/16/2018	37.11	18.5534	6.60
71	PEOPLE'S UNITED FINANCIAL	PBCT	PBCT	12/24/2014 ^c	1,082.97 ⁿ	15.2532	6/22/2018	1,332.24	18.7642	249.27
52	PEOPLE'S UNITED FINANCIAL	PBCT	PBCT	8/10/2016 ^c	801.39 ⁿ	15.4113	6/22/2018	975.73	18.7642	174.34
10	PIMCO 0-5 YEAR HY ETF	HYS	HYS	7/6/2016 ^c	966.74 ⁿ	96.6740	6/22/2018	1,002.34	100.2350	35.60
5	PWRSHR PREFERRED PORT ETF	73936T-06-5		12/24/2014 ^c	14.5548	4/12/2017	74.70	14.9401	1.92	2.64
5	PWRSHR HY CORP BD	ETF	73936T-05-7	7/30/2015 ^c	92.78 ⁿ	18.5854	4/12/2017	94.40	18.8800	1.47

Schedule of Realized Gains and Losses

A5V MAHN/GOWEN
Wednesday, September 03, 2014

Prepared For:
7220-1842

KATHRYN G ELLIS (IRAY)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amount	Close Price	Realized	% Gain or Loss	
Long - Continued												
2	PWRSHR HY CORP BD ETF	73936T-55-7	7/30/2015 ^f	37.18 ⁿ	18,5854	4/16/2018	37.32	18,6600	0.14	0.38		
4	PROCTER & GAMBLE CO	PG	1/29/2015 ^f	342.54 ⁿ	85,6333	9/28/2017	363.62	90,9085	21.08	6.15		
1	PROCTER & GAMBLE CO	PG	1/29/2015 ^f	85.64 ⁿ	85,6333	4/16/2018	78.49	78,4930	-7.15	-8.35		
4	PROCTER & GAMBLE CO	PG	1/29/2015 ^f	342.52 ⁿ	85,6333	6/22/2018	309.85	77,4642	-32.67	-9.54		
5	PROCTER & GAMBLE CO	PG	2/5/2015 ^f	432.53 ⁿ	86,5051	6/22/2018	387.31	77,4642	-45.22	-10.45		
5	PROCTER & GAMBLE CO	PG	3/10/2015 ^f	409.92 ⁿ	81,9840	6/22/2018	387.32	77,4642	-22.60	-5.51		
10	PROCTER & GAMBLE CO	PG	6/1/2015 ^f	795.05 ⁿ	79,5054	6/22/2018	774.64	77,4642	-20.41	-2.57		
1	PROGRESSIVE CORP OHIO	PGR	7/16/2015 ^f	30.28 ⁿ	30,2705	4/16/2018	61.02	61,0234	30.74	101.52		
38	PROGRESSIVE CORP OHIO	PGR	7/16/2015 ^f	1,150.27 ⁿ	1,150.27 ⁿ	6/22/2018	2,321.01	61,0800	1,170.74	101.78		
3	REINSURANCE GROUP 6.2% PFD	RZA	1/2/4/2014 ^f	84.37 ⁿ	82.13	28/12/26	1/31/2017	84.34	28,1131	2.21	2.62	
7	REINSURANCE GROUP 6.2% PFD	RZA	1/2/4/2014 ^f	196.86 ⁿ	190.98	28/12/26	4/26/2017	205.01	29,2876	14.03	7.13	
2	REINSURANCE GROUP 6.2% PFD	RZA	1/2/4/2014 ^f	56.25 ⁿ	54.37	28/12/26	8/2/2017	58.91	29,4535	4.54	8.07	
23	REINSURANCE GROUP 6.2% PFD	RZA	1/2/4/2014 ^f	646.81 ⁿ	617.24	28/12/26	6/22/2018	631.28	27,4476	14.04	2.17	
1	ROCKWELL AUTOMATION INC	ROK	1/2/4/2014 ^f	113.20 ⁿ	113.20 ⁿ	4/16/2018	174.22	174.2204	61.02	53.90		
6	ROCKWELL AUTOMATION INC	ROK	1/2/4/2014 ^f	679.17 ⁿ	113.1963	6/22/2018	1,014.00	169,0034	334.83	49.30		
5	ROCKWELL AUTOMATION INC	ROK	3/10/2015 ^f	556.49 ⁿ	111.2983	6/22/2018	845.01	169,0034	288.52	51.85		
3	ROCKWELL AUTOMATION INC	ROK	2/19/2016 ^f	305.00 ⁿ	101.6657	6/22/2018	507.01	169,0034	202.01	66.23		
1	TPG SPECIALTY LENDING	TSLX	1/2/4/2014 ^f	16.92 ⁿ	16.9130	4/12/2017	20.56	20,5600	3.64	21.51		
1	TPG SPECIALTY LENDING	TSLX	1/2/4/2014 ^f	16.92 ⁿ	16.9130	4/16/2018	17.70	17,7041	0.78	4.61		
8	TPG SPECIALTY LENDING	TSLX	1/2/4/2014 ^f	135.29 ⁿ	16.9130	6/22/2018	143.68	17,9604	8.39	6.20		
61	TPG SPECIALTY LENDING	TSLX	1/2/1/2015 ^f	1,040.73 ⁿ	17.0611	6/22/2018	1,095.57	17,9604	54.84	5.27		
19	SPIRE INC	SR	9/23/2016 ^f	1,246.22 ⁿ	65,5905	6/22/2018	1,353.02	71,2125	106.80	8.57		
5	SPIRE INC	SR	1/1/2/2016 ^f	305.58 ⁿ	61.1168	6/22/2018	356.06	71,2125	50.48	16.52		
37	SPDR BLOOMBERG HY ETF	JNK	4/7/2017 ^f	1,362.38 ⁿ	36,8212	6/22/2018	1,327.32	35,8742	-35.06	-2.57		
5	TCP CAPITAL CORP	87238Q-10-3	1/2/4/2014 ^f	81.59 ⁿ	16.3180	2/1/2017	82.45	16,4896	0.86	1.05		
7	TCP CAPITAL CORP	87238Q-10-3	1/2/4/2014 ^f	114.23 ⁿ	16.3180	2/21/2017	119.61	17,0865	5.38	4.71		
8	TCP CAPITAL CORP	87238Q-10-3	1/2/4/2014 ^f	130.55 ⁿ	16.3180	4/18/2017	138.28	17,2855	7.73	5.92		
12	TCP CAPITAL CORP	87238Q-10-3	1/2/4/2014 ^f	195.82 ⁿ	16.3180	2/7/2018	177.58	14,7985	-18.24	-9.31		
85	TCP CAPITAL CORP	87238Q-10-3	1/2/4/2014 ^f	1,387.00 ⁿ	16.3180	6/22/2018	1,227.24	14,4384	-159.76	-11.52		
32	US BANCORP PERP VAR PFD	USB.M	1/2/4/2014 ^f	945.08 ⁿ	29,5341	6/22/2018	895.25	27,9769	-49.83	-5.27		
1	US BANCORP NEW	USB	6/1/2015 ^f	44.95 ⁿ	44.9455	4/12/2017	50.63	50,6250	5.68	12.64		
23	US BANCORP NEW	USB	6/1/2015 ^f	1,033.74 ⁿ	44.9455	6/22/2018	1,177.91	51,2142	144.17	13.95		
10	US BANCORP NEW	USB	2/19/2016 ^f	395.40 ⁿ	39,5404	6/22/2018	512.14	51,2142	116.74	29.52		
1	VFC CORPORATION	VFC	1/1/2015 ^f	66.26 ⁿ	66,2558	4/16/2018	77.8542	11.59	17.49			
13	VFC CORPORATION	VFC	1/1/2015 ^f	861.32 ⁿ	66,2558	6/22/2018	1,071.96	82,4600	210.64	24.46		
5	VFC CORPORATION	VFC	2/19/2016 ^f	295.01 ⁿ	59.0025	4/12/29	82,4600	117.28	39.75			

Schedule of Realized Gains and Losses

A5/VK MAHN/GOWEN
Wednesday, September 03, 2014

01/01/2017 - 09/05/2018

Prepared For:
7220-1842
KATHRYN G ELLIS (IRA)

WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	
Long - Continued												
7	VFC CORPORATION	VFC	10/13/2016 ^c	380.51 ^N	54,3581	6/22/2018	577.22	82,4600	196.71	51.70		
6	VFC CORPORATION	VFC	12/2/2016 ^c	335.97 ^N	55,9944	6/22/2018	494.76	82,4600	158.79	47.26		
35	VANGUARD INTRMDT TRM ETF	VCT	1/20/2015 ^f	2,983.29 ^N	85,2369	6/22/2018	2,913.52	83,2447	-69.77	-2.34		
15	VANGUARD SHRT TRM CP ETF	VCSH	8/11/2016 ^c	1,211.85 ^N	80,7900	6/22/2018	1,170.34	78,0242	-41.51	-3.43		
18	VANGUARD SHRT TRM CP ETF	VCSH	12/2/2016 ^c	1,427.40 ^N	79,3000	6/22/2018	1,404.43	78,0242	-22.97	-1.61		
1	VERIZON COMMUNICATIONS	VZ	1/24/2014 ^c	47.55 ^N	47,5451	4/12/2017	48.71	48,7146	1.16	2.44		
21	VERIZON COMMUNICATIONS	VZ	12/24/2014 ^c	998.44 ^N	47,5451	6/22/2018	1,040.82	49,5633	42.38	4.24		
1	WALMART INC	WMT	12/24/2014 ^c	86.99 ^N	86,9851	4/16/2018	87.25	87,2542	0.26	0.30		
17	WALMART INC	WMT	12/24/2014 ^c	1,478.74 ^N	86,9851	6/22/2018	1,439.92	84,7023	-38.82	-2.63		
4	WALMART INC	WMT	7/6/2016 ^c	295.02 ^N	73,7553	6/22/2018	338.81	84,7023	43.79	14.84		
10	WATSCO INC	WSO	12/24/2014 ^c	1,072.20 ^N	107.2199	6/22/2018	1,827.87	182.7900	755.67	70.48		
4	WATSCO INC	WSO	3/10/2015 ^f	468.86 ^N	117.2161	6/22/2018	731.15	182.7900	262.29	55.94		
2	WATSCO INC	WSO	10/24/2016 ^c	285.69 ^N	142.8474	6/22/2018	365.58	182.7900	79.89	27.96		
1	XCEL ENERGY INC	XEL	2/5/2016 ^c	39.38 ^N	39,3732	4/12/2017	44.84	44,8444	5.46	13.86		
36	XCEL ENERGY INC	XEL	2/5/2016 ^c	1,417.43 ^N	39,3732	6/22/2018	1,584.78	44,0223	167.35	11.81		
1,000	ANHEUSER-Busch InBev	035242-AE-6	12/24/2014 ^c	1,005.38 ^N	1,001.25	100,5380	2/27/2018	995.58	99,5580	-5.67	-0.56	
2,000	GENERAL ELE 5%	PERPL	3/6/2014-BQ-5	2,154.00 ^N	107.7000	6/22/2018	1,945.30	97,2650	-208.70	-9.69		
				80,007.39	1,945.97			84,652.83		4,689.14		

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHNGOWEN

Prepared For:
7220-1842
KATHRYN G ELLIS (IRA)

WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Am	Close Price	Realized % Gain or Loss
Report Summary										
				89,386.39	1,945.97		93,534.93		4,180.24	

Realized Gains or Losses	
Short Term	Long Term
-498.90	4,689.14

Legend

(*) Security Held Away	Cost Amount
O - Open Date	E - Pre Effective
C - Covered Tax Lot	O - Post Effective
N - Noncovered Tax Lot	N - Not Average Cost
M - Net Row Contains Both Covered and Noncovered Tax Lots	M - Net Row Contains Tax Lots with Multiple Elections
W - Open Date Reflects Wash Sale Date	

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.

Noncovered Tax Lot-Cost information for this tax lot is not covered by IRS reporting requirements.

Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.

CSI -Cash Standing Instructions indicates the disposition of stock and money on cash accounts

MMF Code -The MMF Code in the report header indicates how money market account balances are treated.

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Investments in securities and insurance products are:

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Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliates of Wells Fargo & Company.

September 5, 2018

Portfolio Report

ADVISORS

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Investment and Insurance Products:

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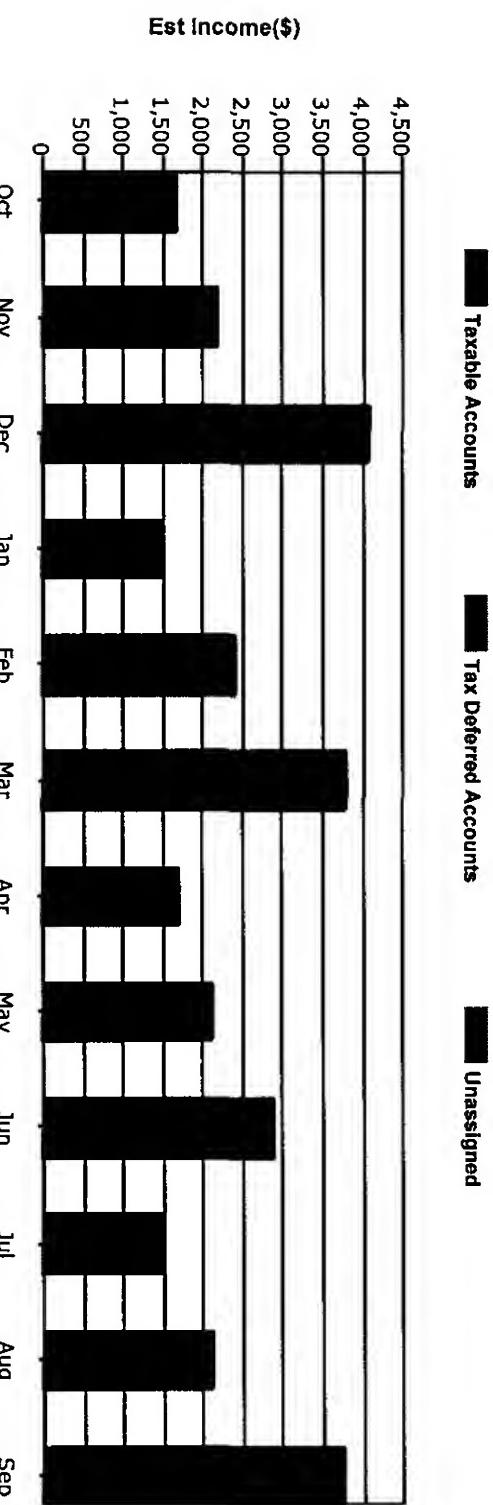
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Accounts Included in the Report

Account ID	Account Name	Type	Location	Last Statement Date
XXXX9642	ELLIS KATHRYN G	Taxable	Internal	9/4/2018
XXXX2136	ELLIS KATHRYN 401K	Deferred	Internal	9/4/2018
XXXX4833	ELLIS KATHRYN G	Deferred	Internal	9/4/2018
XXXX1842	ELLIS KATHRYN G	Deferred	Internal	9/4/2018
XXXX5983	ELLIS KATHRYN G	Deferred	Internal	9/4/2018
XXXX0743	ELLIS KATHRYN G	Deferred	Internal	9/4/2018

Portfolio Estimated Income
 By: Account Tax Status,Tax Type,Security Sub Type
 Based on current income rates



Taxable Accounts

2

Not Available
Annuity Contract

0

0

Tax Deferred Accounts

2

Dividend
Common Stock

0

0

Taxable
Common Stock

57

57

Corporate Bond	45	432	648	436	301	925	349	431	596	417	281	631	326	5,774
Market Linked	1													0
Equity Fund	10						1,982							3,996
Bond Fund	7	702	702	702	702	702	702	702	702	702	702	702	702	8,419
Multi-Asset Fund	1	345	345	345	345	345	345	345	345	345	345	345	345	4,137
Federal	12	20	248	116		231	1,335	20	248	35	231	1,335	3,818	
Government Bond	10	20	248	116		231	241	20	248	35	231	241	1,629	
Municipal Bond	2					1,094					1,094		2,189	
Unassigned	5	0	0	0	0	0	0	0	0	0	0	0	0	0
Not Available	5	0	0	0	0	0	0	0	0	0	0	0	0	0
Cash Alternative	5	0	0	0	0	0	0	0	0	0	0	0	0	0
Portfolio Estimated Income Total	133	1,653	2,177	4,075	1,502	2,413	3,791	1,709	2,126	2,875	1,482	2,119	3,768	29,691

Total Portfolio Value as of 09/04/18: \$ 1,126,938

This report is not a substitute for official tax reporting. This report separates the holdings, first based on the taxability at the account level. The secondary aggregation is Tax Type which is used to aggregate securities based on their assumed taxability of income (interest and dividends) at the time of this report. Classifications are made by data sources we deem reliable; however, we do not guarantee the accuracy of these classifications. Most securities are subject to capital gains taxes and some may be considered in calculations for Alternative Minimum Tax (AMT) that are not so designated here. This report does not attempt to portray phantom income (income accrued or accrued but not paid) on discount or zero coupon securities. You should consult with your tax advisor regarding the taxability of your holdings. The following tax type classifications may be included in this report: AMT - subject to Alternative Minimum Tax; Dividend - taxable as dividend income; Federal - income taxable by federal government (state and local income taxes may not apply); Non-Federal - income non-taxable by federal government but may be subject to state and local taxes; Taxable - income taxable by federal, state and local taxes; and Not Available - taxability undetermined.

Estimated Income Annualized (EIA) takes your current income, based on the current dividends or interest paid by your securities and multiplies it to create an annualized hypothetical figure. It does not reflect actual or future performance and should not be relied upon when making financial decisions. All annualizations are based upon current positions using a simple mathematical calculation and assumes all figures remain constant for a year; any subsequent position changes will affect these calculations. Calculations for certain types of securities could include a return of principal or capital gain, in which case the figures would be overstated. Past performance is not a guarantee of future results. Dividend payments are made solely at the discretion of the issuer and are subject to be changed or eliminated at any time. Estimated Income is an estimate and the actual income might be lower or higher than the estimated amount. Estimated Income reflects only the income generated by an investment. It does not reflect changes in price, which may fluctuate.

This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.

Understanding Your Portfolio

General Information

may be assigned, which may include variable annuities and exchange-traded funds are derived from your Financial Advisor. It is your responsibility to review your characteristics and risk profile. It is your responsibility to review your characteristics and risk profile. It is your responsibility to review your characteristics and risk profile.

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our Financial Advisor which ... It is your responsibility, to characterize and risk profile, to accounts and notify us of any changes.

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External Accounts Included in Your Report
... may have included your assets and/or liabilities for the accuracy.

External Accounts Included in Your Report

As a service, we may have included your assets and/or your liabilities held at other financial institutions. We assume no responsibility for the accuracy or completeness of the information you provided either to your Financial Advisor or through any third party aggregation service; however, if your assets or liabilities held at other firms are not possible. In addition, any transactions or changes in your external accounts will not be reflected unless you provide updated information to your Financial Advisor. In instances where you use a third party aggregation service, there may be cases when updating prices are needed. The third party aggregation service that updates are needed, may materially affect the results and to your Financial Advisor when notified by the third party service that updates are needed.

Asset Class Descriptions

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Equity Investments: Equity investments refer to buying stocks of United States companies. The investment return to the owner of stock (shareholder) is in the form of dividends and/or capital appreciation. The market capitalization of companies is used to group large, medium (Mid), and small companies. Shareholders share in both the upside potential and the downside risk.

Capitalization: Market capitalization definitions differ but one example of capitalization methodology is that of Morningstar, which defines "large-capitalization" stocks as those stocks that form the top 70% of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index (a diversified broad market index that represents approximately 97% of the market capitalization of publicly traded U.S. Stocks). The Morningstar index methodology defines "mid-capitalization" stocks as those stocks that form the 20% of market capitalization between the 70th and 90th percentile of the market capitalization and "small-capitalization" stocks as those stocks that form the 7% of market capitalization between the 90th and 97th percentile of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index.

Investing in small and mid-cap companies involve additional risks such as limited liquidity and greater volatility.

Fixed Income Securities (Bonds): Bonds are promissory notes of a United States corporation or federal government entity (taxable bonds) or a state or local government entity (tax-exempt or municipal bonds). Bonds usually make a series of interest payments followed by a return of principal at maturity. If sold prior to maturity, the price that can be obtained for a bond may be more or less than face value, depending on interest rates at the time the bond is sold and the remaining term of the bond.

Fixed income securities include Treasuries (i.e., public obligations of the U.S. Treasury that have remaining maturities of more than one year), government-related issues (i.e., agency, sovereign, supranational, and local authority debt), and corporate bonds.

Investments in fixed-income securities are subject to market, interest rate, credit/default, inflation and other risks. Bond prices fluctuate inversely to changes in interest rates. Therefore, a general rise in interest rates can result in the decline in the bond's price. Lower rated securities are speculative and involve greater risk of default.

Term: Short-term bonds have effective maturities of five years or less; intermediate bonds have effective maturities between five and ten years; and long-term bonds have maturities of ten years or longer.

Income from tax exempt bonds is generally free from federal and state taxes for residents of the issuing state. While the interest income is tax-free, capital gains if any are subject to taxes. Income of certain tax-exempt bonds may be subject to the Federal Alternative Minimum Tax (AMT).

Real Assets: Real Assets includes Public Real Estate, Private Real Estate and Commodities. Public Real Estate includes listed real-estate companies and equity and mortgage REITs. A REIT combines the capital of many investors to either acquire or provide financing for real estate. Private Real Estate is an investment that uses an active management strategy consisting of both direct and secondary ownership of equity and debt interests in various types of real property. Commodities are usually agricultural products such as corn, livestock, coffee and cocoa or metals such as gold, copper and silver, or energy products such as oil and natural gas. Commodities can either be sold on the spot market for immediate delivery or on the commodities exchanges for later delivery. Trade on commodities exchanges is usually in the form of future contracts.

There are special risks associated with an investment in real estate, including possible illiquidity of the underlying properties, credit risk, interest rate fluctuations and the impact of varied economic conditions. Mortgage REITs will be subject to interest rate fluctuations and to the spread between short-term and long-term bond rates. Privately offered real estate funds are speculative and involve a high degree of risk. Investments in real estate have special risks, including the possible illiquidity of the underlying properties, credit risk, interest rate fluctuations, and the impact of varied economic conditions. There can be no assurance a secondary market will exist and there may be restrictions on transferring interests. The commodities markets are considered speculative, carry substantial risks, and have experienced periods of extreme volatility.

Multi-Class: This category is primarily used to classify investments that include a substantial amount of both equity and fixed income investments, or some other combination of classes. **Cash Alternatives:** Cash Alternatives include liquid, short term and interest bearing investments. Examples are Treasury bills and commercial paper. It is possible to lose money by investing in cash alternatives.

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